

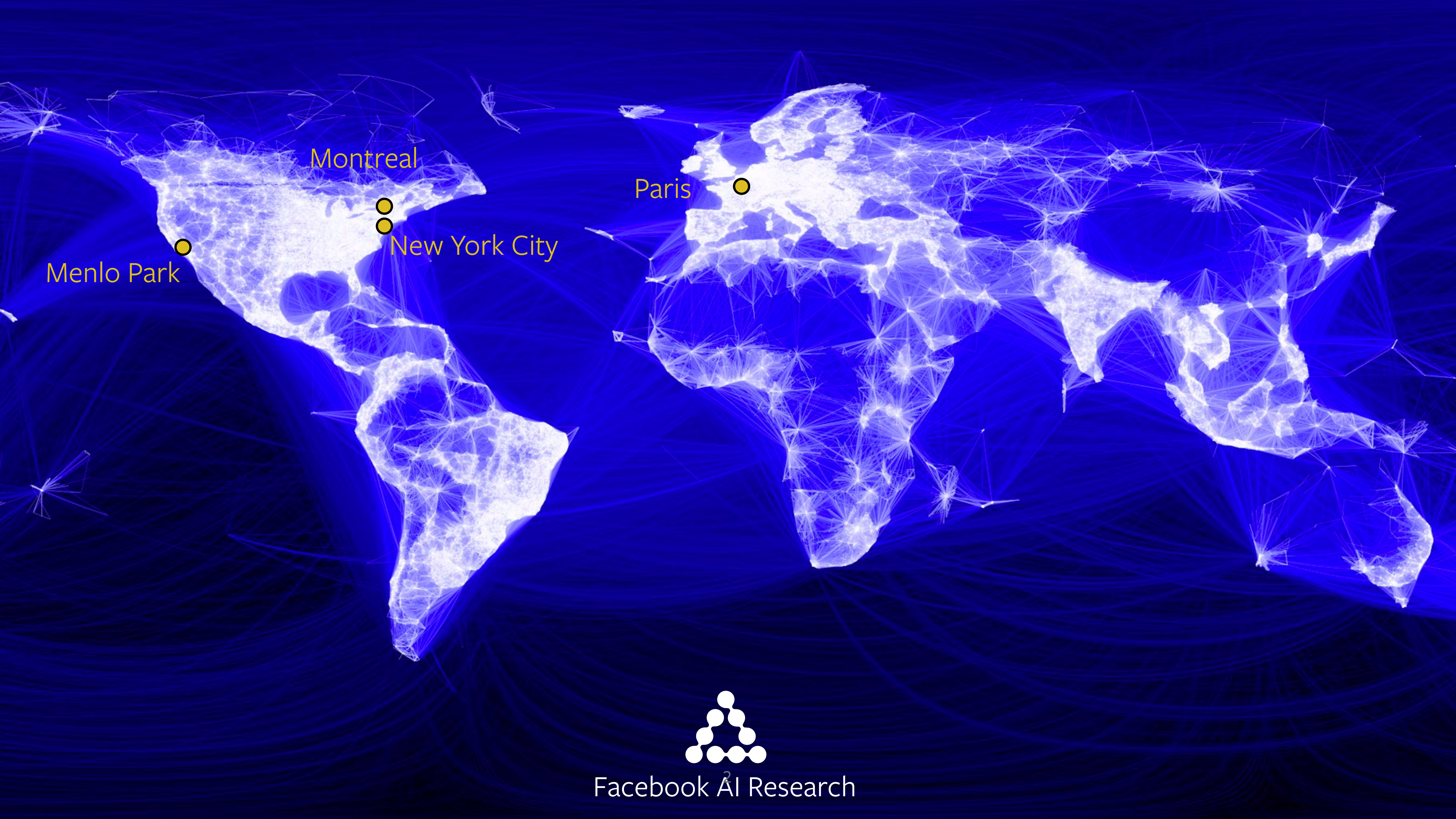
# Sequence to Sequence Learning: Fast Training and Inference with Gated Convolutions

**Michael Auli**

with Jonas Gehring, David Grangier, Yann Dauphin, Angela Fan, Sergey Edunov, Marc'Aurelio Ranzato, Myle Ott

<http://github.com/facebookresearch/fairseq-py>



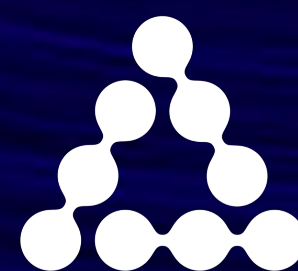


Montreal

Paris

New York City

Menlo Park



Facebook AI Research

# Sequence to Sequence Learning

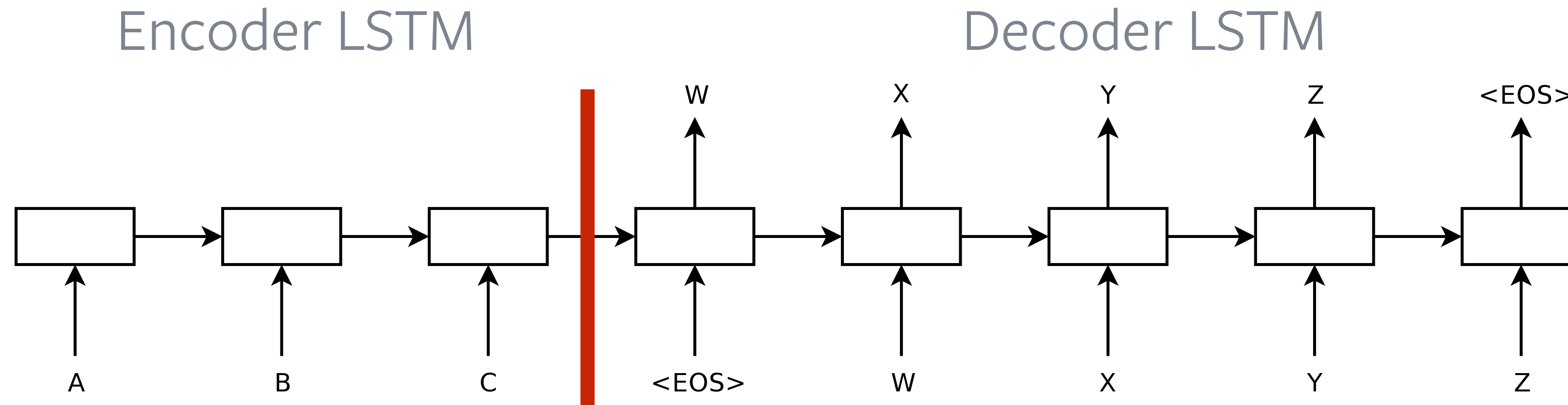


Figure 1: Our model reads an input sentence “ABC” and produces “WXYZ” as the output sentence. The model stops making predictions after outputting the end-of-sentence token. Note that the LSTM reads the input sentence in reverse, because doing so introduces many short term dependencies in the data that make the optimization problem much easier.

- **Encode** source sequence, and **decode** target sequence with **RNNs** (Sutksever et al., 2014)
- **Attention:** choose relevant encoder states (Bahdanau et al., 2014)

# Sequence to Sequence Learning

- Applications: translation, summarization, parsing, dialogue, ...
- Translation, e.g., "La maison de Léa." -> "Léa's house."
- "Models basis for 25% of posters at ACL",  
Lapata at keynote ACL'17



# Sequence to Sequence Learning

## Recurrent Continuous Translation Models

**Nal Kalchbrenner**      **Phil Blunsom**  
Department of Computer Science  
University of Oxford  
`{nal.kalchbrenner, phil.blunsom}@cs.ox.ac.uk`

## Joint Language and Translation Modeling with Recurrent Neural Networks

**Michael Auli, Michel Galley, Chris Quirk, Geoffrey Zweig**  
Microsoft Research  
Redmond, WA, USA  
`{michael.auli, mgalley, chrisq, gzweig}@microsoft.com`

Published as a conference paper at ICLR 2015

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## NEURAL MACHINE TRANSLATION BY JOINTLY LEARNING TO ALIGN AND TRANSLATE

**Dzmitry Bahdanau**  
Jacobs University Bremen, Germany

**KyungHyun Cho**    **Yoshua Bengio\***  
Université de Montréal

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## Sequence to Sequence Learning with Neural Networks

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**Ilya Sutskever**  
Google  
`ilyasu@google.com`

**Oriol Vinyals**  
Google  
`vinyals@google.com`

**Quoc V. Le**  
Google  
`qvl@google.com`

# Sequence to Sequence Learning

## Deep Recurrent Models with Fast-Forward Connections for Neural Machine Translation

**Jie Zhou Ying Cao Xuguang Wang Peng Li Wei Xu**

Baidu Research - Institute of Deep Learning

Baidu Inc., Beijing, China

{zhoujie01,caoying03,wangxuguang,lipengl17,wei.xu}@baidu.com

## Convolutional Sequence to Sequence Learning

**Jonas Gehring<sup>1</sup> Michael Auli<sup>1</sup> David Grangier<sup>1</sup> Denis Yarats<sup>1</sup> Yann N. Dauphin<sup>1</sup>**

## Attention Is All You Need

**Ashish Vaswani\***  
Google Brain  
avaswani@google.com

**Noam Shazeer\***  
Google Brain  
noam@google.com

**Niki Parmar\***  
Google Research  
nikip@google.com

**Jakob Uszkoreit\***  
Google Research  
usz@google.com

**Llion Jones\***  
Google Research  
llion@google.com

**Aidan N. Gomez\*†**  
University of Toronto  
aidan@cs.toronto.edu

**Łukasz Kaiser\***  
Google Brain  
lukaszkaiser@google.com

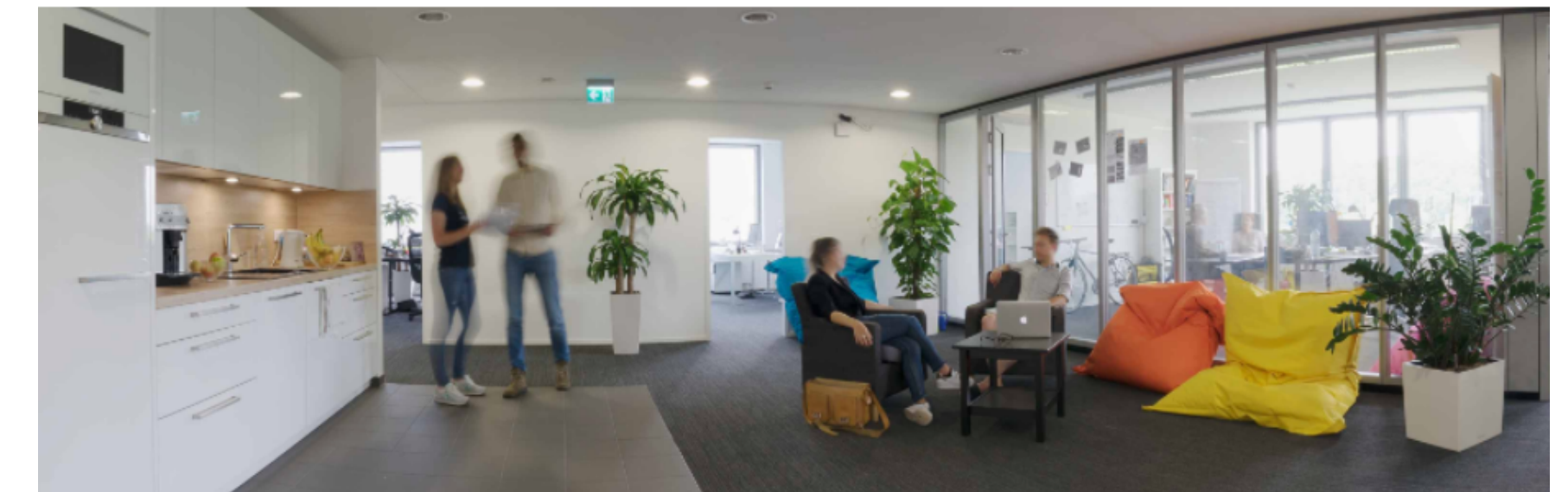
**Illia Polosukhin\*‡**  
illia.polosukhin@gmail.com

## Google's Neural Machine Translation System: Bridging the Gap between Human and Machine Translation

Yonghui Wu, Mike Schuster, Zhifeng Chen, Quoc V. Le, Mohammad Norouzi  
yonghui,schuster,zhifengc,qvl,mnorouzi@google.com

Wolfgang Macherey, Maxim Krikun, Yuan Cao, Qin Gao, Klaus Macherey, Jeff Klingner, Apurva Shah, Melvin Johnson, Xiaobing Liu, Łukasz Kaiser, Stephan Gouws, Yoshikiyo Kato, Taku Kudo, Hideto Kazawa, Keith Stevens, George Kurian, Nishant Patil, Wei Wang, Cliff Young, Jason Smith, Jason Riesa, Alex Rudnick, Oriol Vinyals, Greg Corrado, Macduff Hughes, Jeffrey Dean

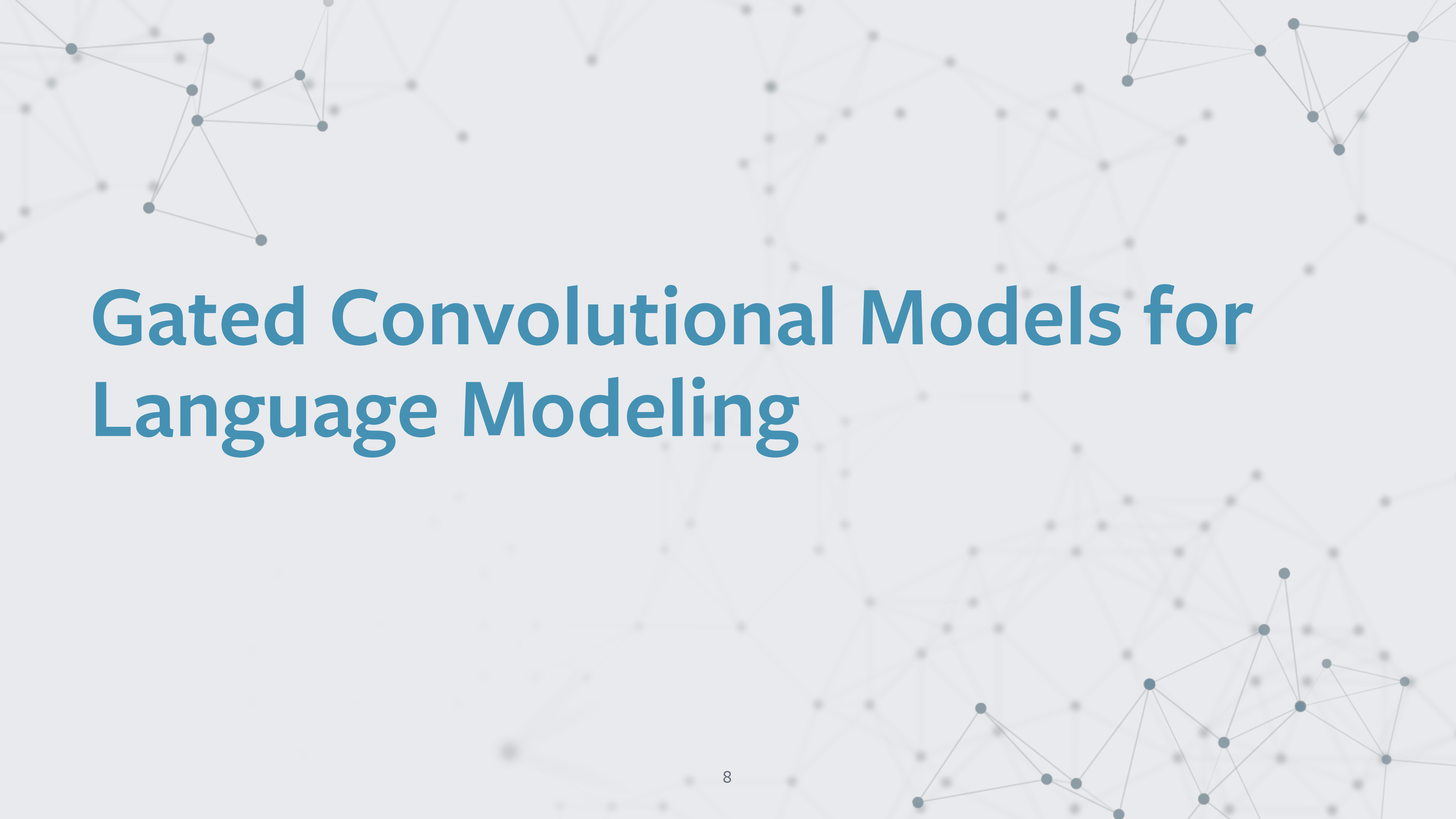
DeepL



Press Information – DeepL Translator Launch

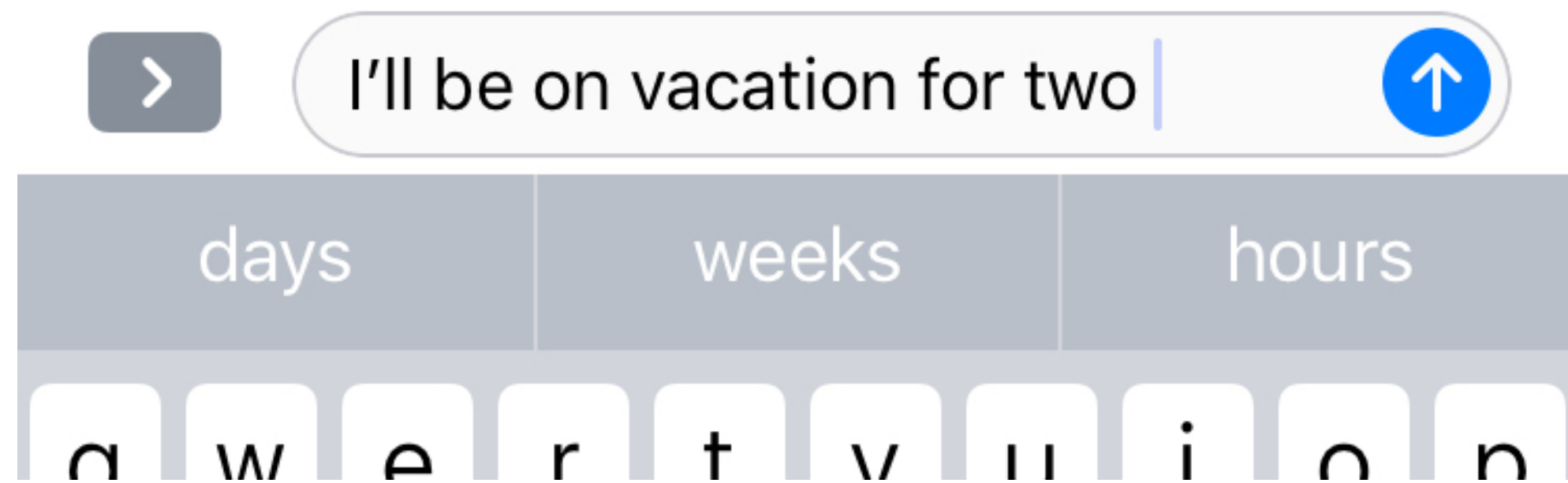
# Overview

- Gated convolutions for Language Modeling
- Convolutional Sequence to Sequence Learning
- Analyzing beam search for seq2seq

The background of the slide features a light gray, abstract network of nodes and lines, resembling a graph or a molecular structure. The nodes are small dark gray circles, and the lines are thin, light gray lines connecting them. The network is distributed across the slide, with some denser clusters and some sparse areas.

# Gated Convolutional Models for Language Modeling

# Language Modeling



- Estimate probability of a sequence of words

$$P(w_0, \dots, w_N) = P(w_0) \prod_{i=1}^N P(w_i | w_0, \dots, w_{i-1})$$

- Good language models help in speech (Mikolov et al, 2010) and translation
- LSTMs achieve state-of-the-art performance by processing sentences left to right

# CNNs & RNNs

Vision → Convolutional neural networks

NLP/Speech → Recurrent neural networks

# CNNs & RNNs

Vision → Convolutional neural networks

NLP/Speech → Recurrent neural networks

- Architectures complex: bi-directional, reverse processing
- Fail to model long-range dependencies in language: need attention

# CNNs & RNNs

Vision → Convolutional neural networks

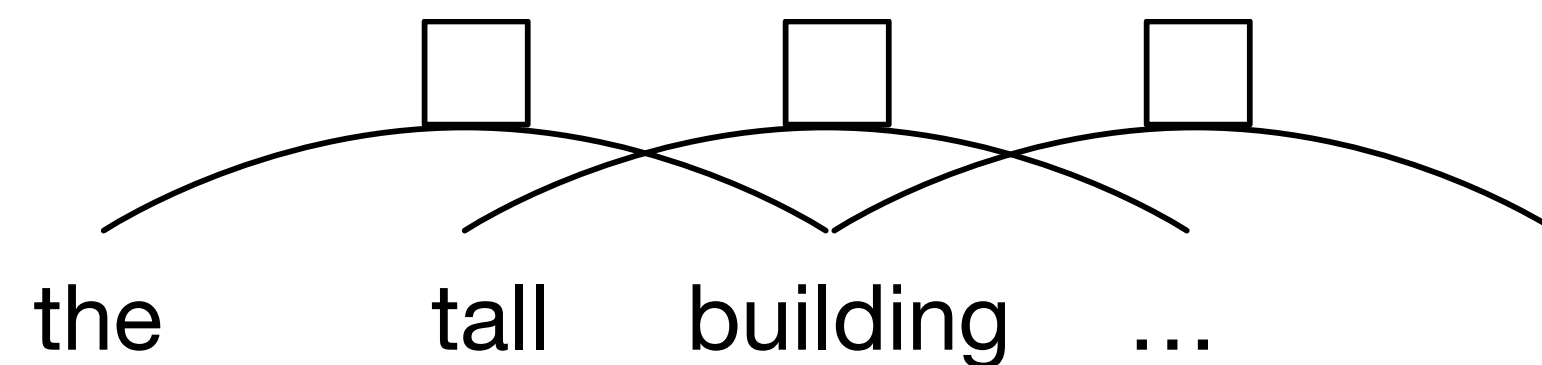
NLP/Speech → Recurrent neural networks

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- Fail to model long-range dependencies in language: need attention

This talk: **model sequences well without RNNs**

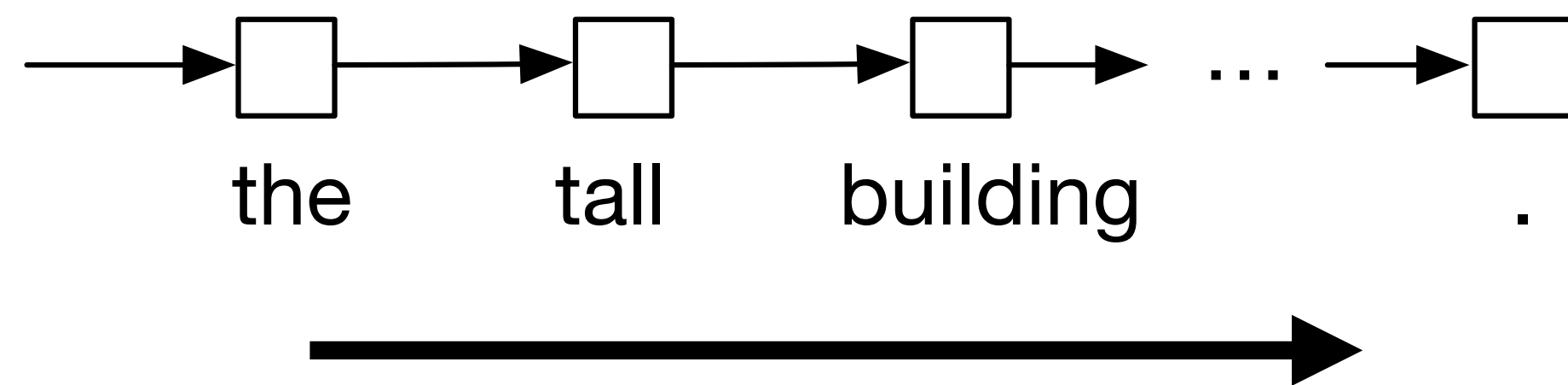
# What is a CNN?

- a linear projection taking several input vectors (embeddings, hidden states)
- that maps them to a single output vector (of same or different size)
- which is applied repeatedly to the input sequence at a given stride (=1 here) to yield an output sequence

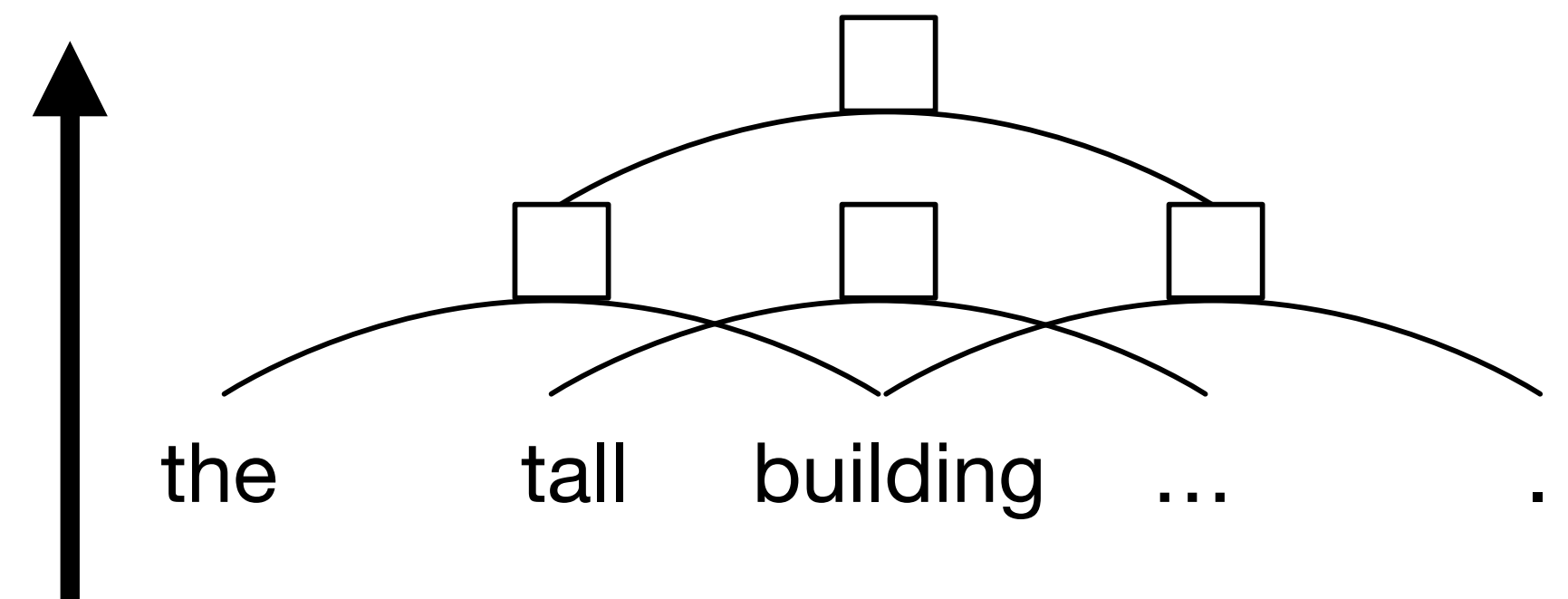


# CNNs for Sequence Modeling

- **Hierarchical:** bottom-up vs. left-right
- **Homogeneous:** all elements processed in same way
- **Efficient:** parallelizable over number of sequences & **time** dimension

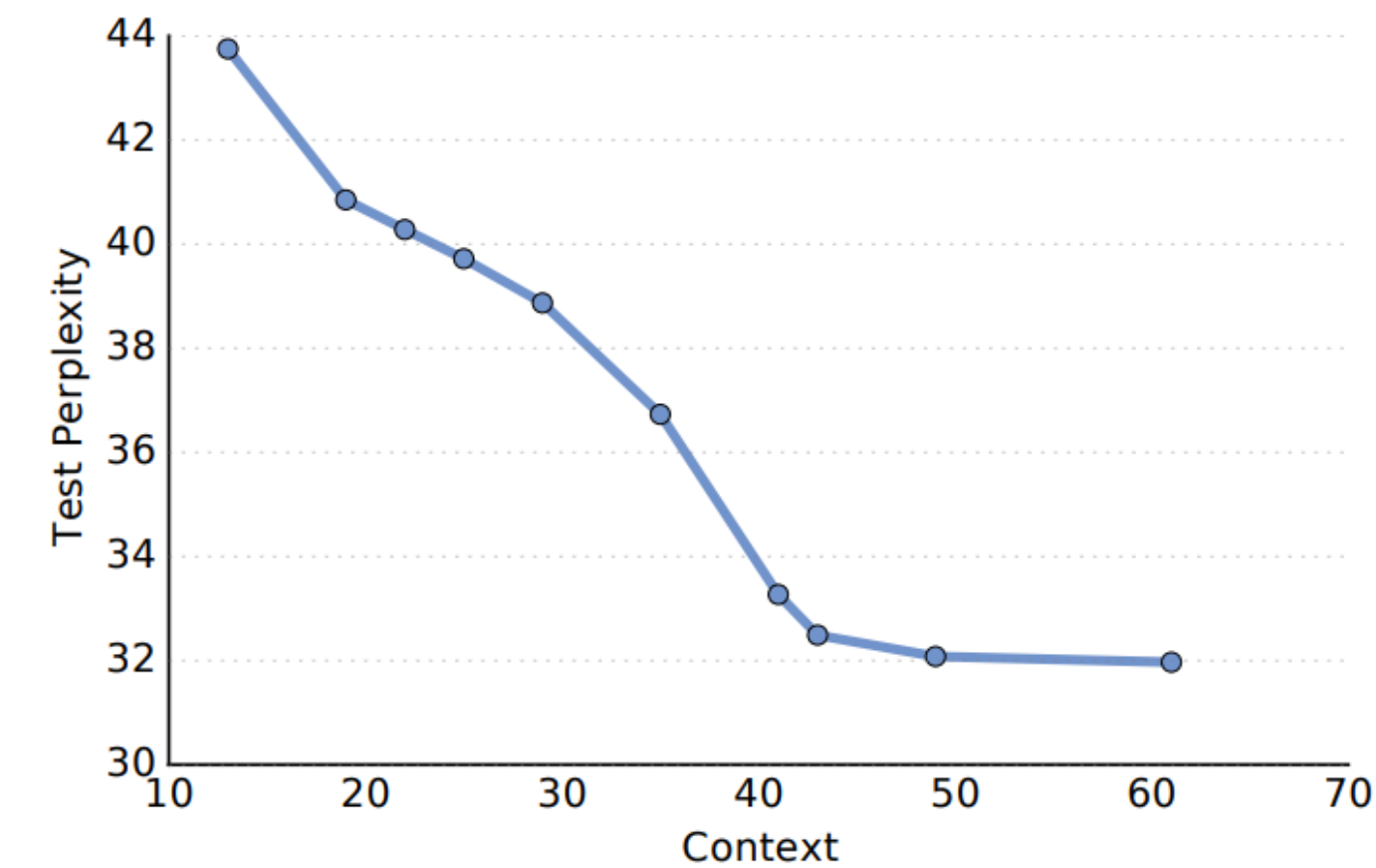


VS.



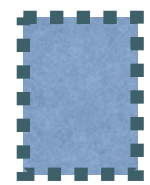
# CNNs for Sequence Modeling

- **In practice:**
  - dependencies are not arbitrarily long
  - e.g. Dauphin et al. ICML'17

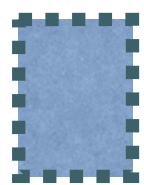


- CNNs are much more efficient than LSTMs on GPU
- e.g. Baidu DeepBench, [github.com/baidu-research/DeepBench](https://github.com/baidu-research/DeepBench) '16

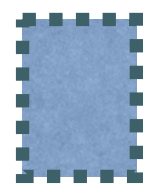
# Recurrent Neural Network



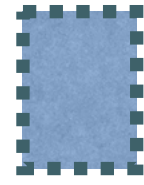
*The*



*cat*

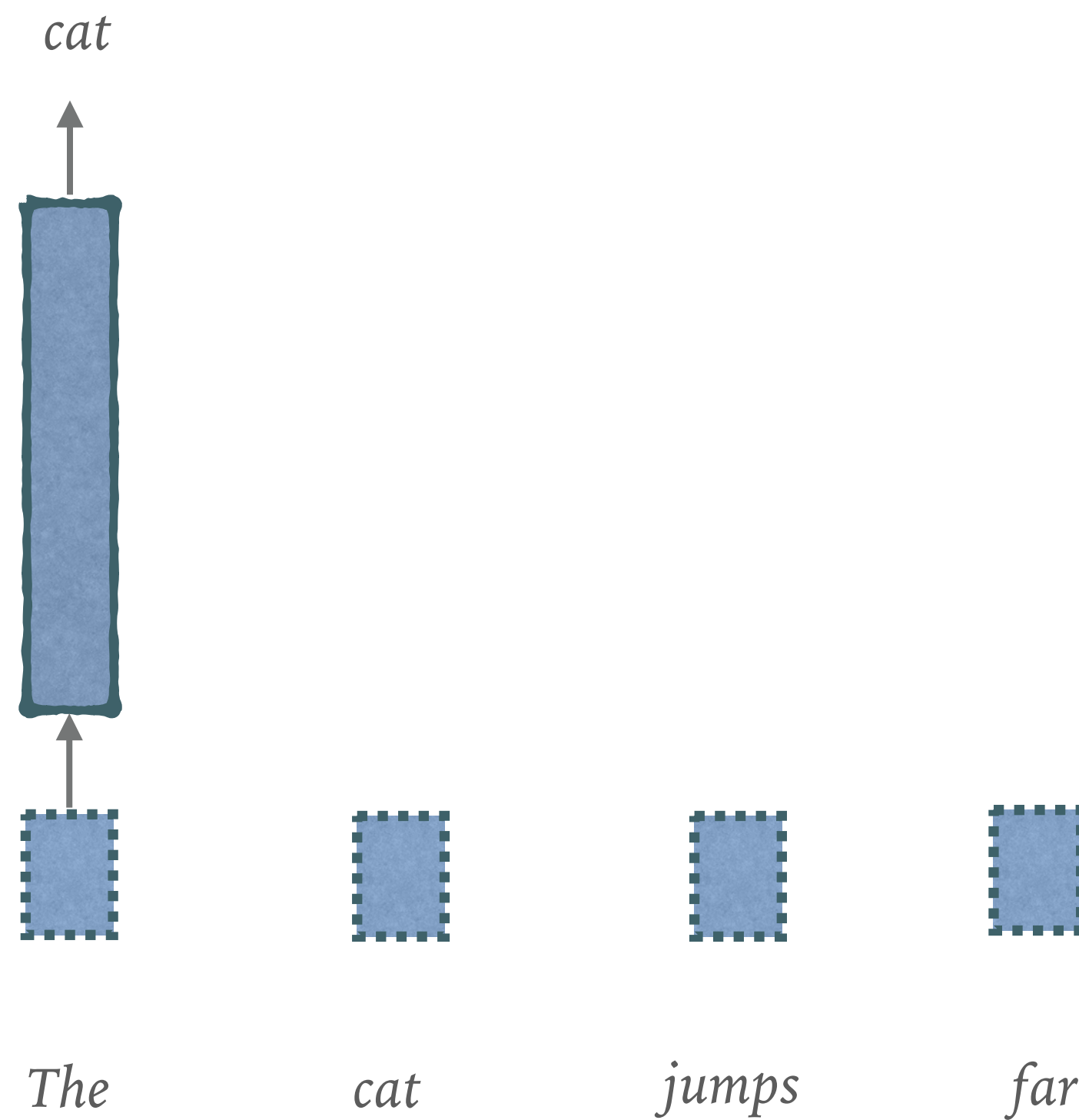


*jumps*

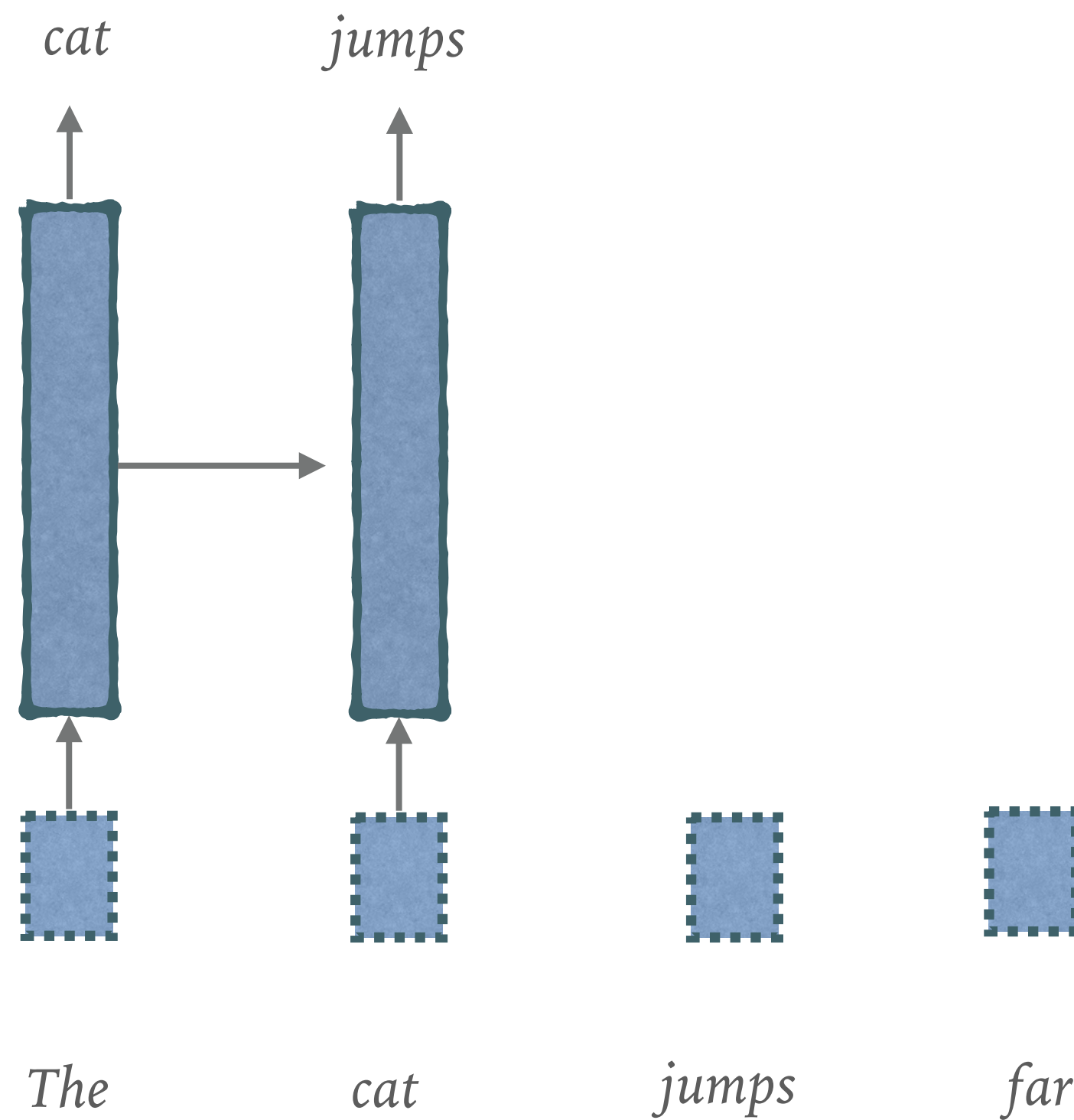


*far*

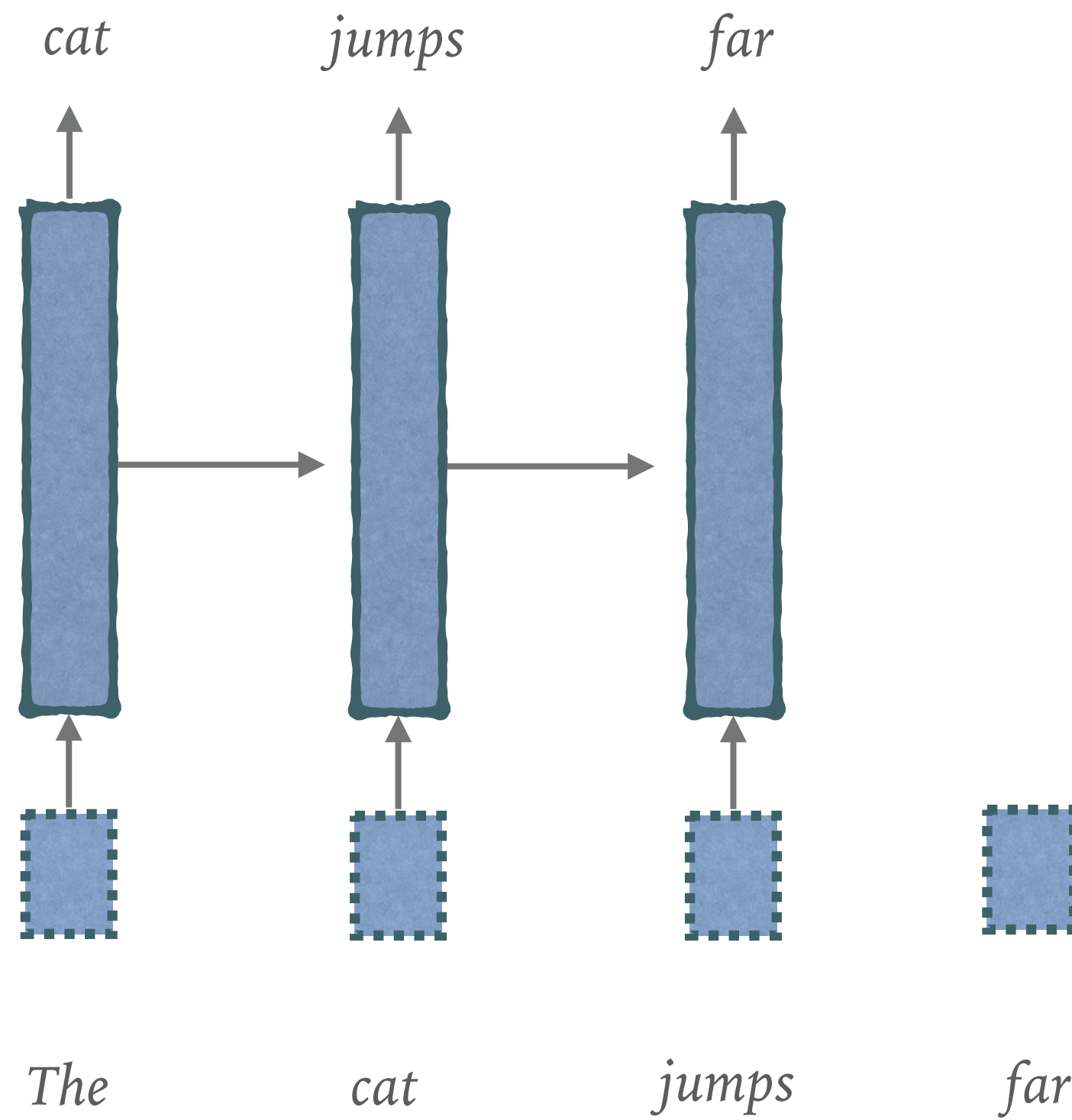
# Recurrent Neural Network



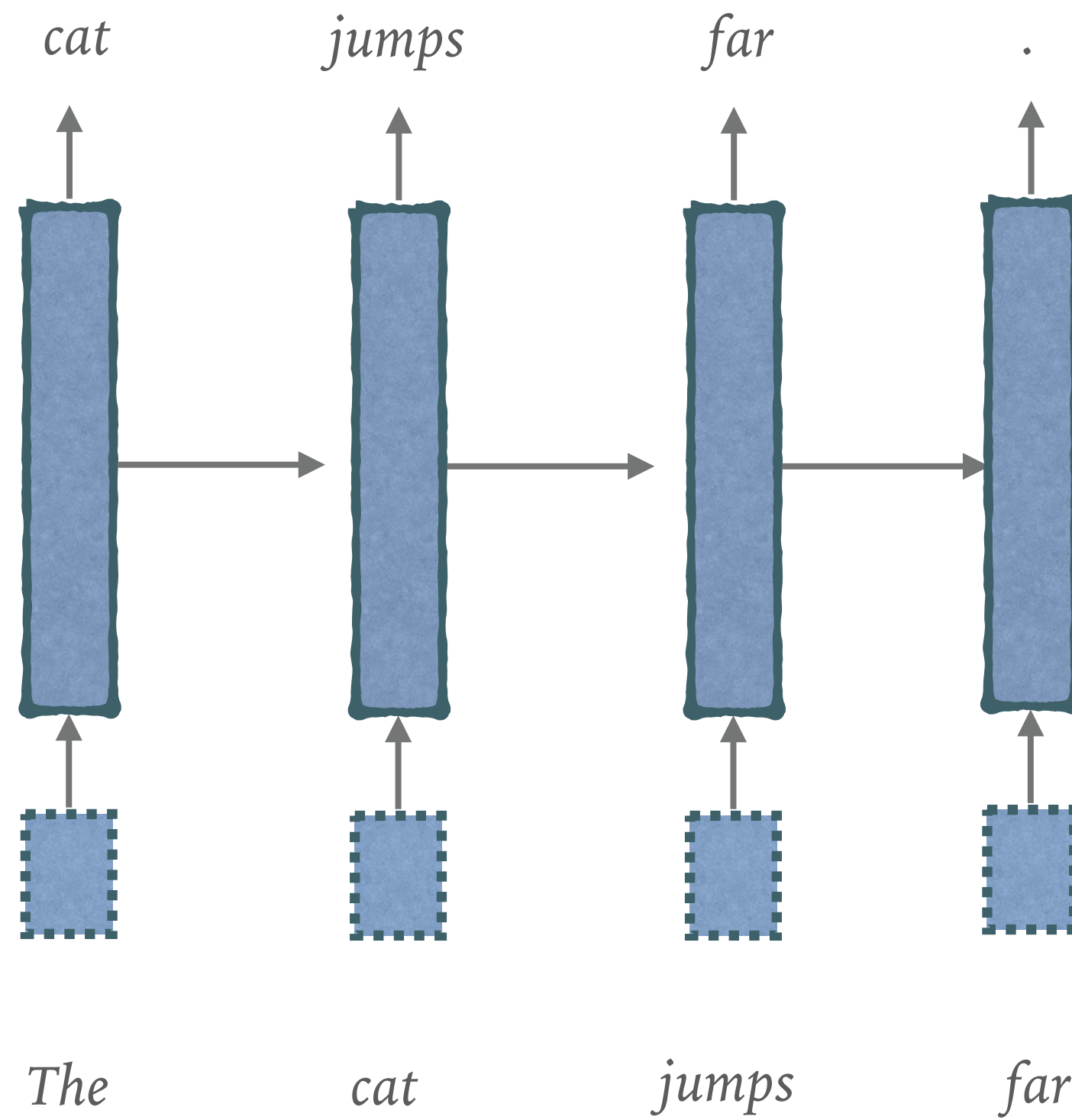
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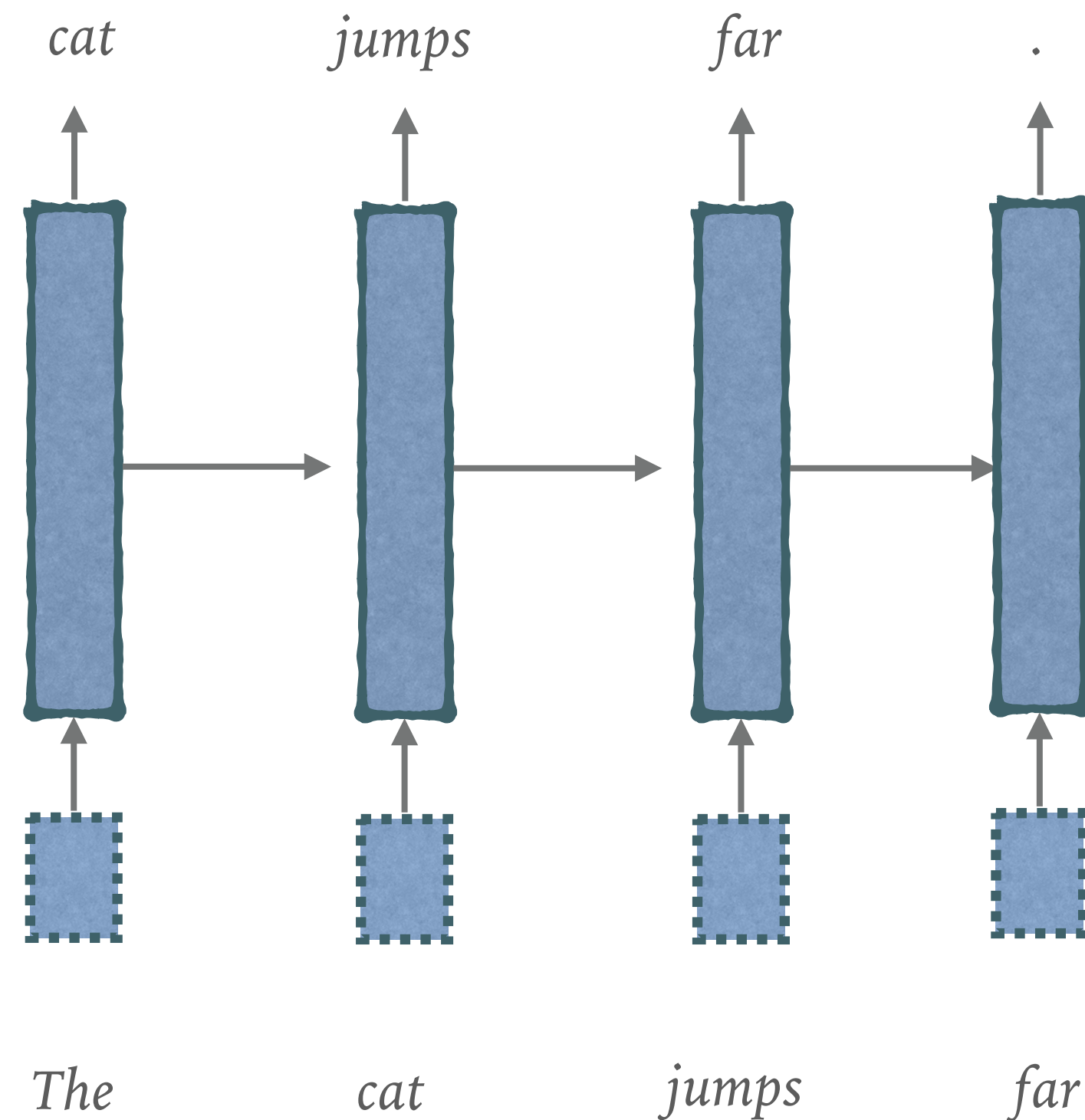
# Recurrent Neural Network



# Recurrent Neural Network

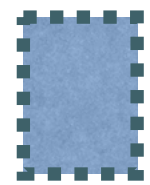


# Recurrent Neural Network

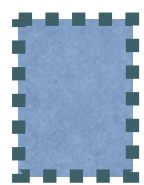


- $O(T)$  sequential steps
- Recurrent connection causes vanishing gradient
- Are the recurrent connections necessary?

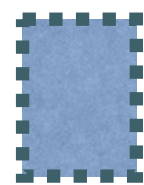
# Multi-Layer Perceptron



*The*



*cat*



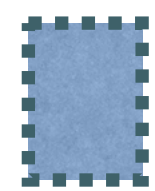
*jumps*



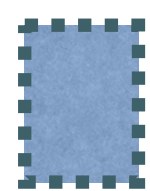
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# Multi-Layer Perceptron

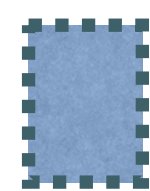
- $O(1)$  sequential steps
- Proposed by (Bengio et al, 2001)
- Inefficient because no computation is shared between time steps
- Bad experimental results



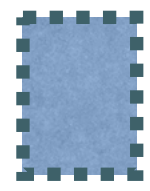
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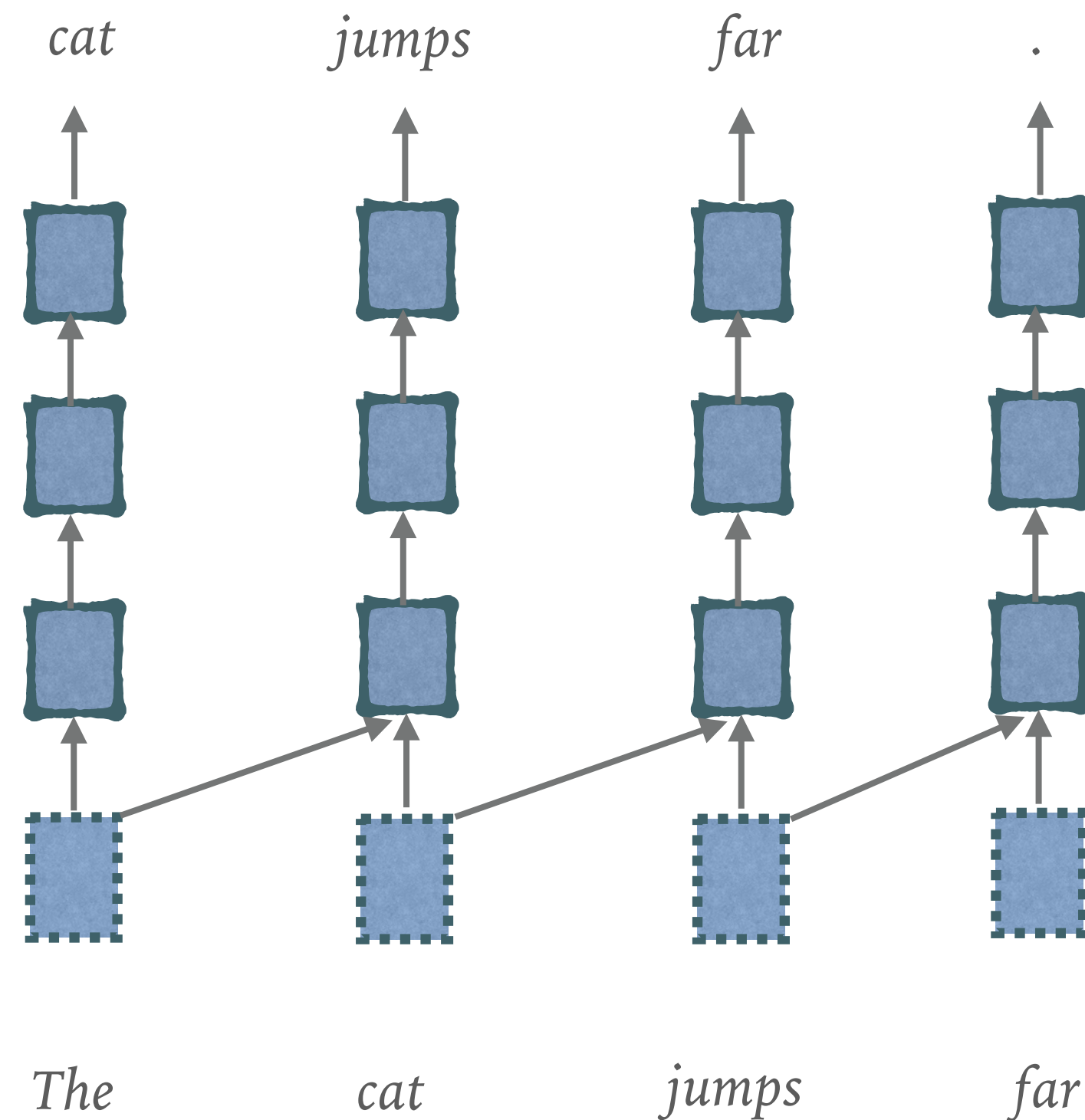


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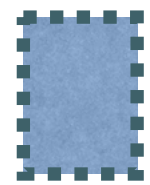
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# Multi-Layer Perceptron

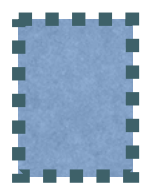


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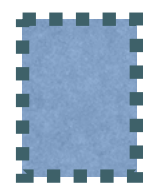
# Convolutional Neural Network



*The*



*cat*



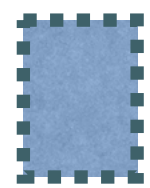
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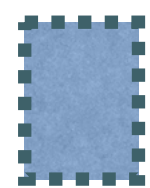
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# Convolutional Neural Network

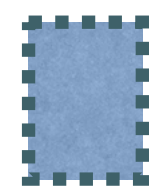
- $O(1)$  sequential steps
- Incrementally build context of context windows



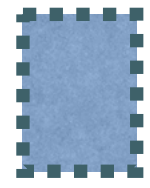
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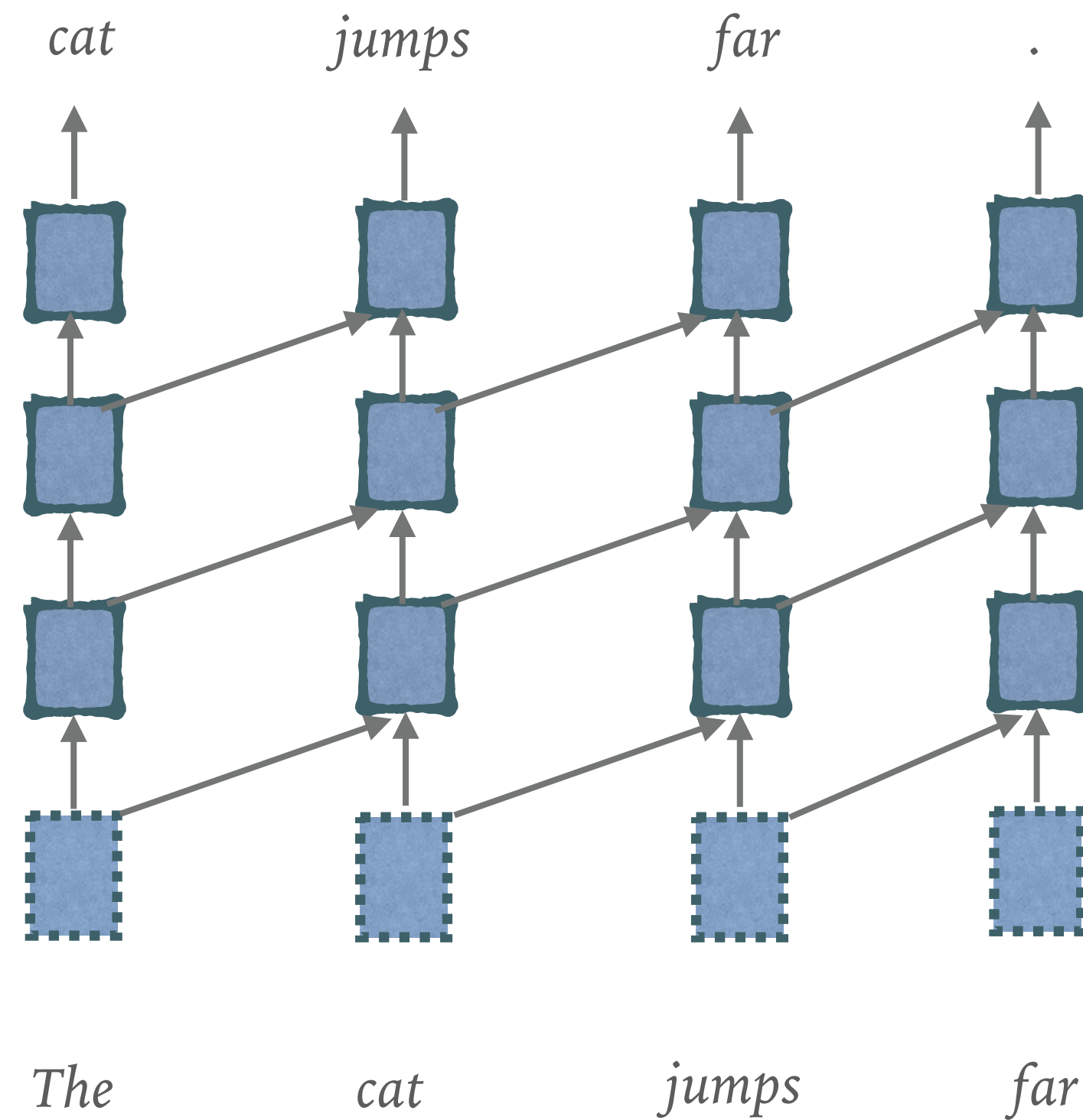


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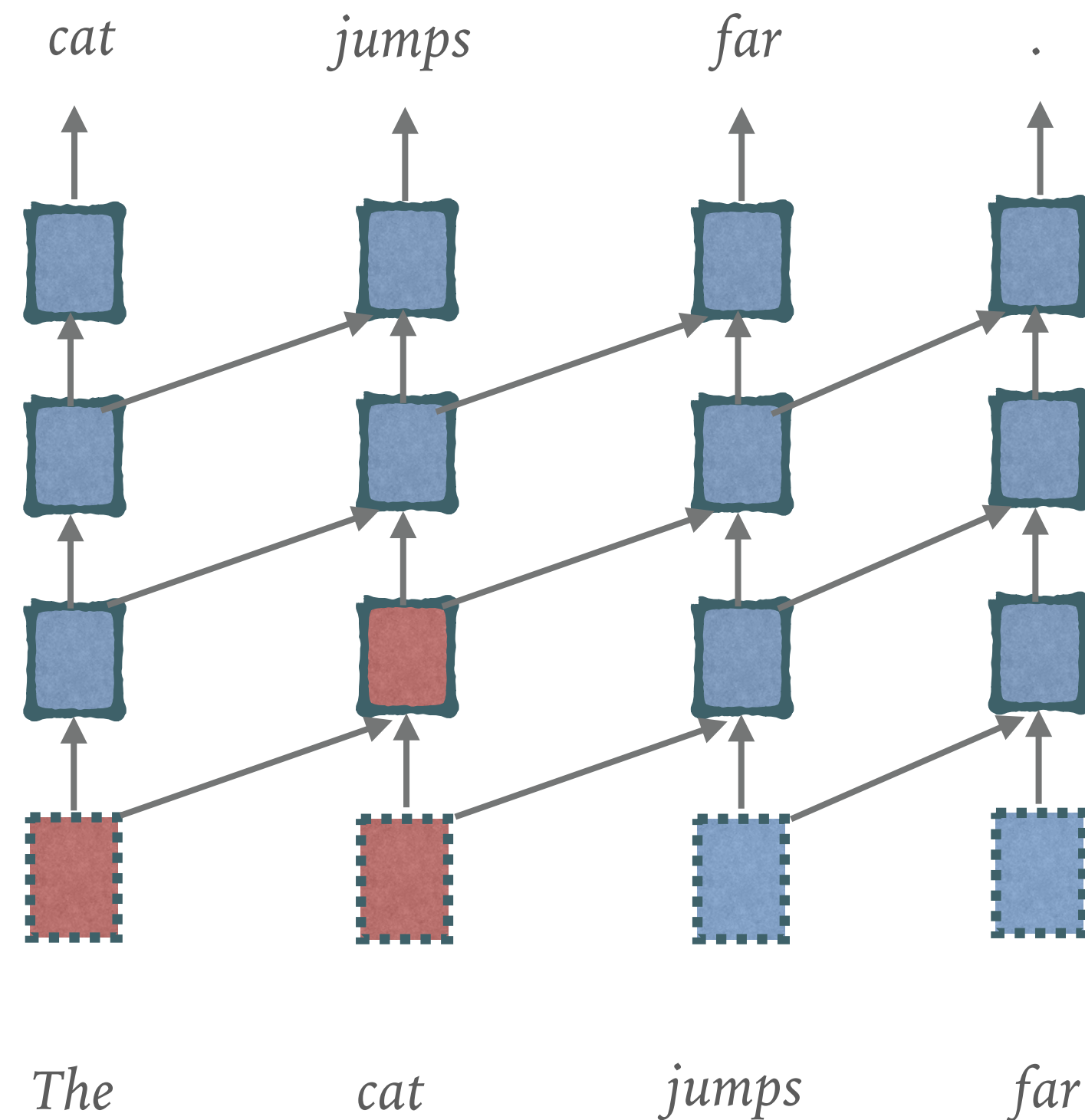
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# Convolutional Neural Network



- $O(1)$  sequential steps
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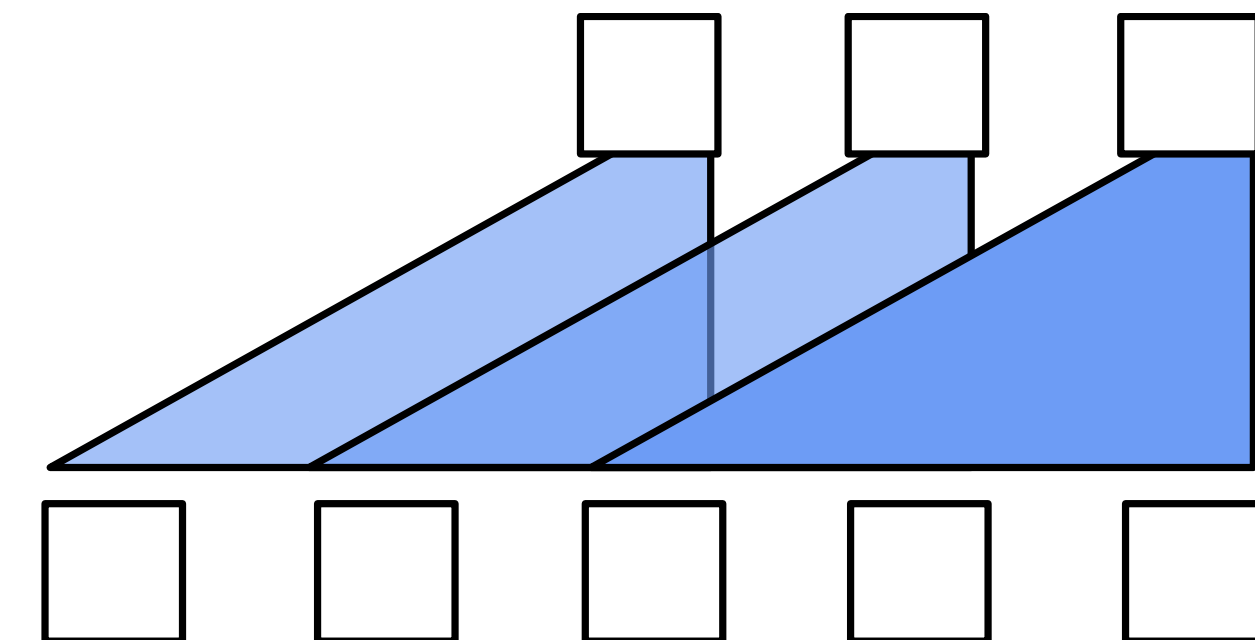
# Convolutional Neural Network



- $O(1)$  sequential steps
- Incrementally build context of context windows
- Builds **hierarchical** structure

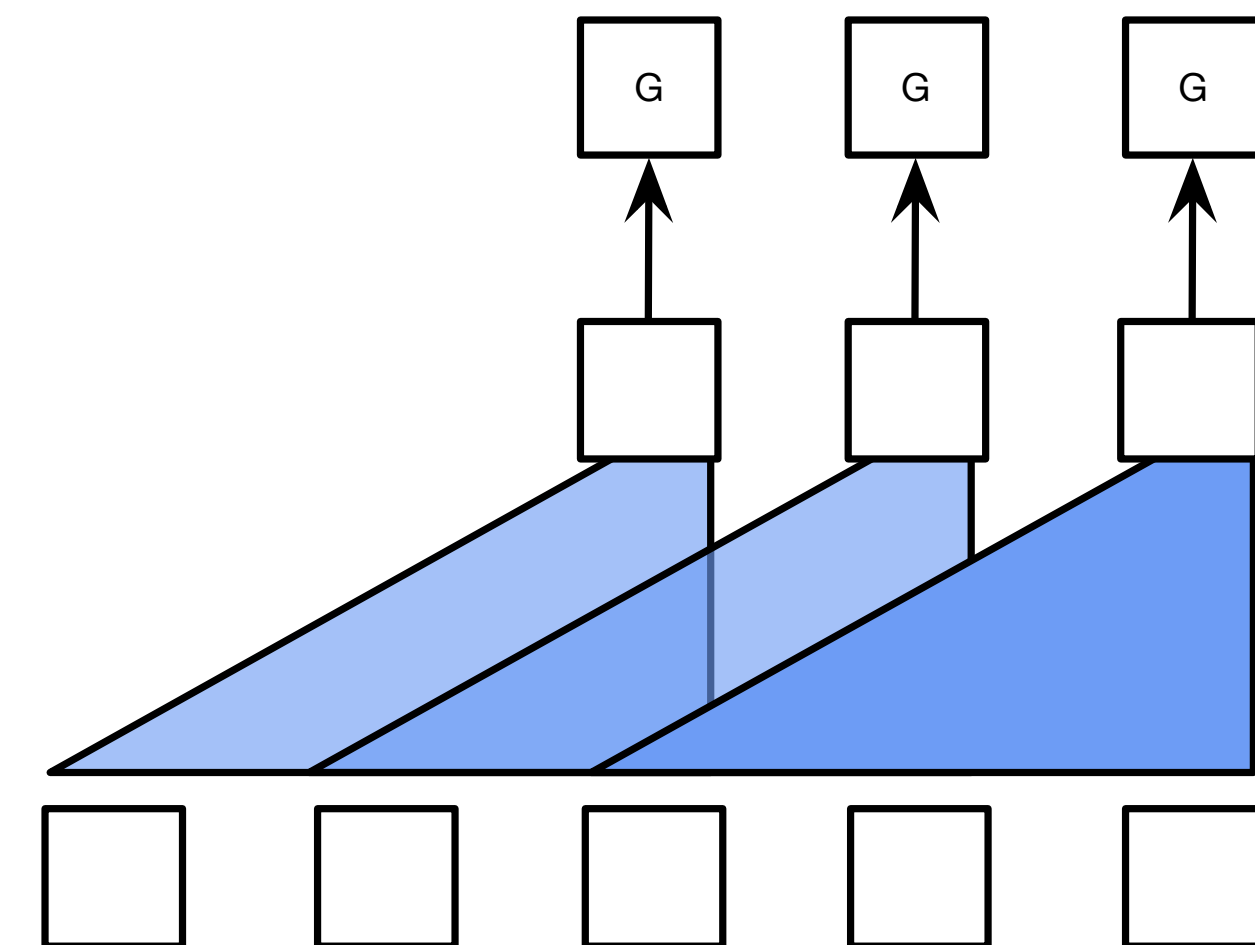
# Gated Convolutional Neural Network

- Processes a sentence with a set of convolutions
- Each convolution learns higher level features
- Gates filter information to propagate up the hierarchy



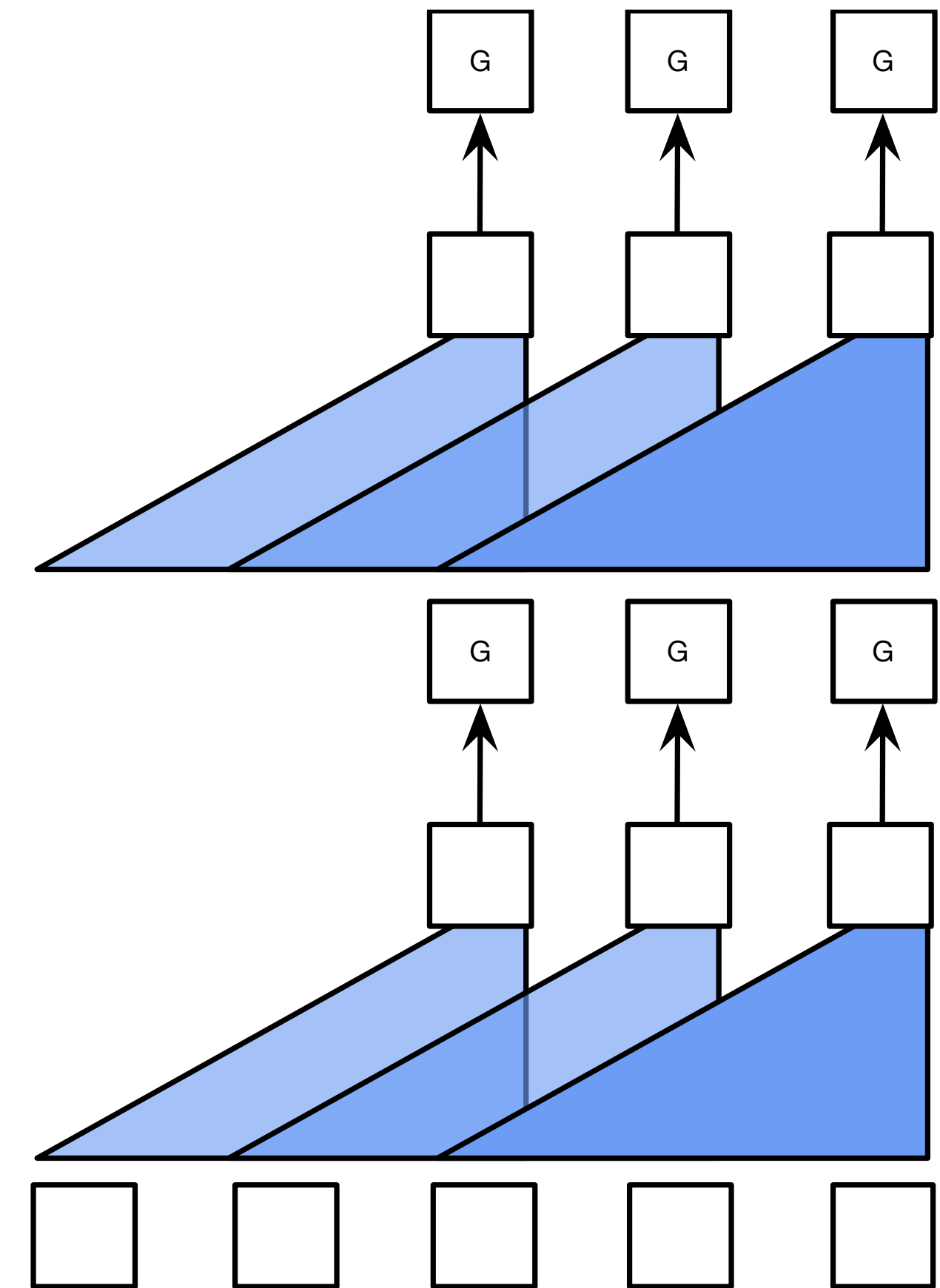
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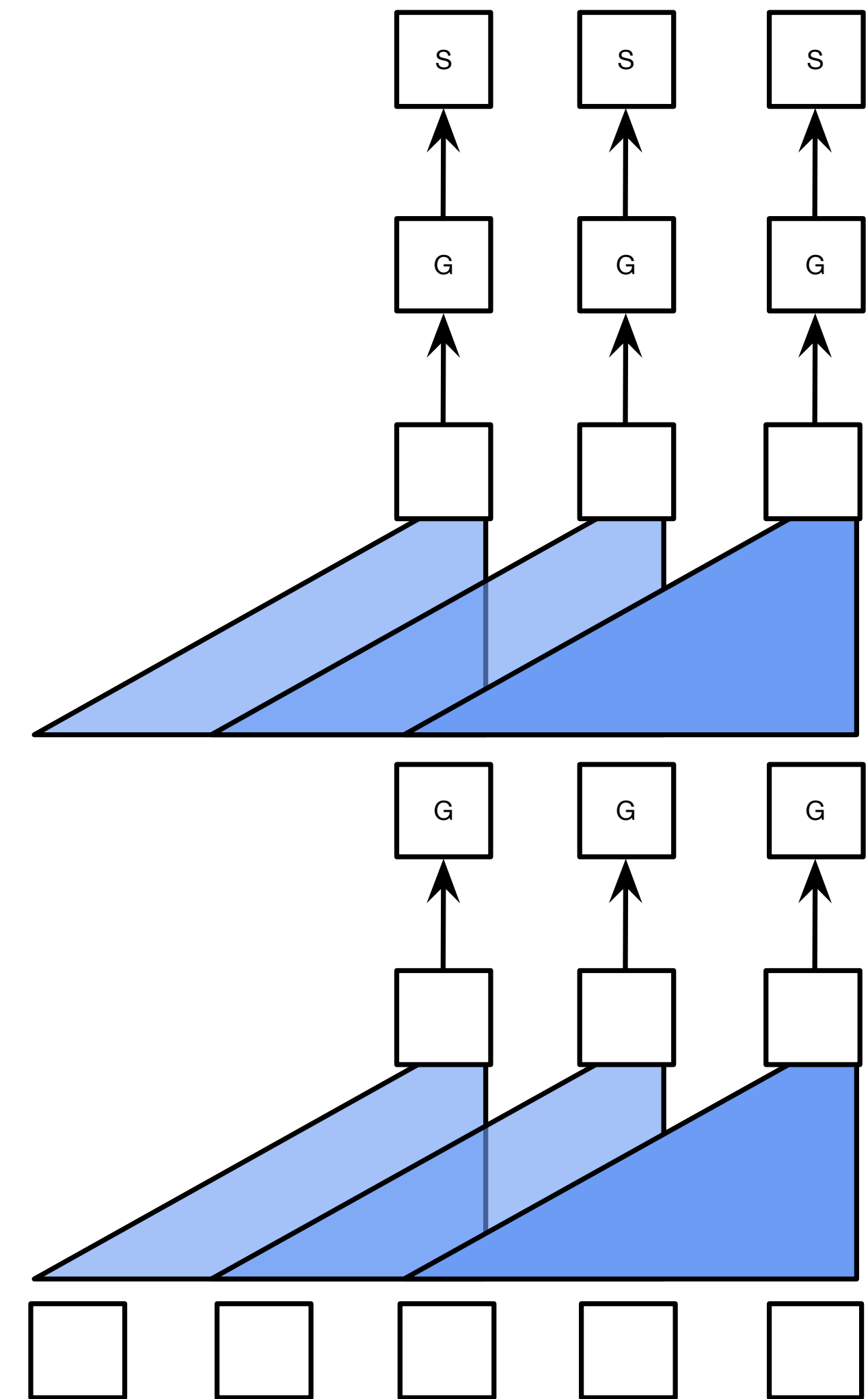
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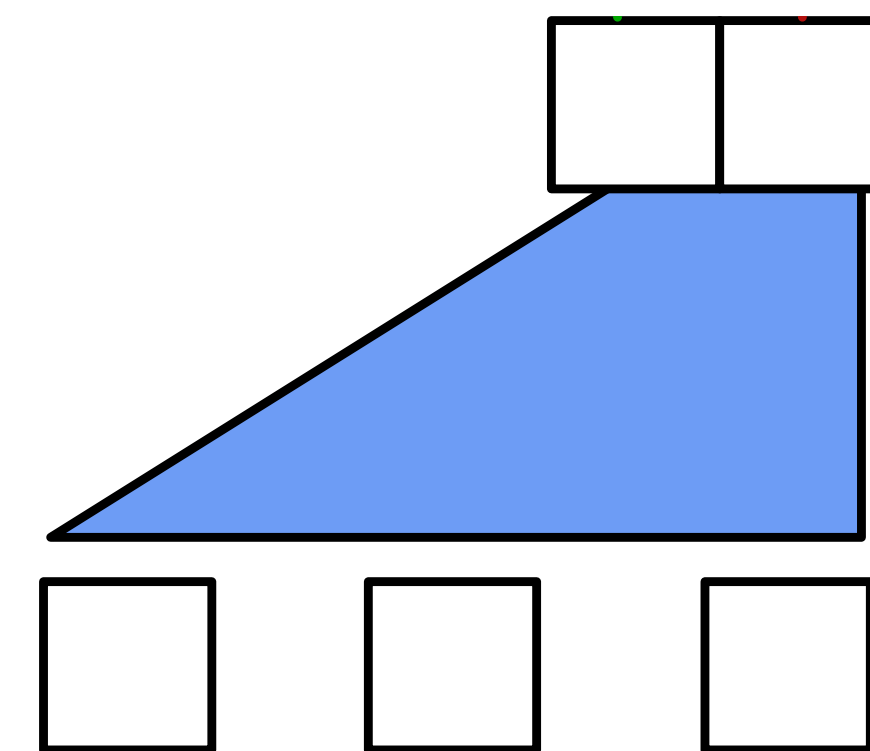
# Gated Convolutional Neural Network

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# Gated Linear Unit

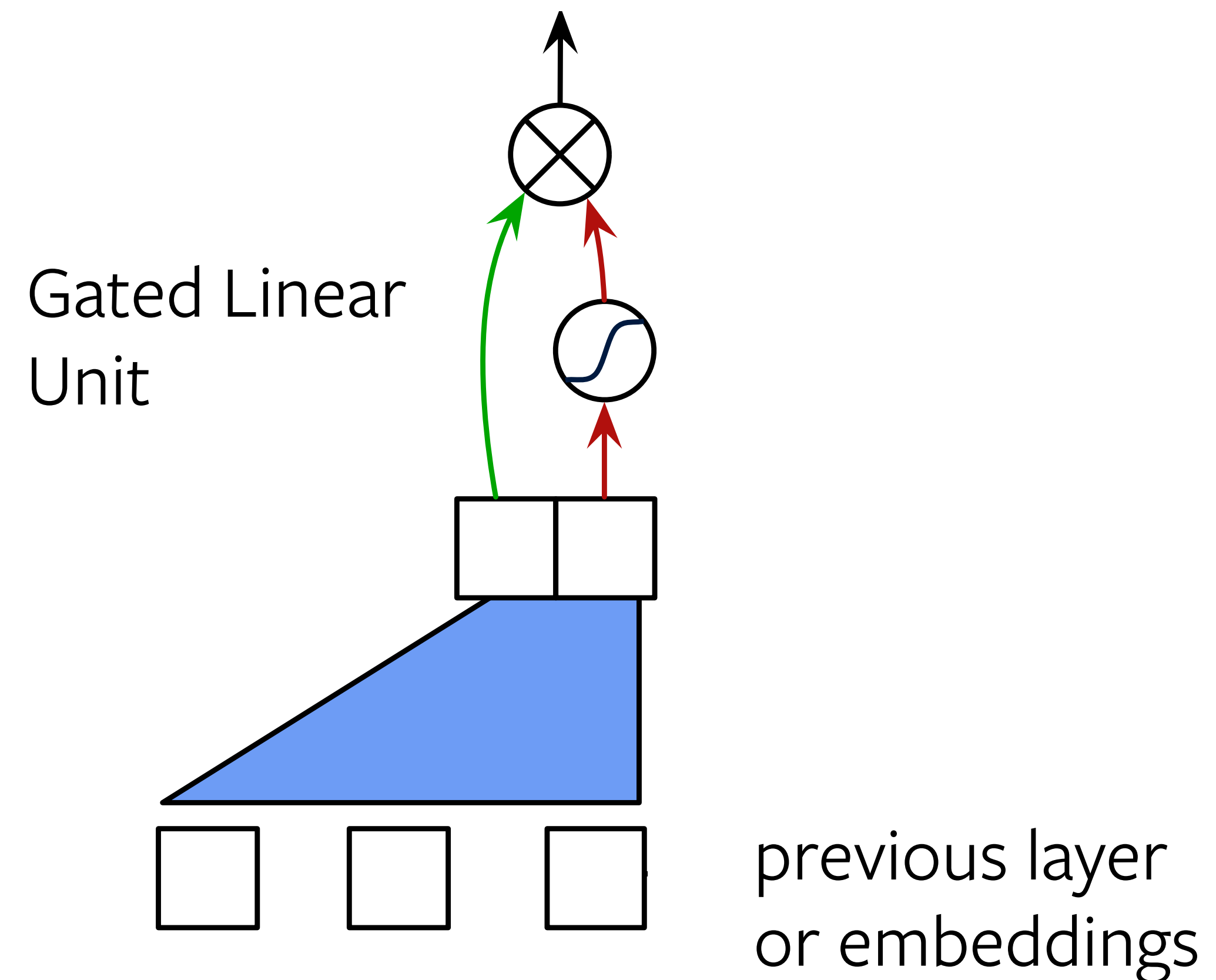
- The gated linear unit can be seen as a multiplicative skip connection
- We find this approach to gating improves performance



previous layer  
or embeddings

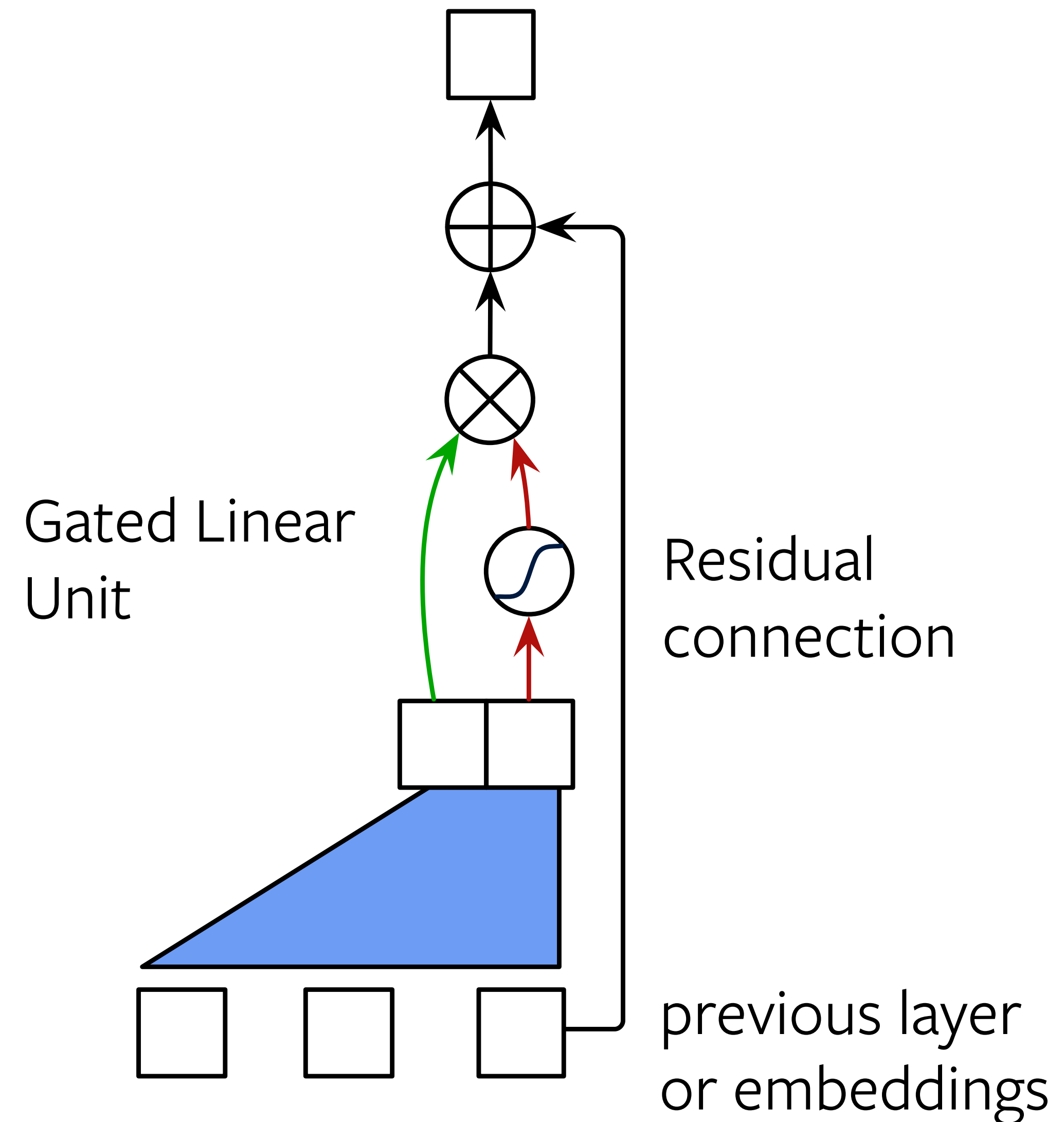
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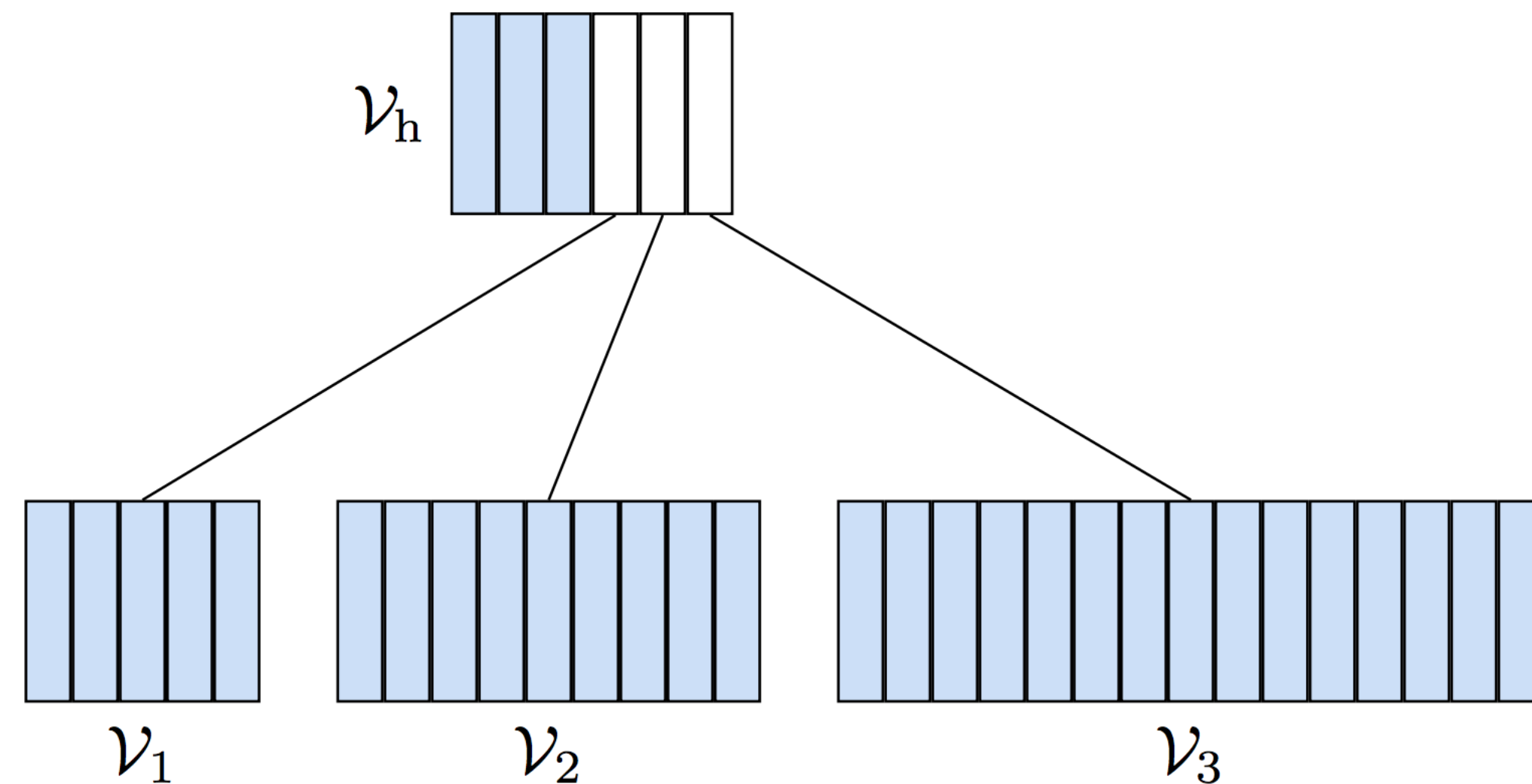
# Gated Linear Unit

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# Training

- We use SGD with Nesterov's momentum and weight normalization (Salimans & Kingma, 2016)
- Clipping for convnets (Pascanu et al. 2013)
- Adaptive Softmax (Grave et al, 2016) for very large vocabularies



# Datasets

- **Google billion words** (Chelba et al, 2013):
  - ~800k vocabulary with ~800M tokens
  - independent sentences (~20 tokens)
- **WikiText-103** (Bradbury et al, 2016)
  - ~200k vocabulary with ~100M tokens
  - wikipedia articles (~4000 tokens)

# Results: Google billion words

| Model                                                   | Test PPL |
|---------------------------------------------------------|----------|
| Sigmoid-RNN-2048 (Ji et al., 2015)                      | 68.3     |
| Interpolated KN 5-Gram (Chelba et al., 2013)            | 67.6     |
| Sparse Non-Negative Matrix LM (Shazeer et al., 2014)    | 52.9     |
| RNN-1024 + MaxEnt 9 Gram Features (Chelba et al., 2013) | 51.3     |
| LSTM-2048-512 (Jozefowicz et al., 2016)                 | 43.7     |
| 2-layer LSTM-8192-1024 (Jozefowicz et al., 2016)        | 30.6     |
| LSTM-2048 (Grave et al., 2016a)                         | 43.9     |
| 2-layer LSTM-2048 (Grave et al., 2016a)                 | 39.8     |
| GCNN-13                                                 | 38.1     |
| GCNN-14 Bottleneck                                      | 31.9     |

- GatedCNN manages to match the LSTM with comparable output approximation and computational budget for training

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| BIG GLSTM-G4 (Kuchaiev & Ginsburg, 2017)                | 23.3*    |
| LSTM-2048 (Grave et al., 2016a)                         | 43.9     |
| 2-layer LSTM-2048 (Grave et al., 2016a)                 | 39.8     |
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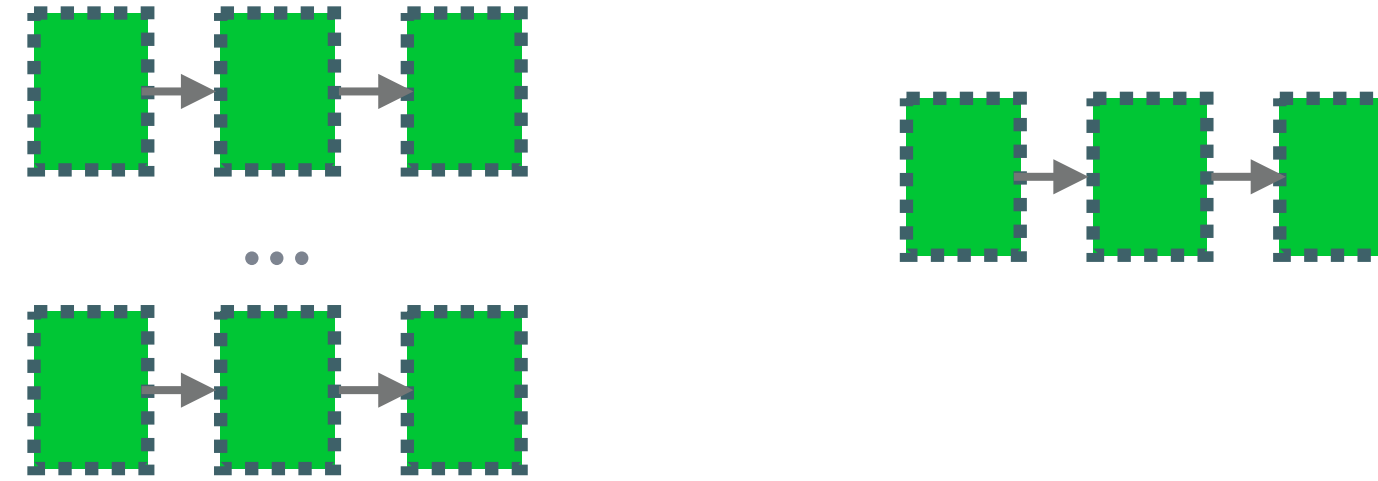
- GatedCNN manages to match the LSTM with comparable output approximation and computational budget for training

# Results: Wikitext-103

| Model                           | Test PPL |
|---------------------------------|----------|
| LSTM-1024 (Grave et al., 2016b) | 48.7     |
| GCNN-8                          | 44.9     |
| GCNN-14                         | 37.2     |

- SOTA accuracy despite limited context size (25 & 32 words)

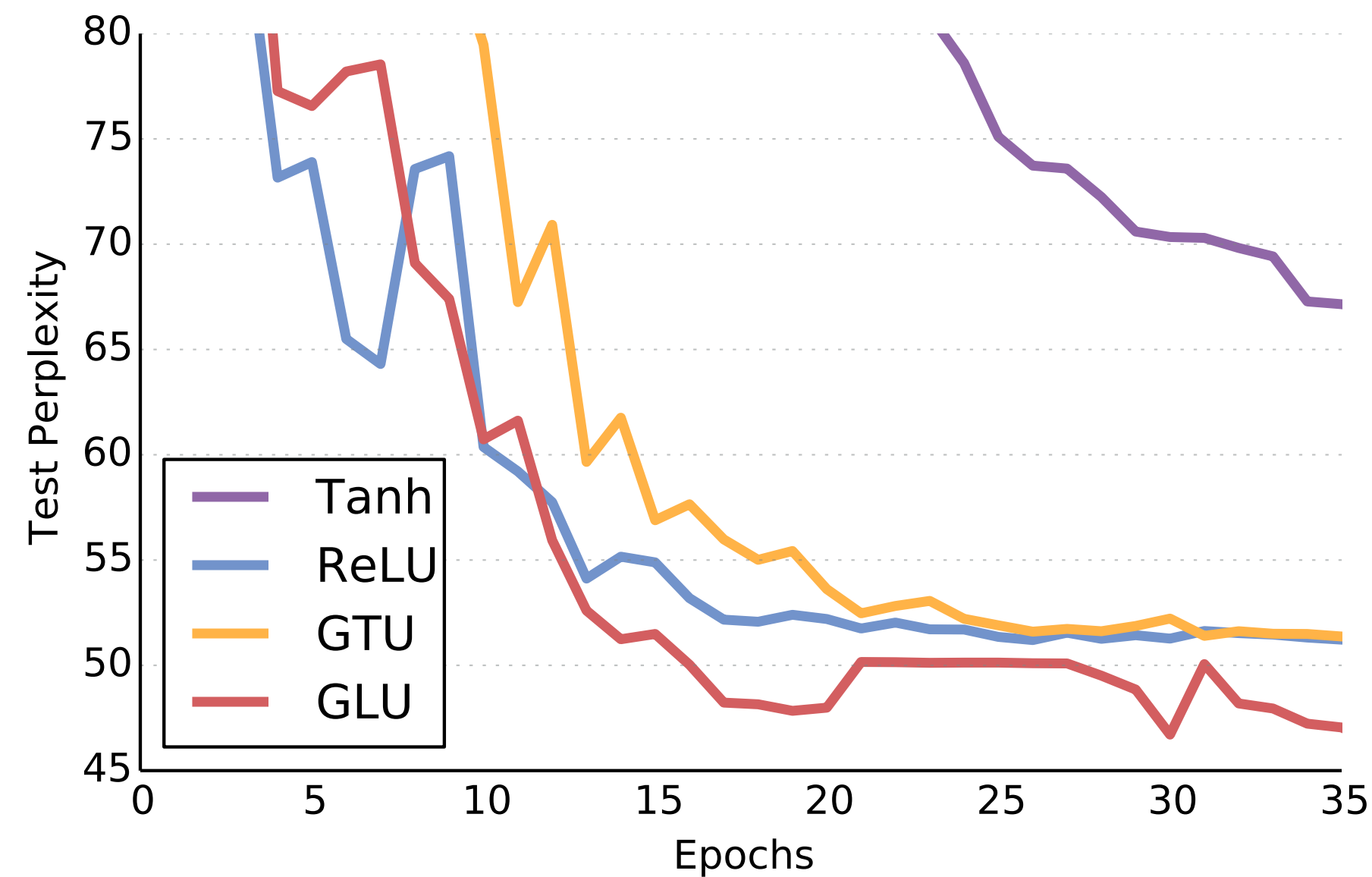
# Speed



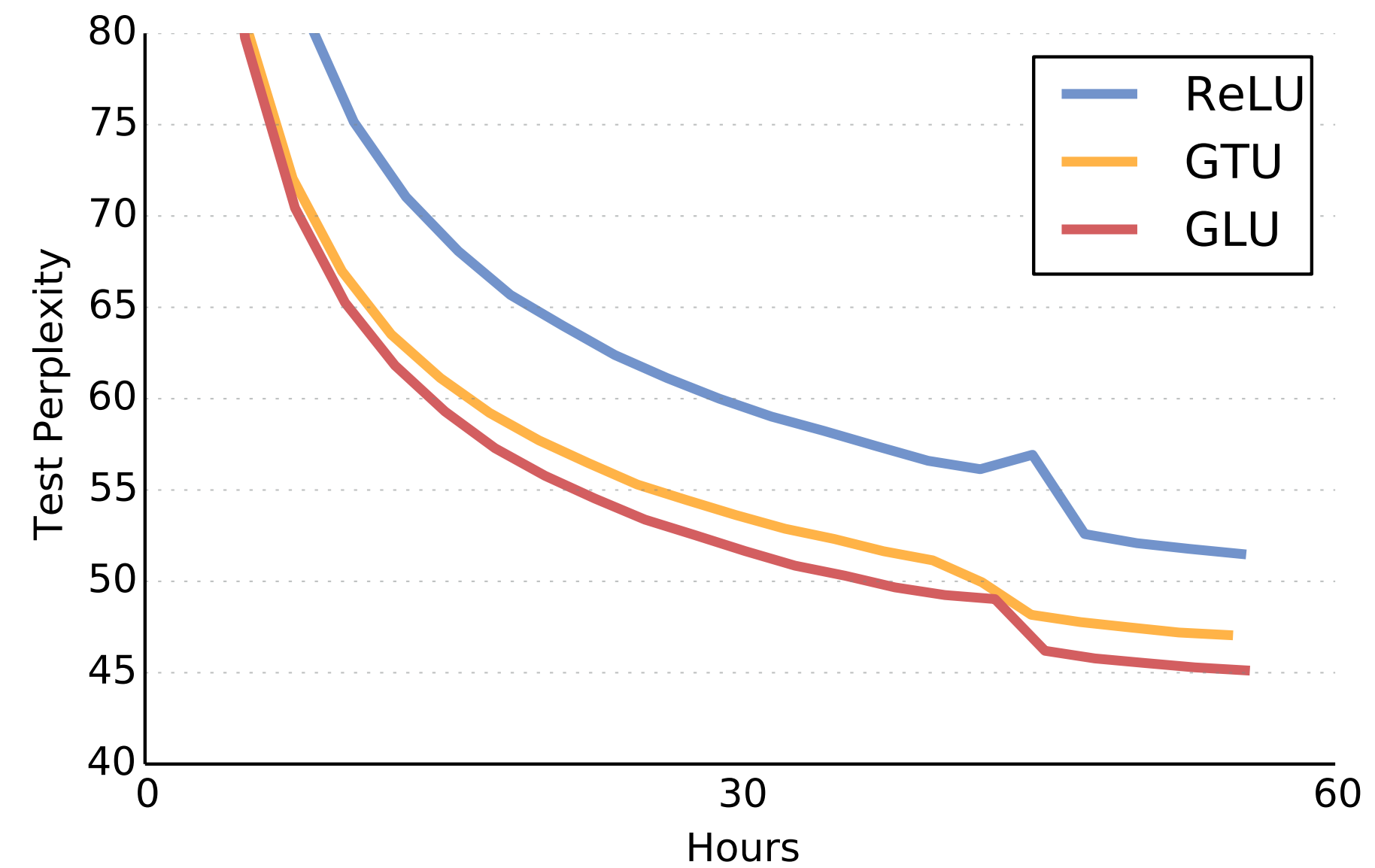
|                   | Throughput |               | Responsiveness |
|-------------------|------------|---------------|----------------|
|                   | (CPU)      | (GPU)         | (GPU)          |
| LSTM-2048         | 169        | 45,622        | 2,282          |
| GCNN-9            | 121        | 29,116        | 29,116         |
| GCNN-8 Bottleneck | <b>179</b> | <b>45,878</b> | <b>45,878</b>  |

- Throughput is the number of tokens per second
- Responsiveness is the number of sequential tokens per second

# Gating



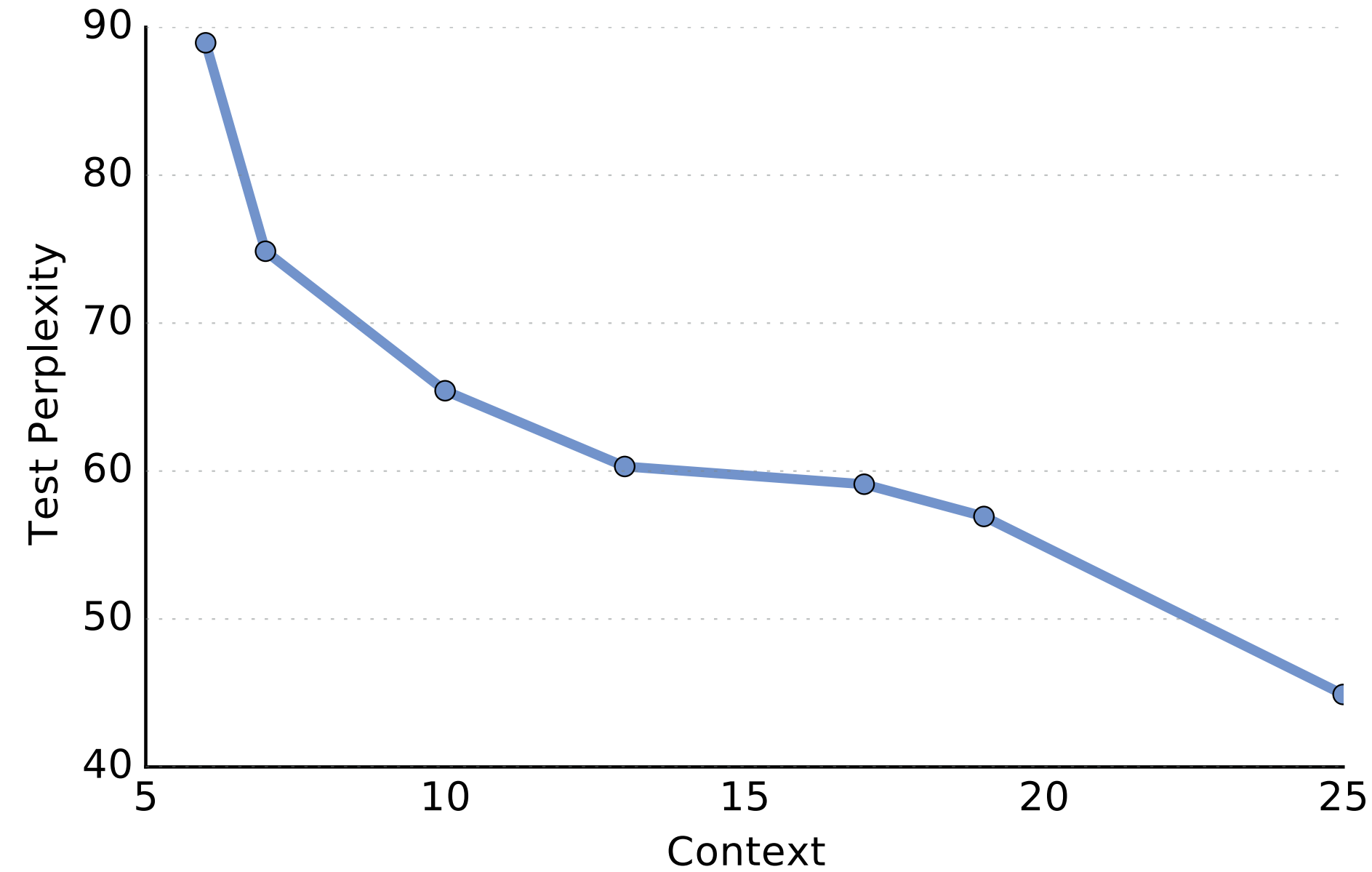
WikiText-103



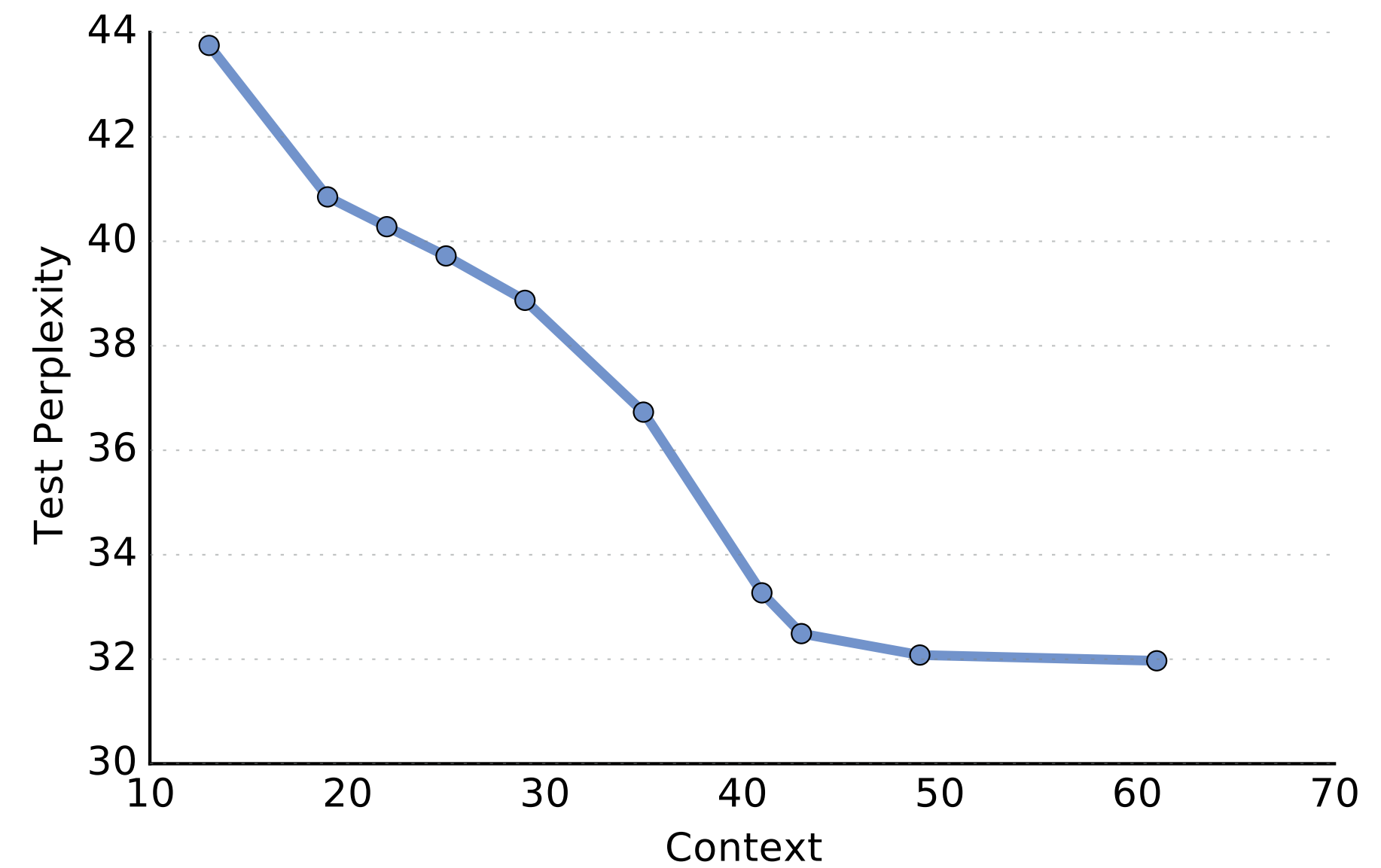
Google Billion Words

- Gated linear units (GLU in red) converge faster
- GTU is LSTM style gating of (Oord et al, 2016)

# Context



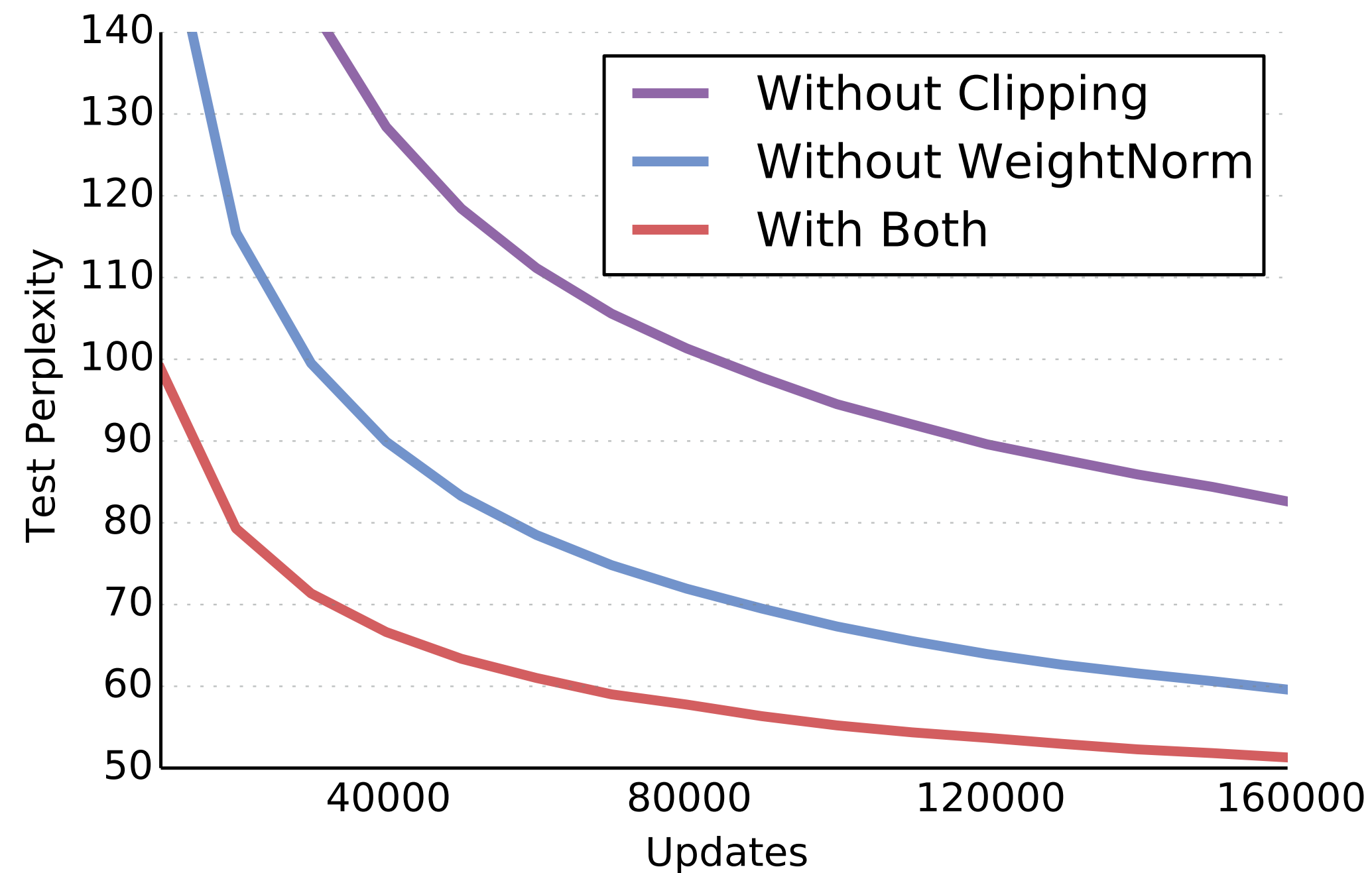
WikiText-103



Google Billion Words

- Competitive performance can be achieved with context of less than 40 tokens.

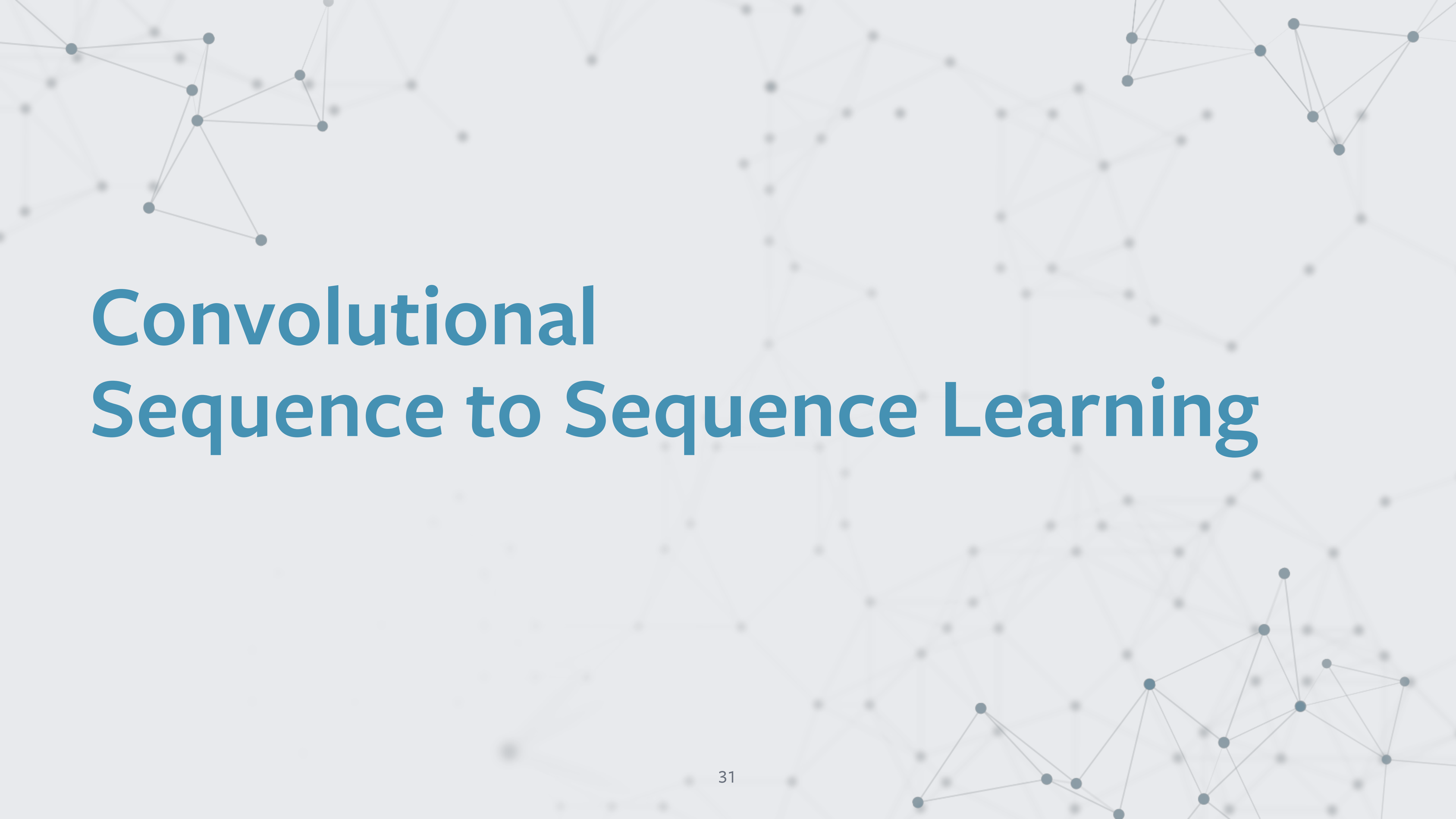
# Training algorithm



- Clipping and weight normalization speed up convergence by allowing large learning rates without divergence

# Summary

- Fully convolutional model of language that is competitive with LSTMs.
- Demonstrated impact of gating mechanisms for this task.
- Shown faster response times with this approach.

The background of the slide features a complex, abstract network of nodes and lines. The nodes are represented by small, dark grey circles, and the lines are thin, light grey lines connecting these nodes. The network is distributed across the entire slide, with some areas being more densely connected than others, creating a sense of a global or interconnected system. The overall color palette is a mix of light greys and dark greys, providing a clean, modern aesthetic.

# Convolutional Sequence to Sequence Learning

# Convolutional Sequence to Sequence Learning

- non-RNN models can outperform very well-engineered RNNs on large translation benchmarks
- Multi-hop attention
- Approach with very fast inference speed: >9x faster than RNN

Code and pre-trained models available!

Lua/Torch: <https://github.com/facebookresearch/fairseq>

PyTorch: <https://github.com/facebookresearch/fairseq-py>

# Previous work

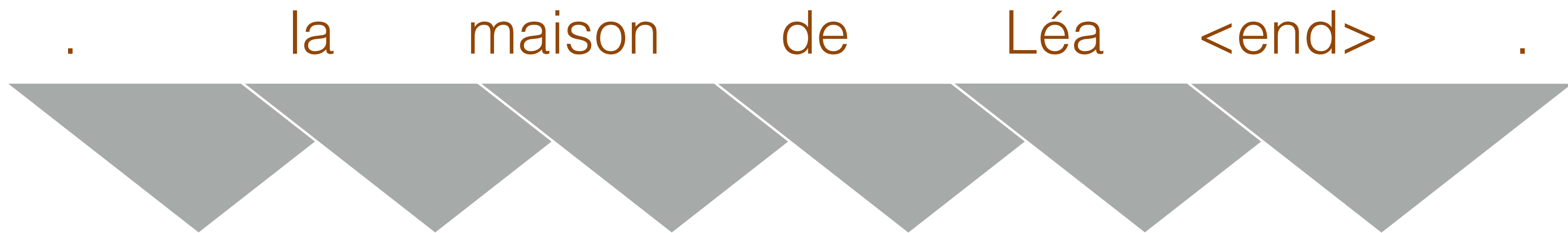
- ByteNet (Kalchbrenner et al. 2016)  
Characters, dilated convolutions, no attention
- Quasi-RNNs (Bradburry et al., 2016)  
Recurrent pooling of CNN outputs, but still an RNN
- Convolutional encoders (Gehring et al., 2016)  
CNN encoder, LSTM decoder

. la maison de Léa <end> .

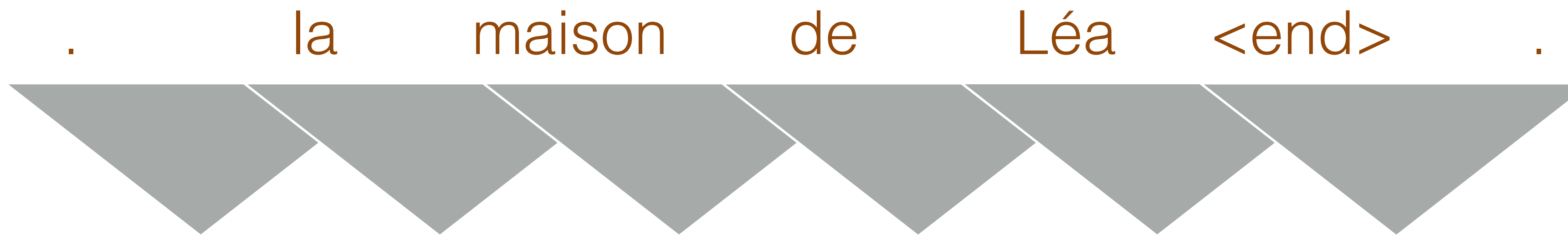
. la maison de Léa <end> .

Encoder

Encoder



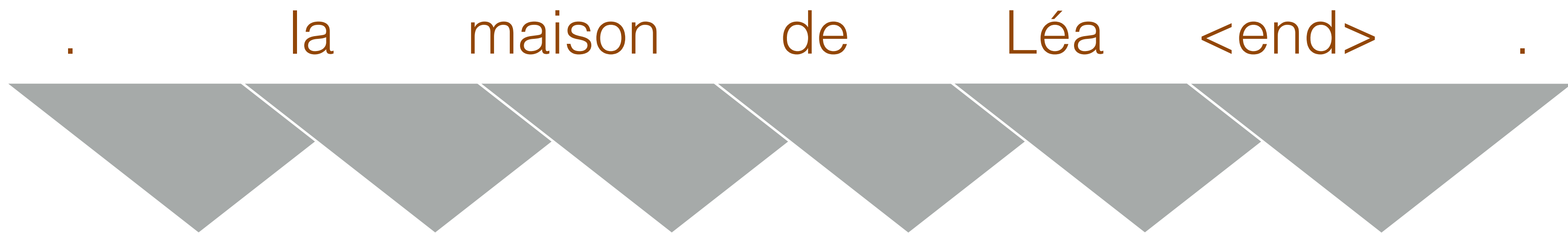
Encoder



Decoder

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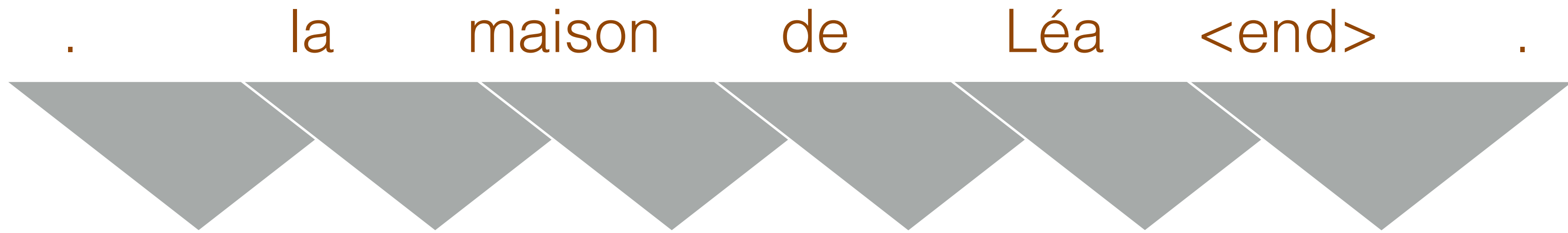
Encoder



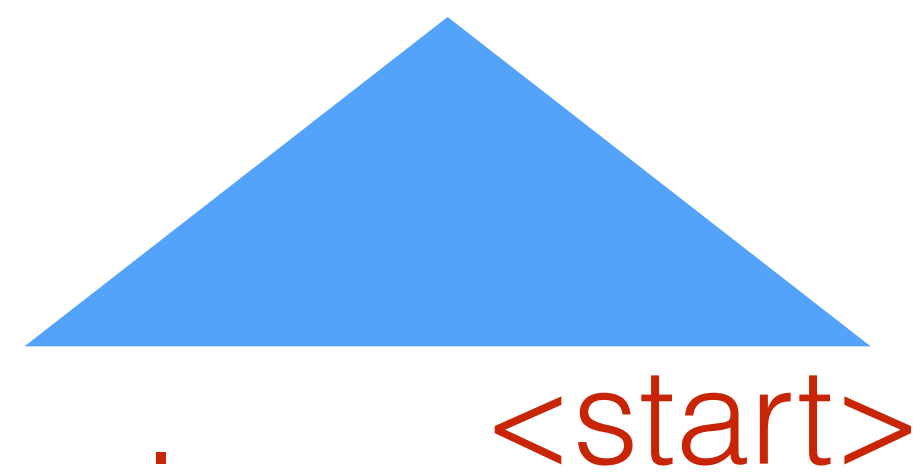
Decoder

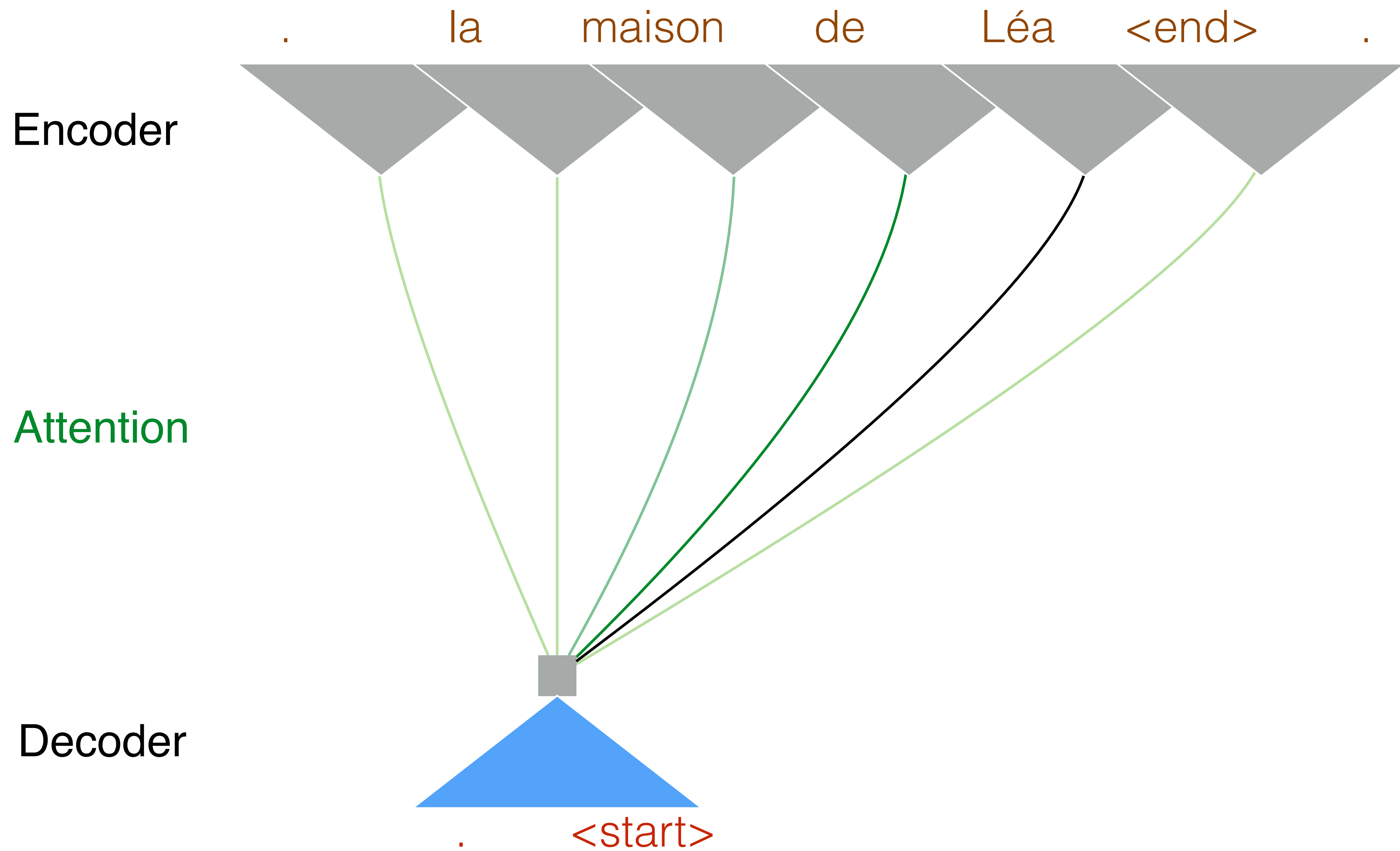
. <start>

Encoder

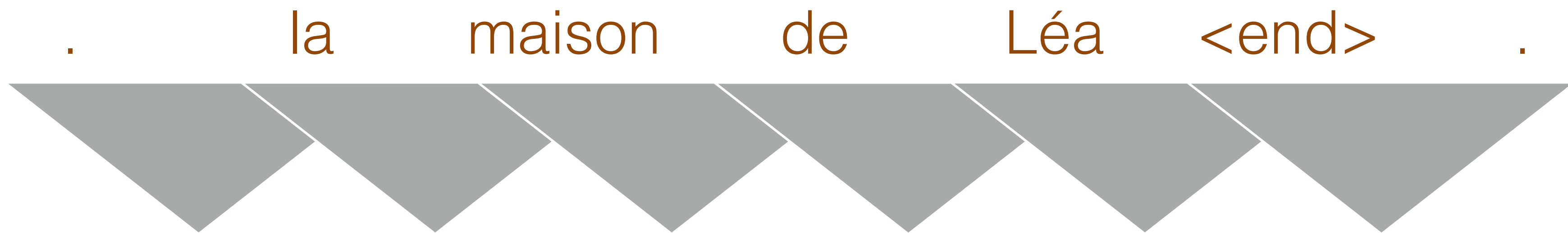


Decoder



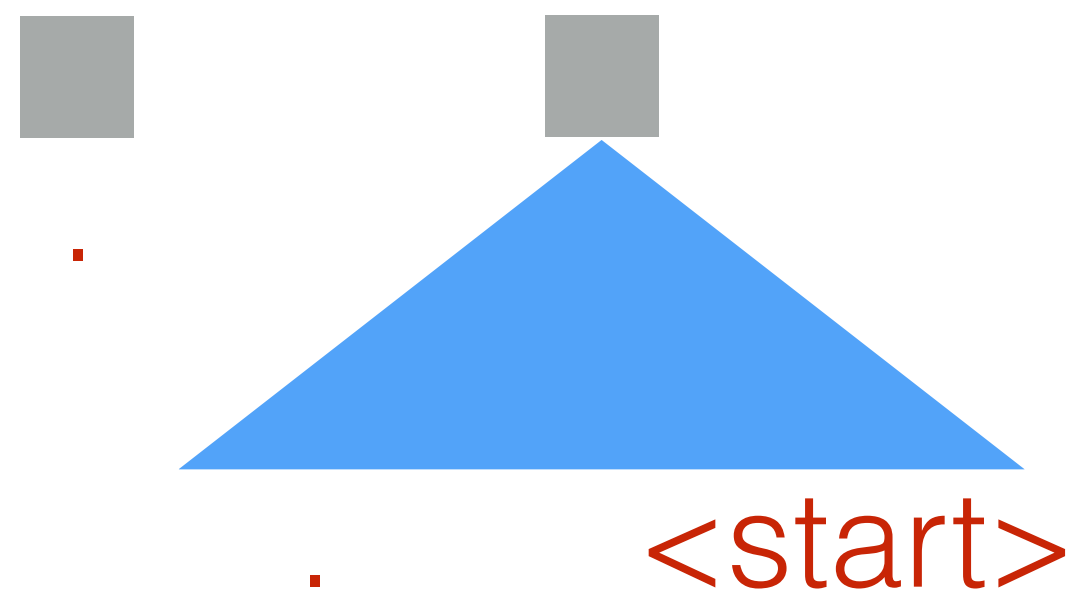


Encoder

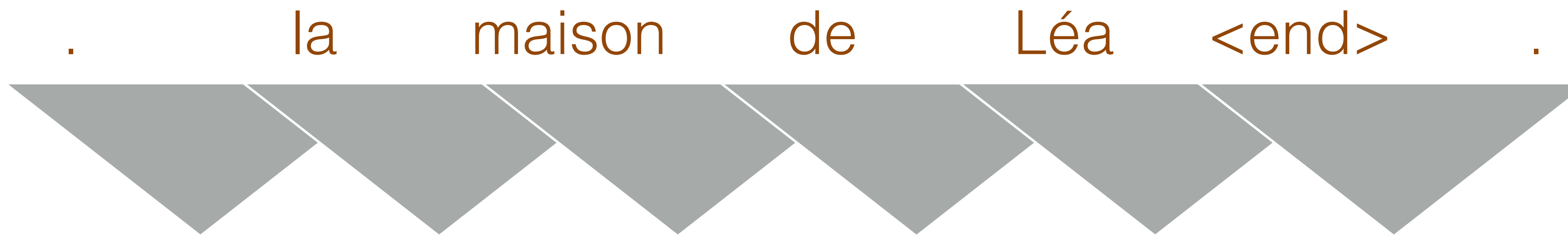


Attention

Decoder

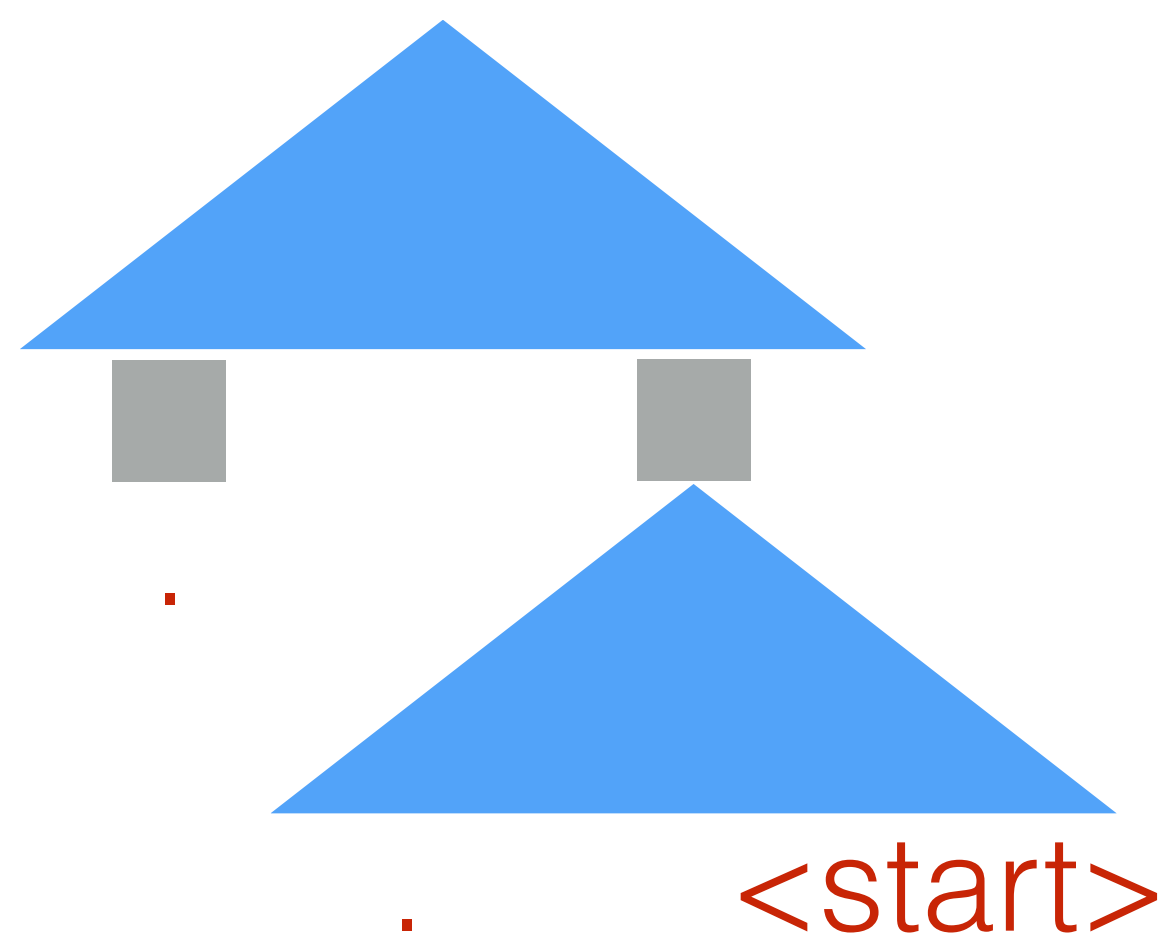


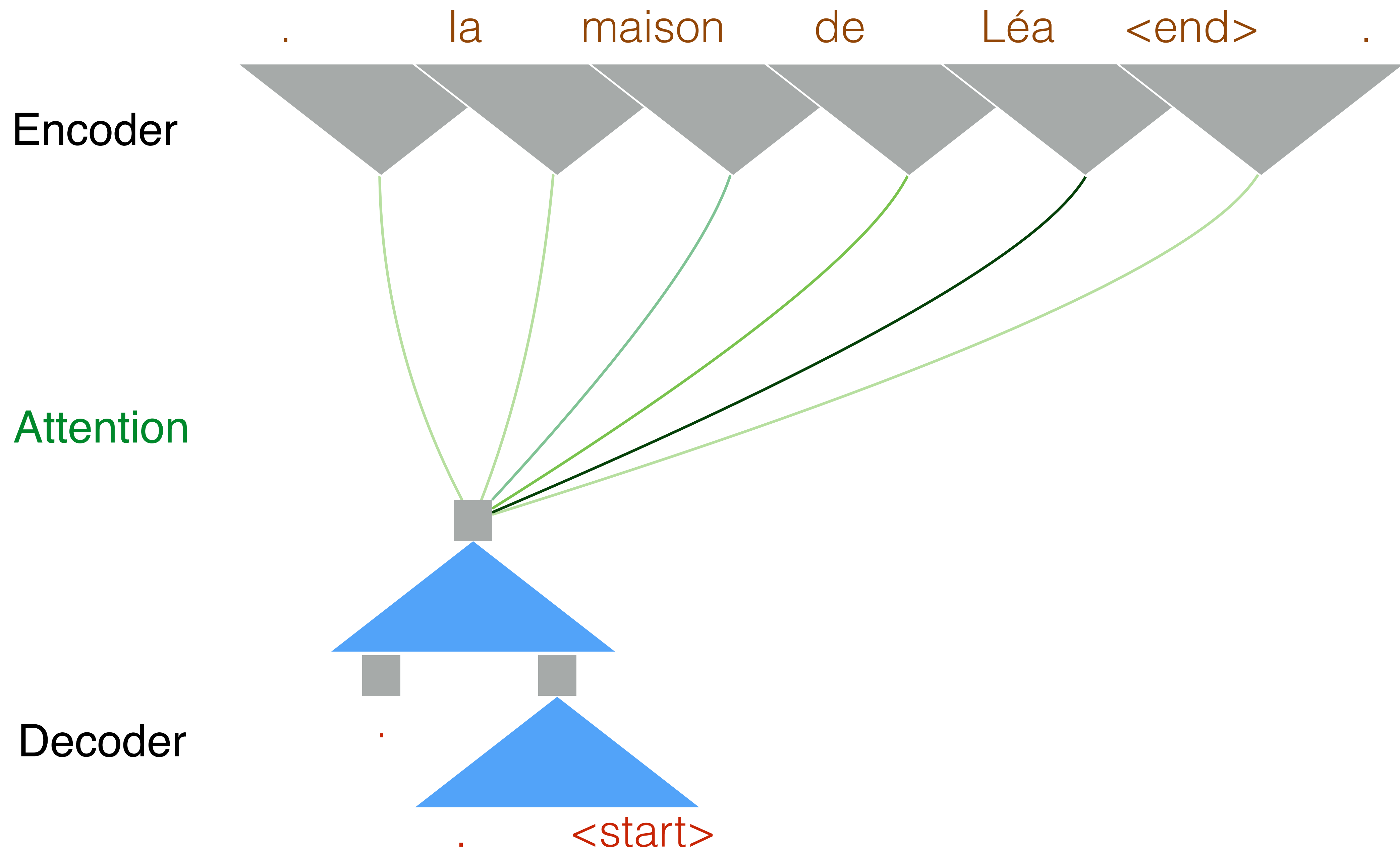
Encoder

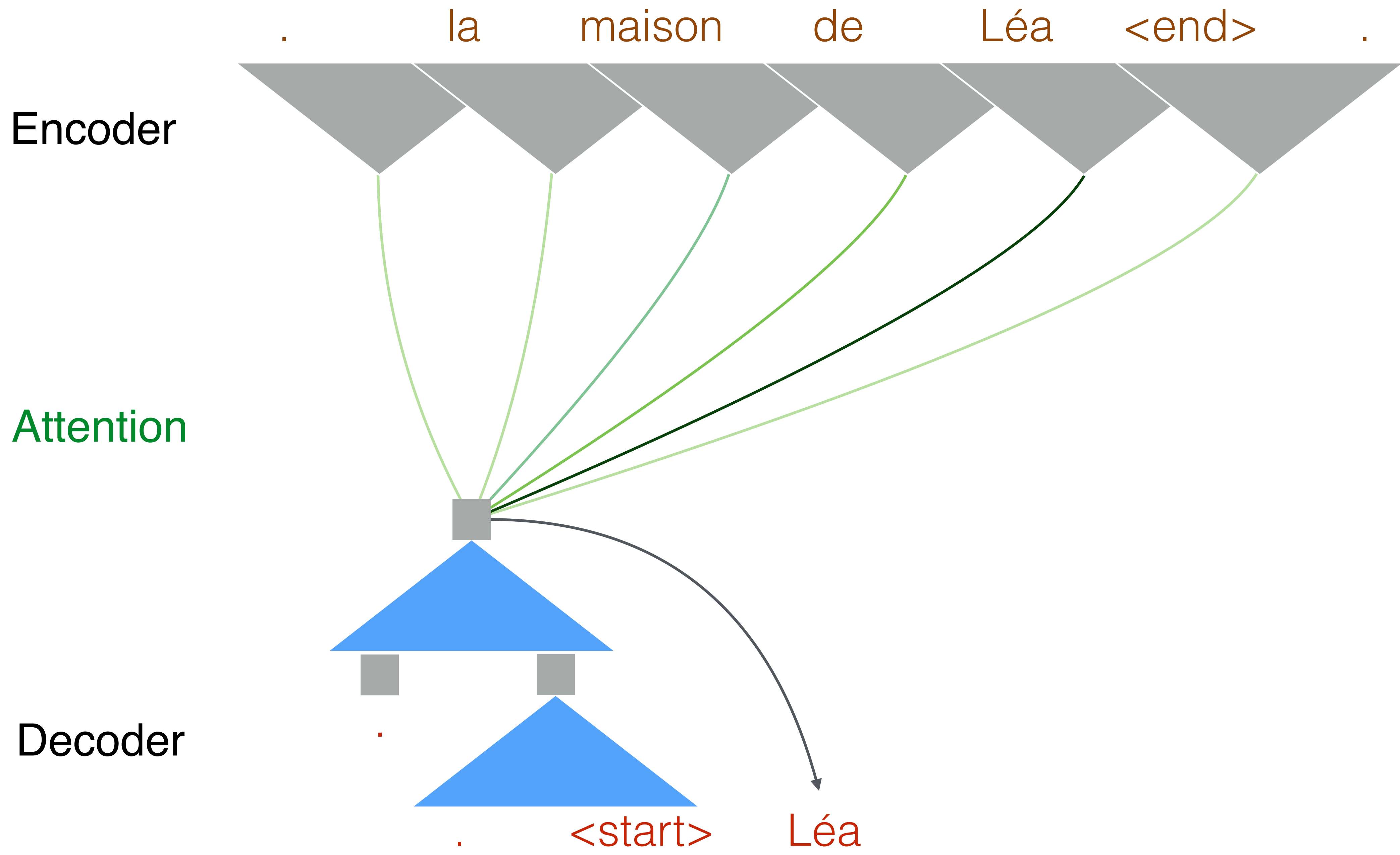


Attention

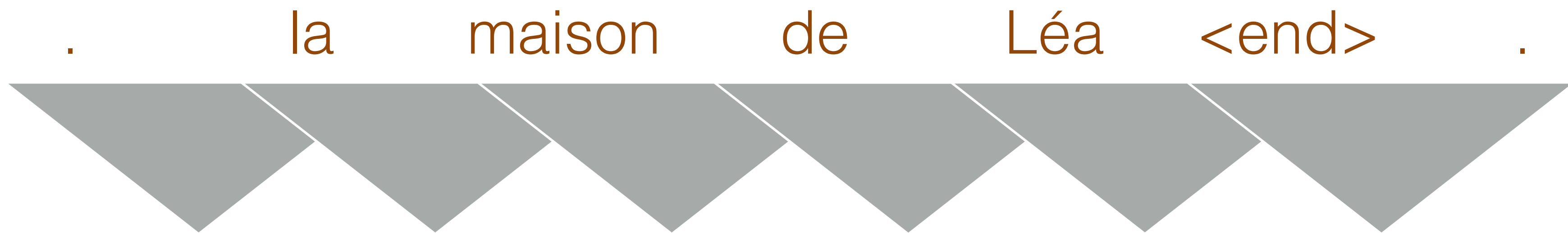
Decoder



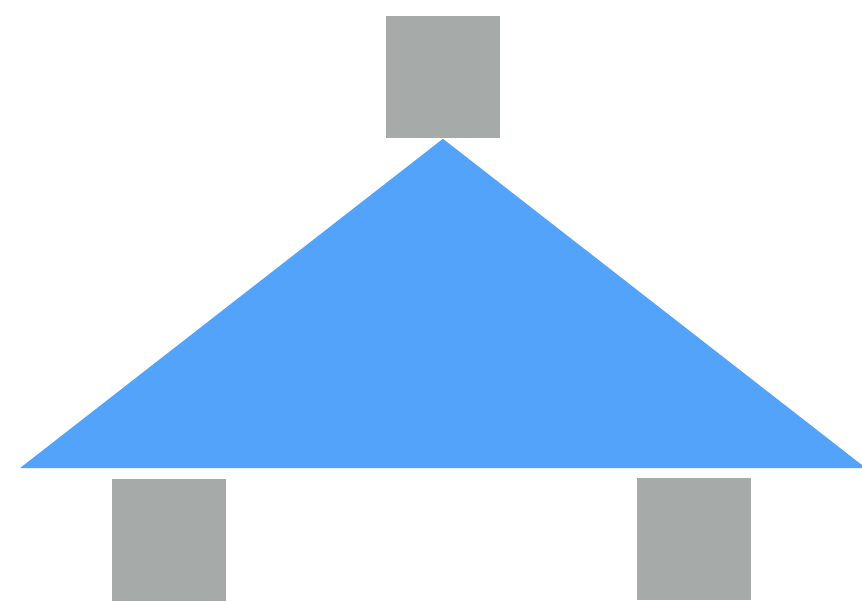




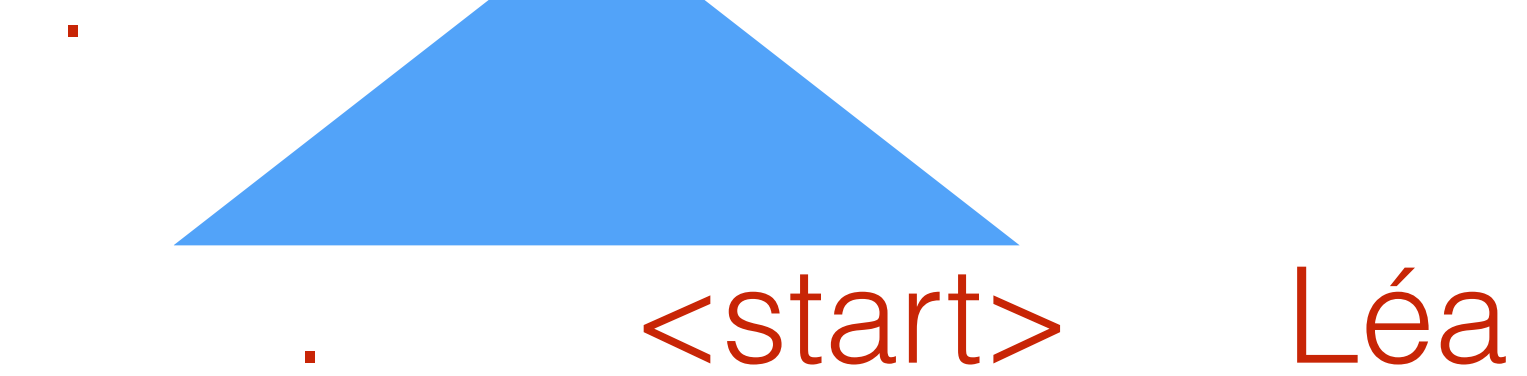
Encoder



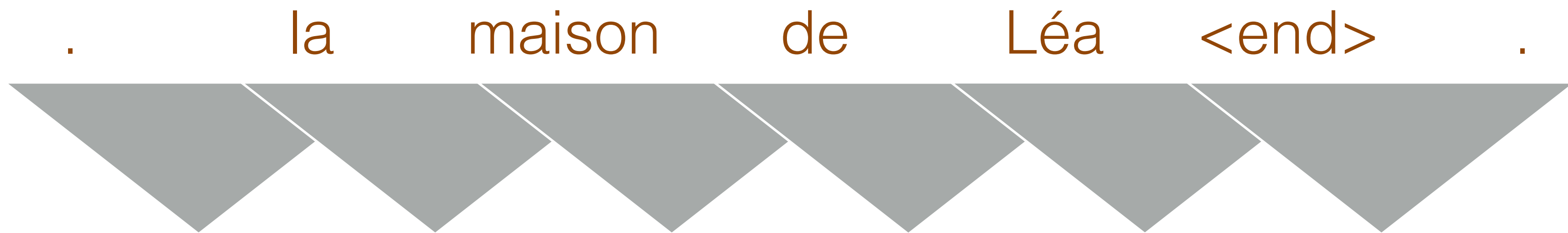
Attention



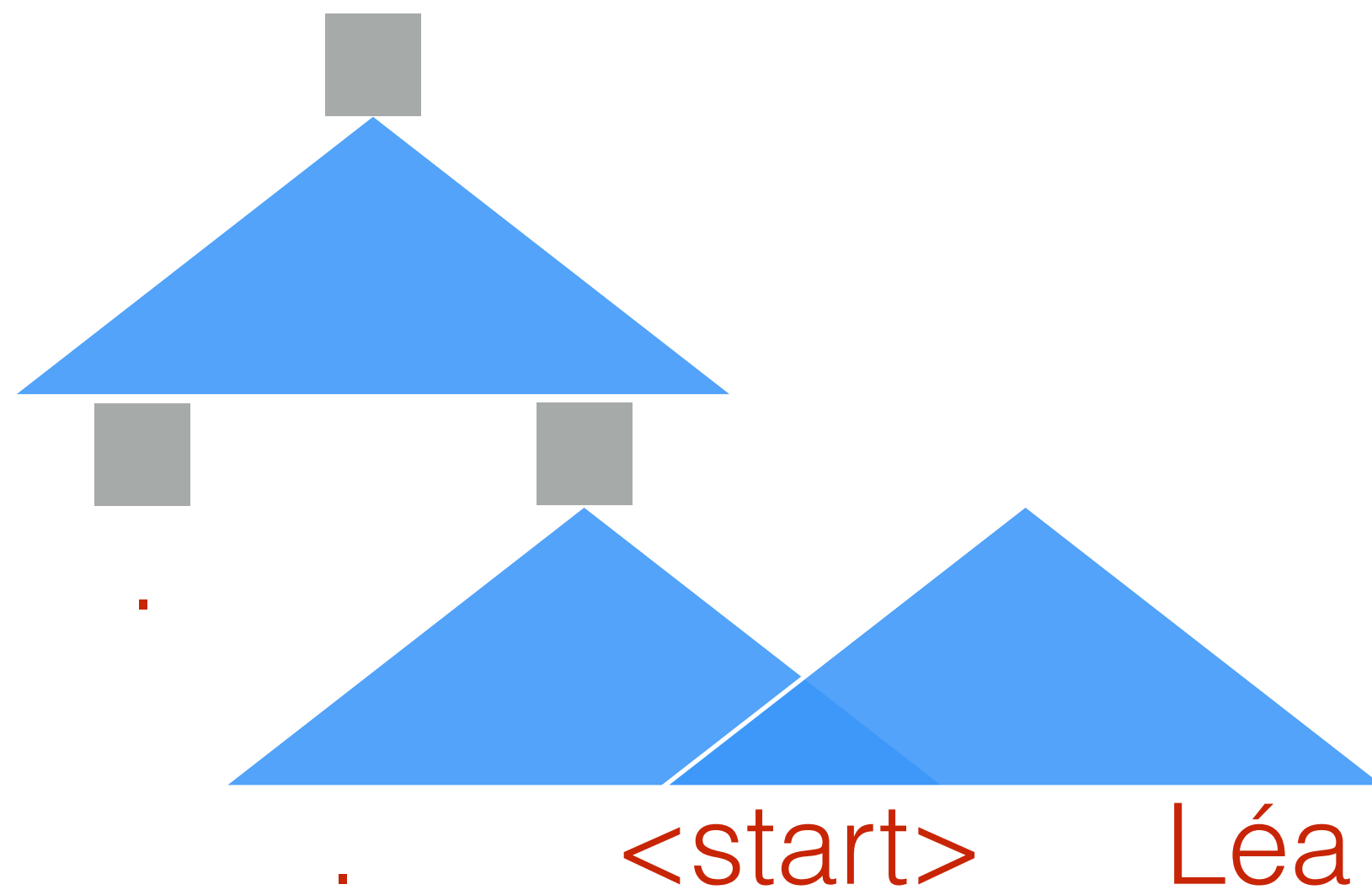
Decoder



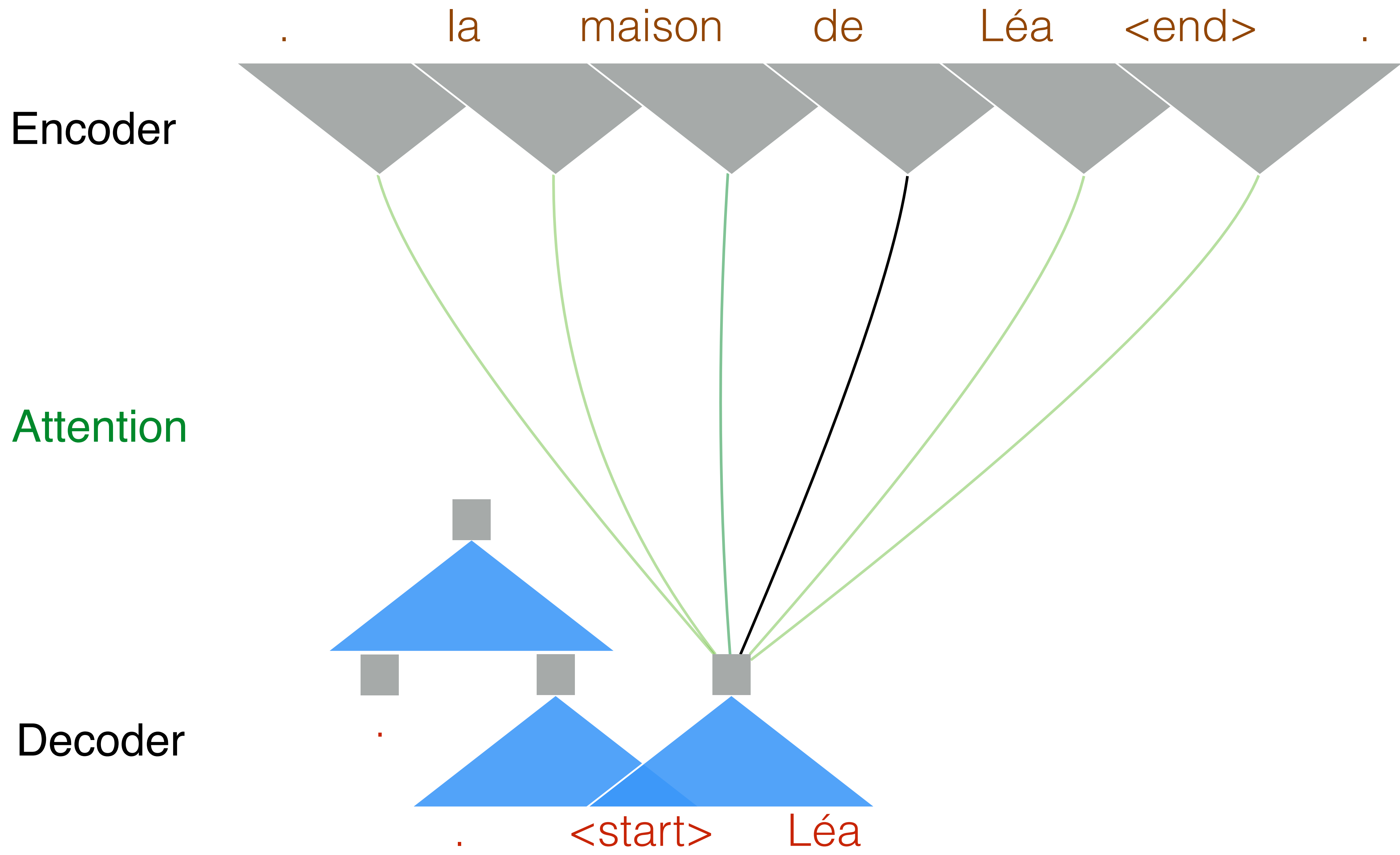
Encoder



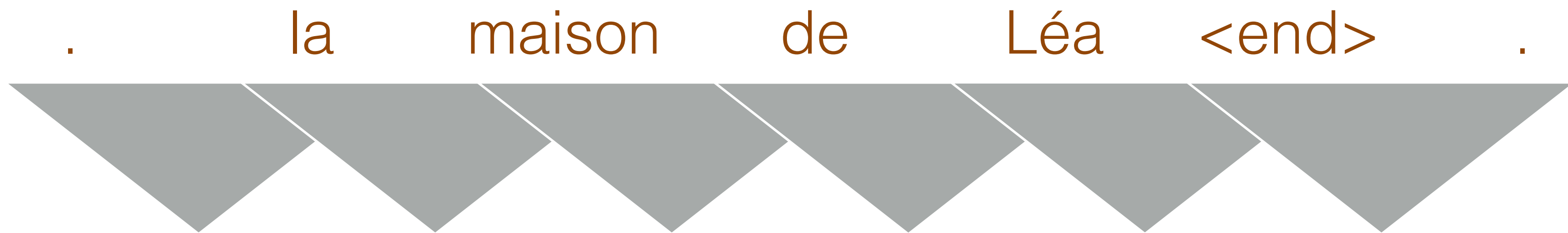
Attention



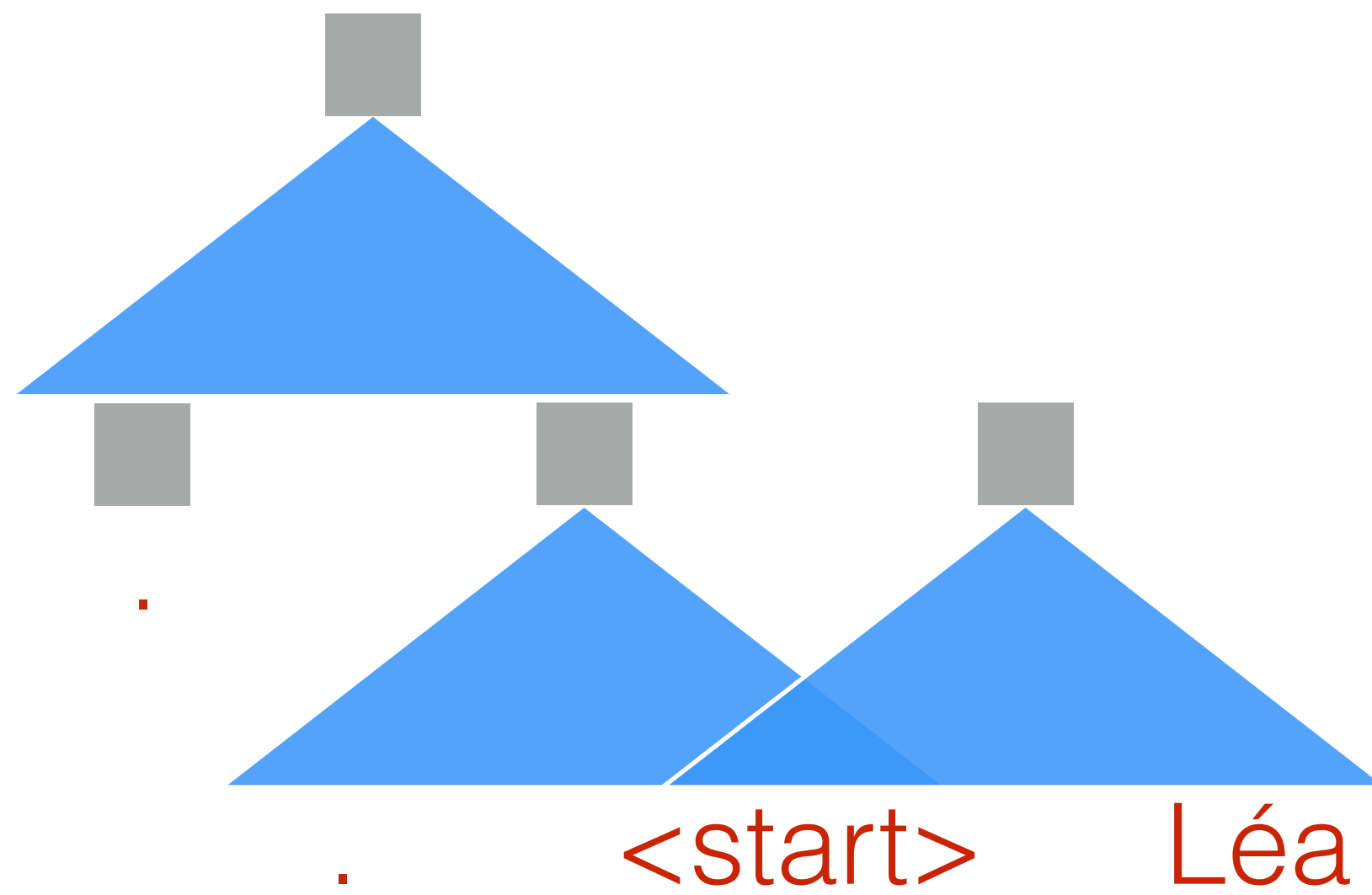
Decoder



Encoder

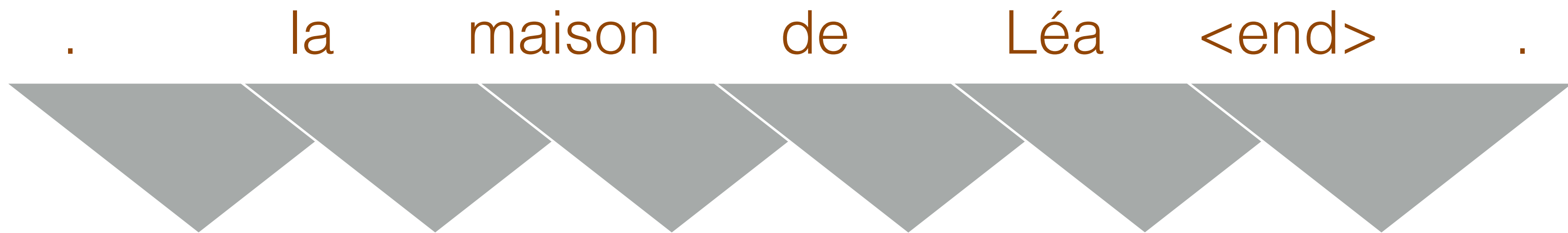


Attention

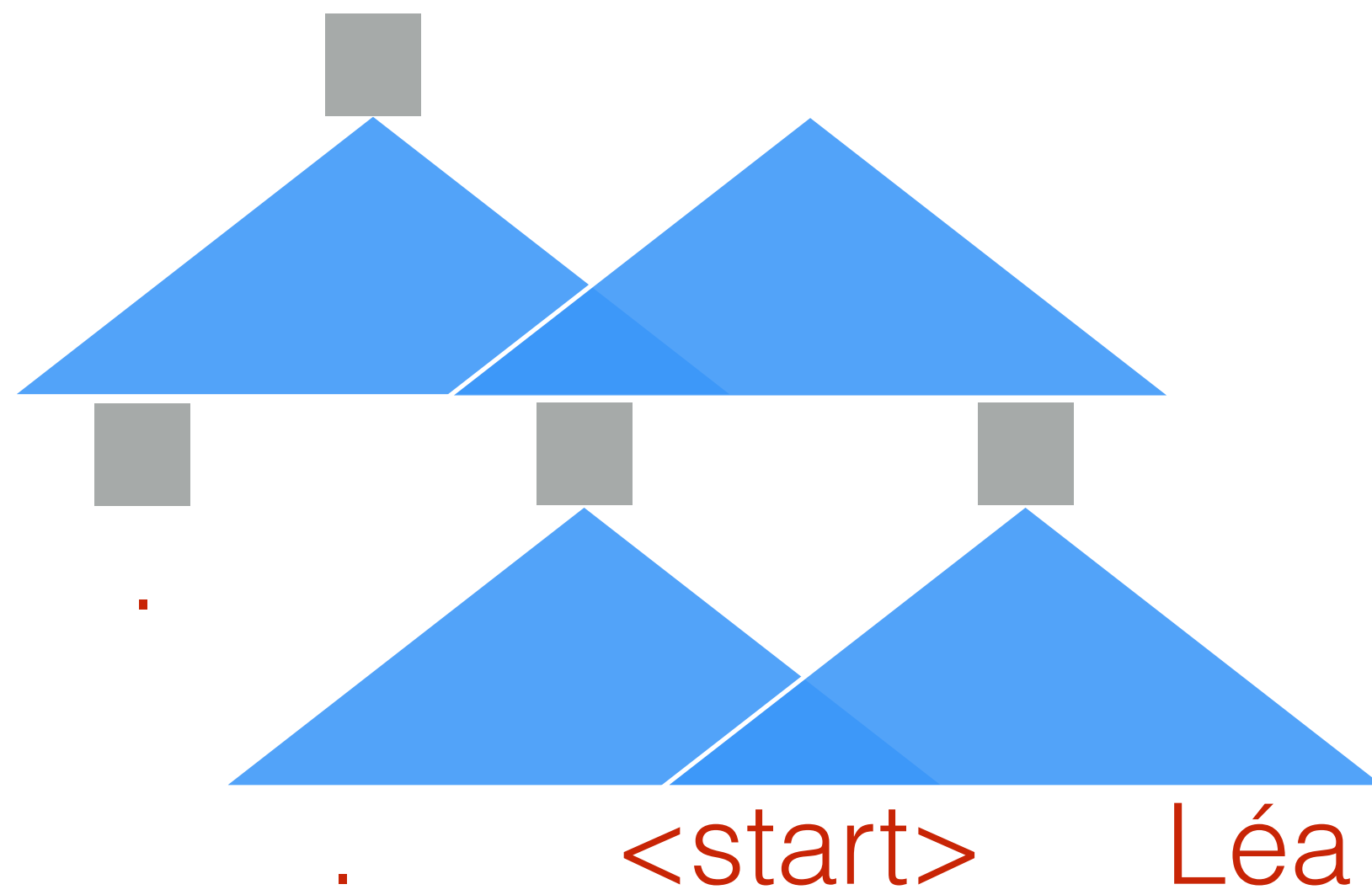


Decoder

Encoder

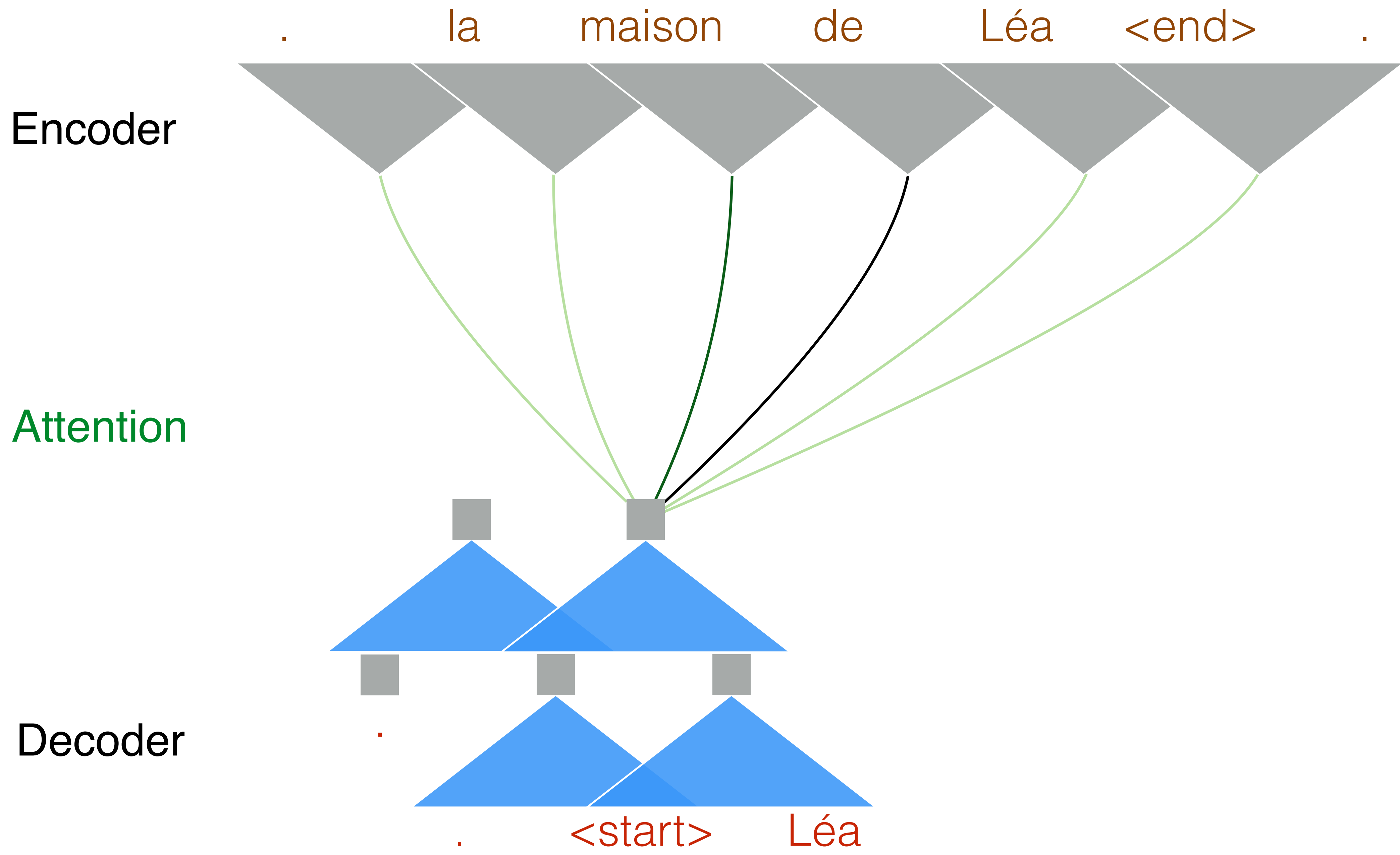


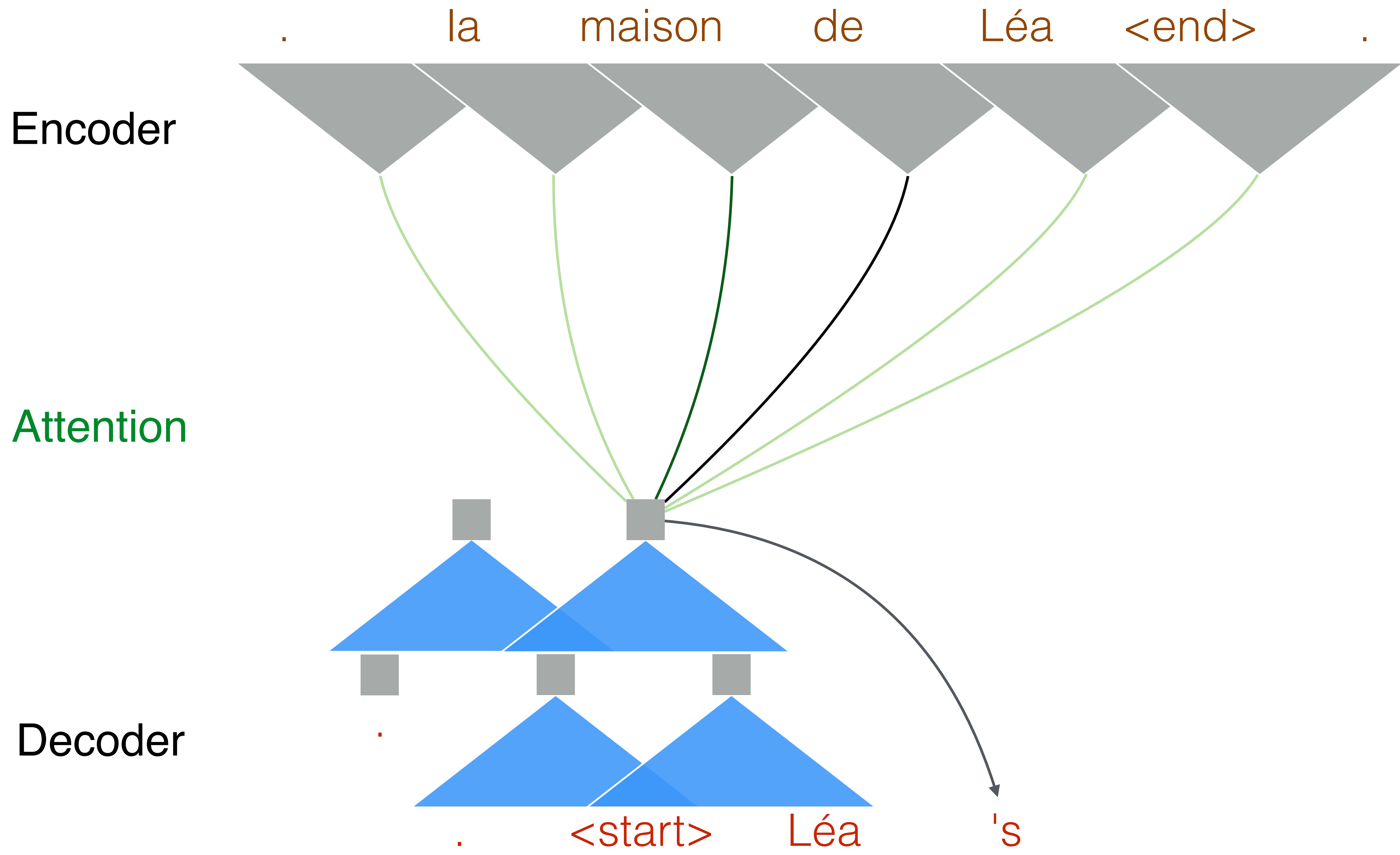
Attention



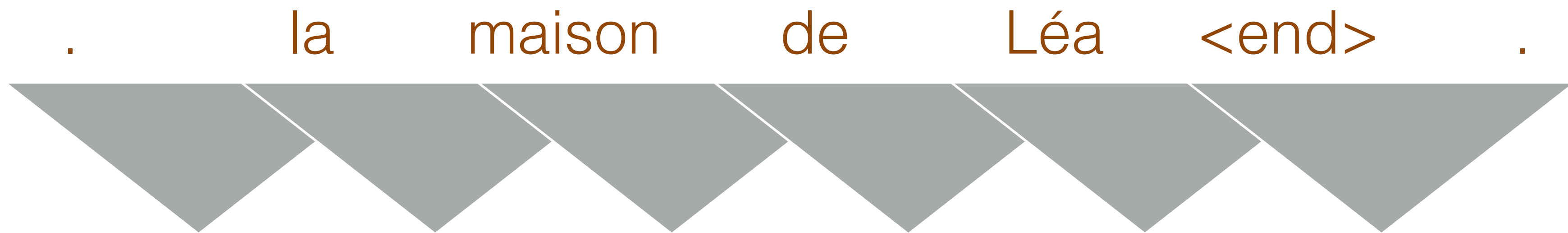
Decoder

. <start> Léa

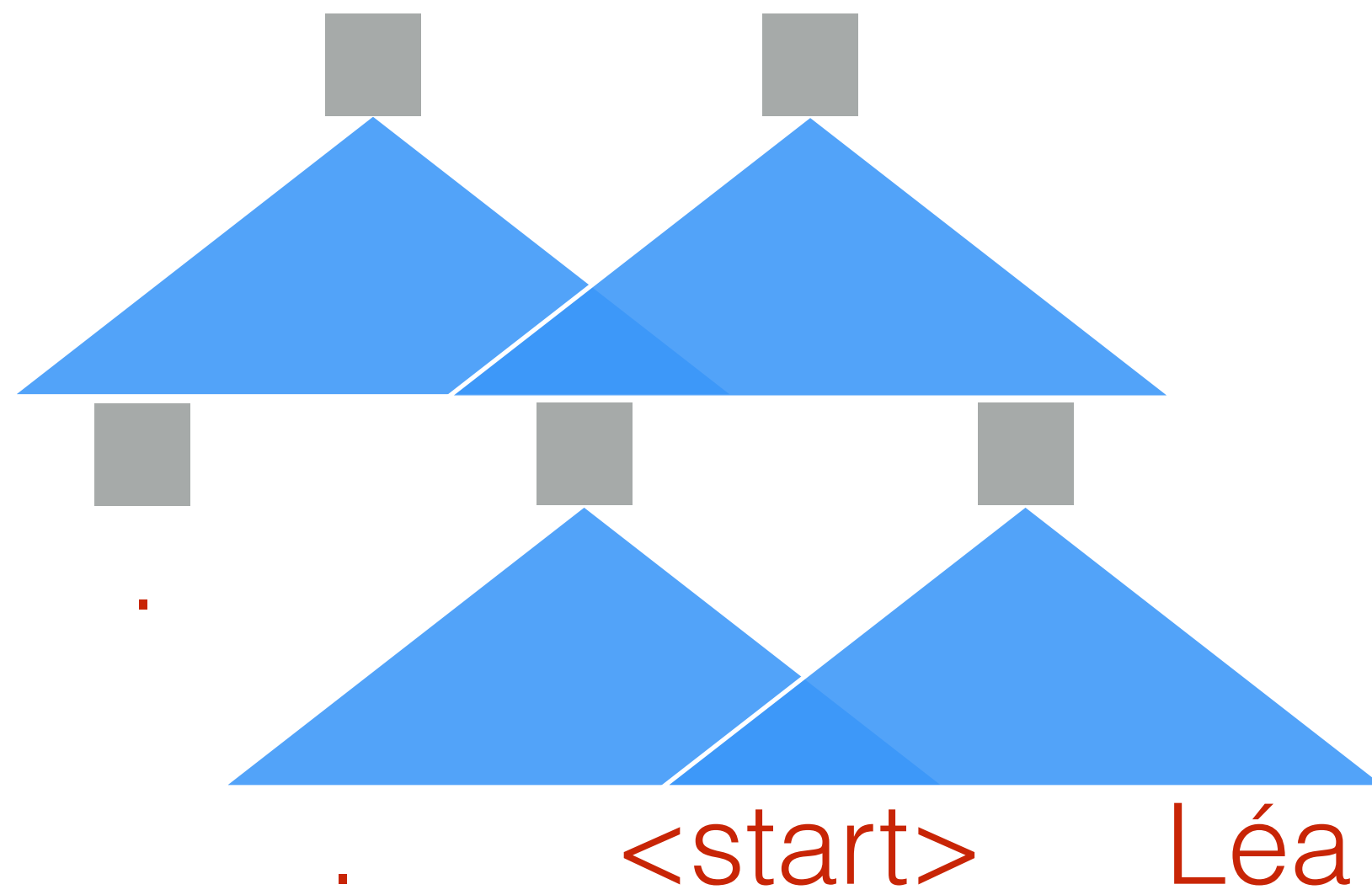




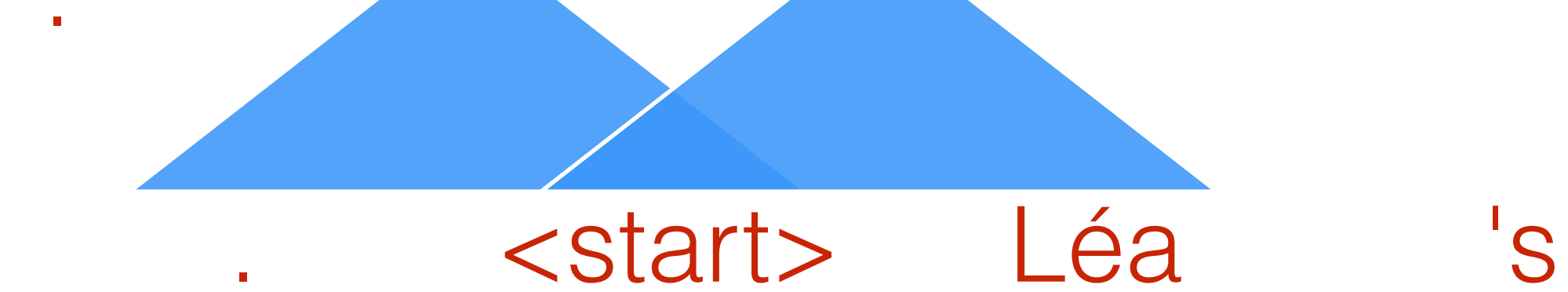
Encoder



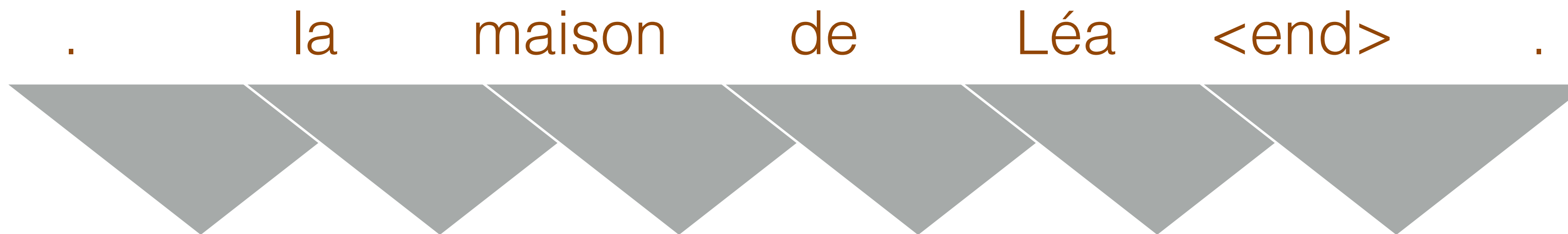
Attention



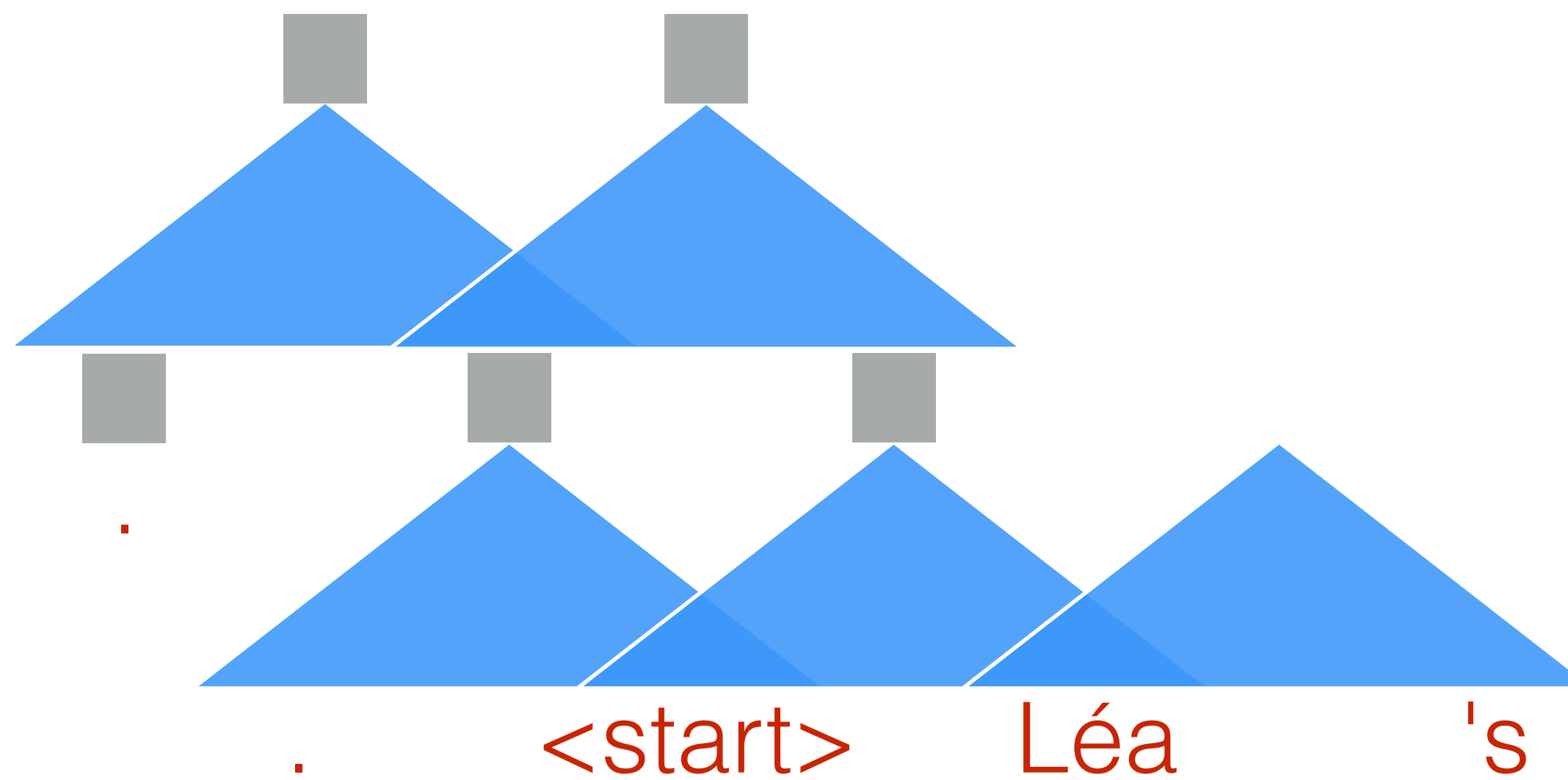
Decoder



Encoder

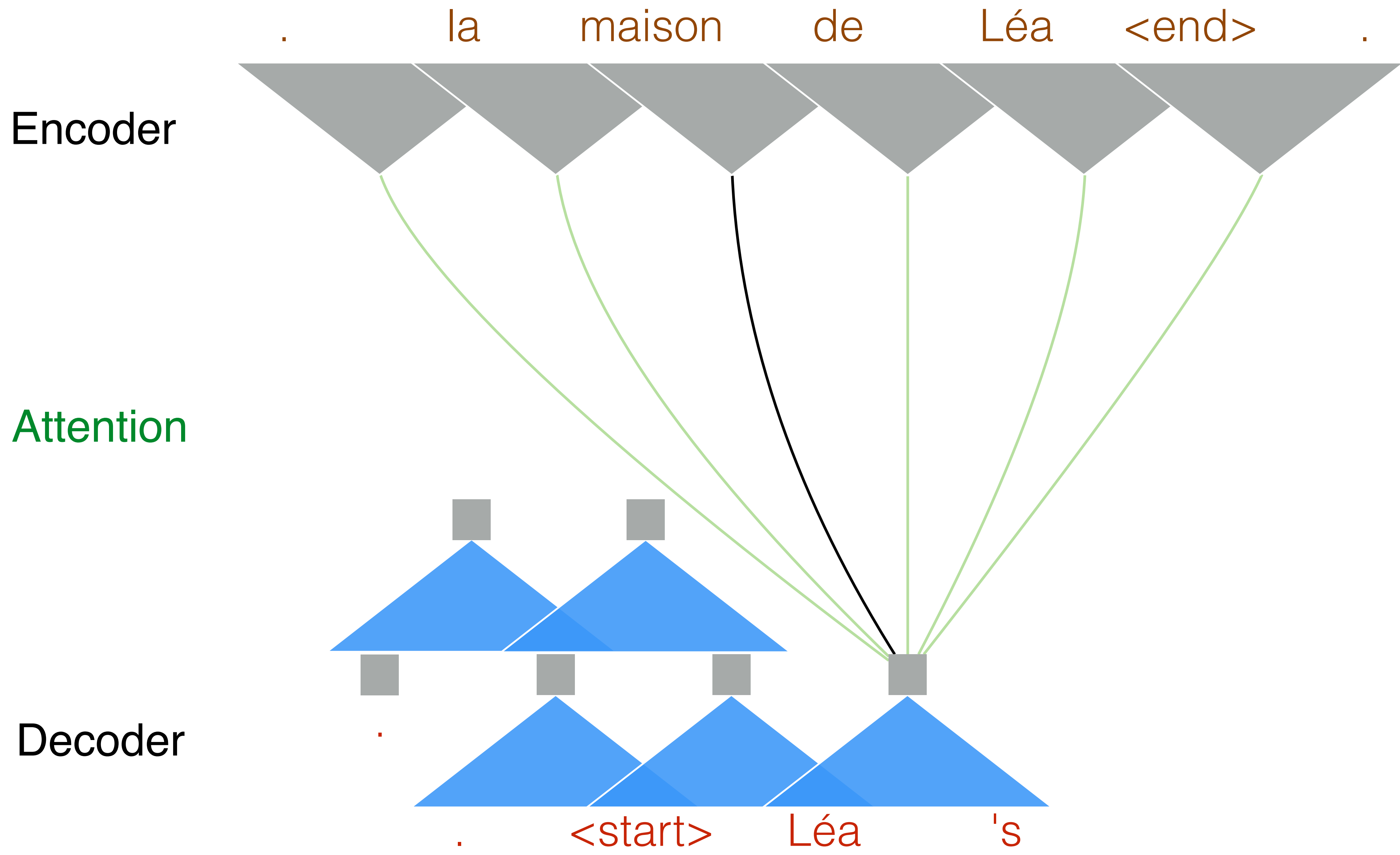


Attention

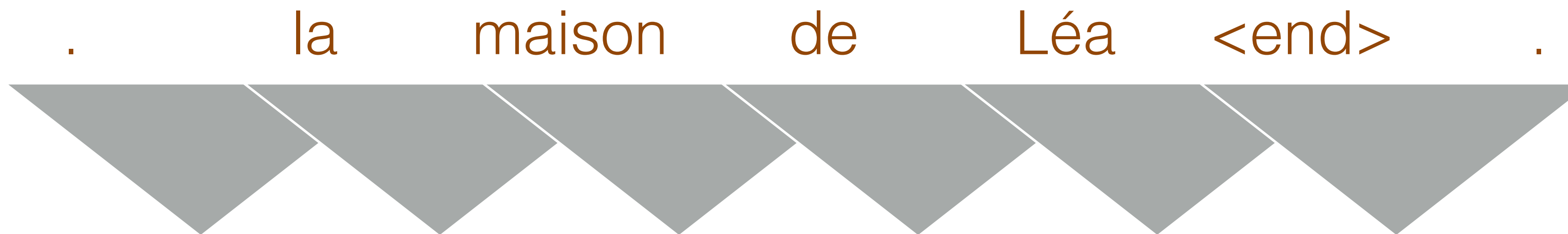


Decoder

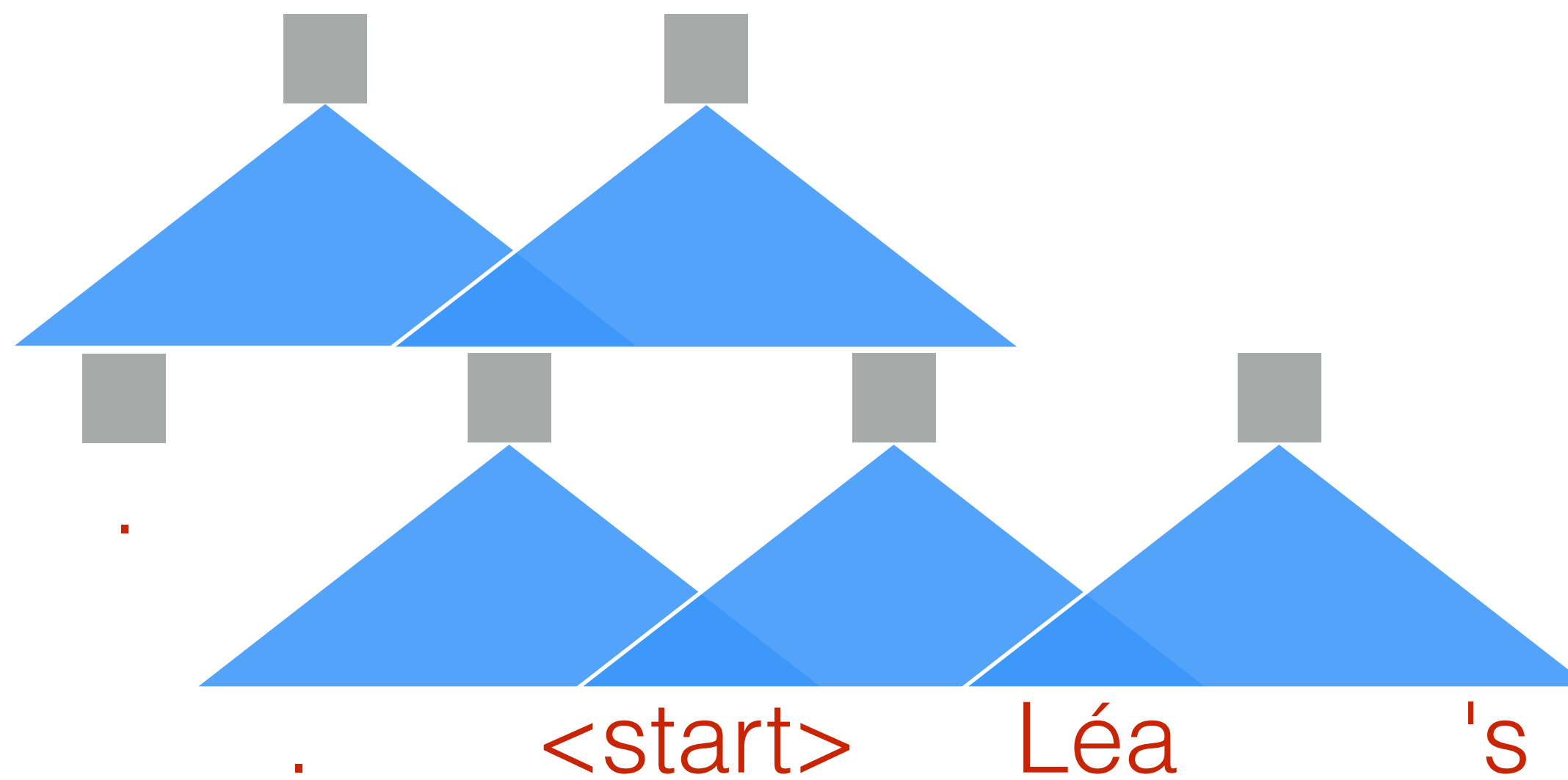
., <start>, Léa, 's



Encoder



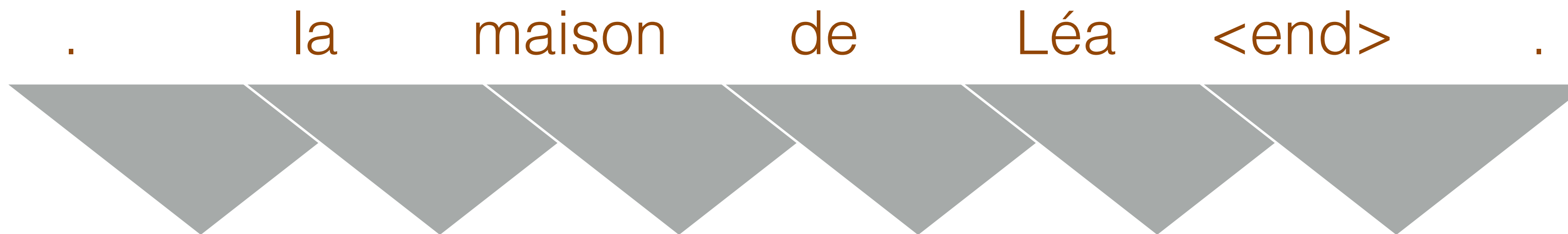
Attention



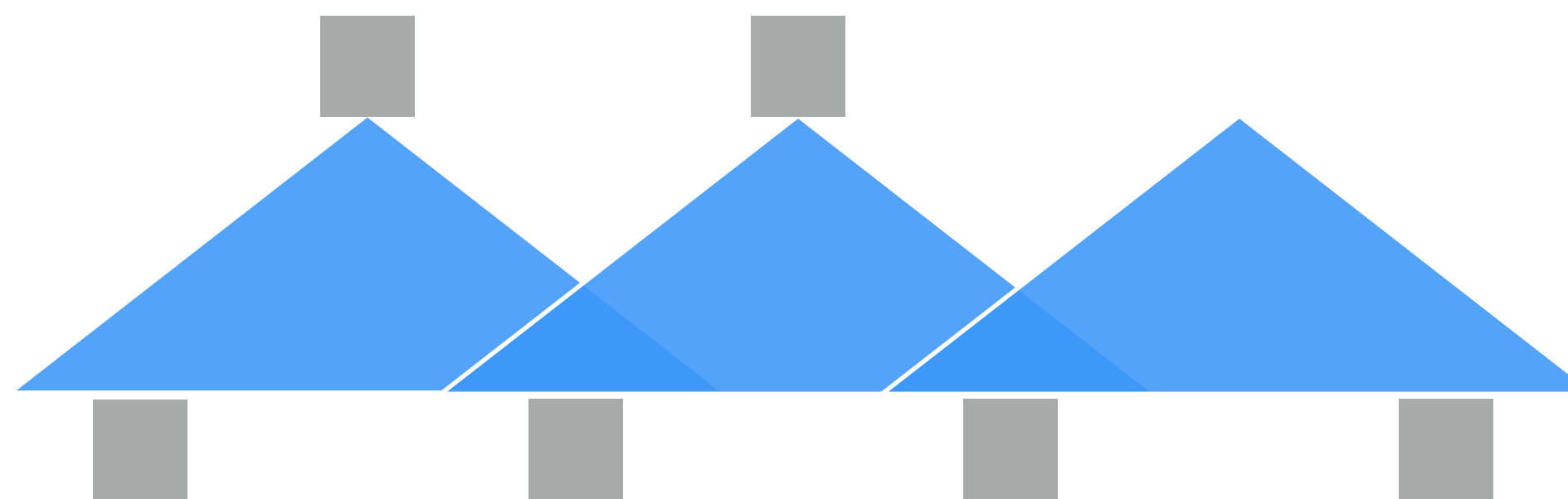
Decoder

., <start> Léa 's

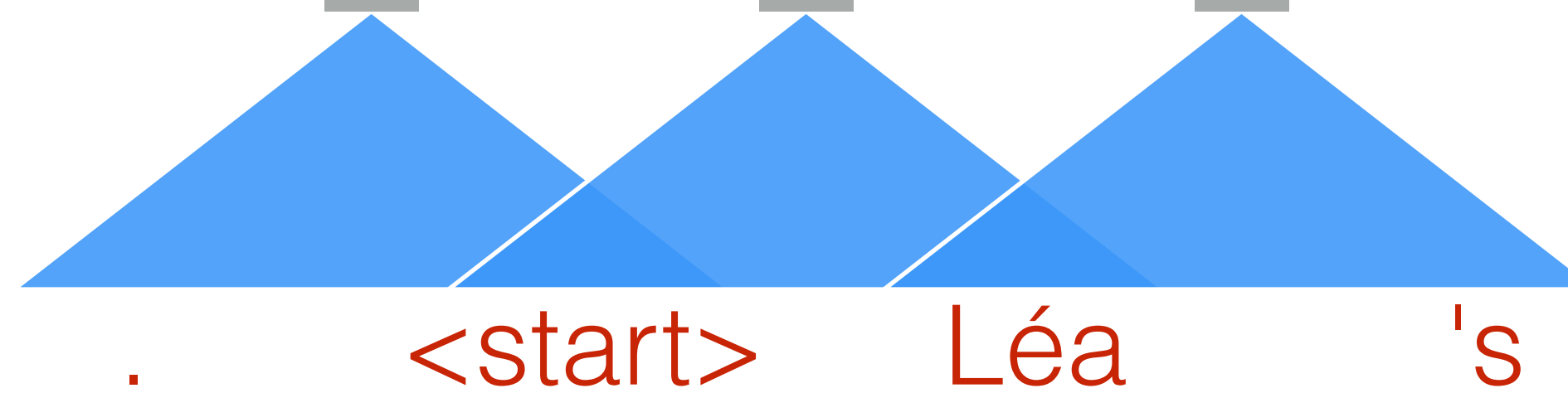
Encoder

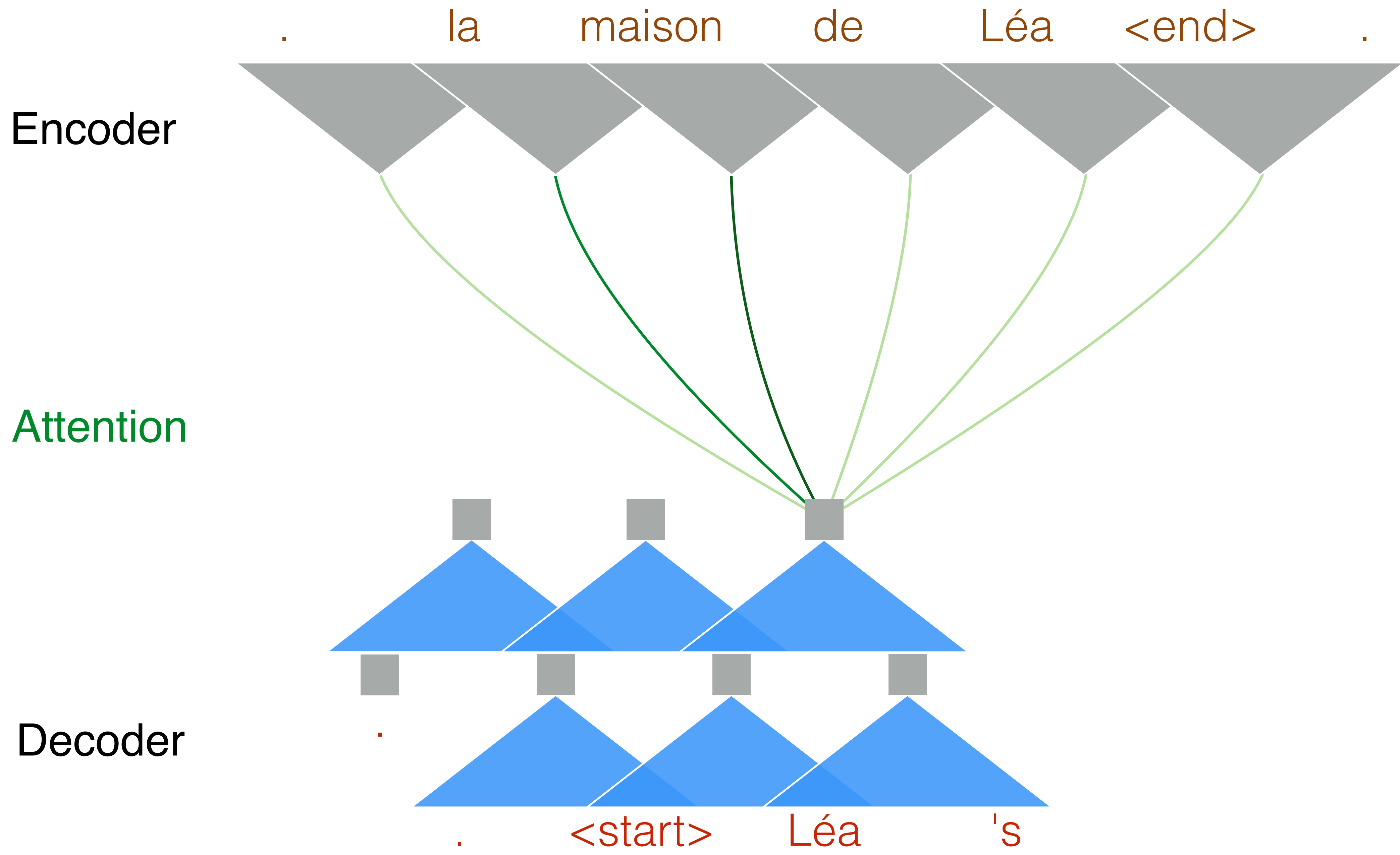


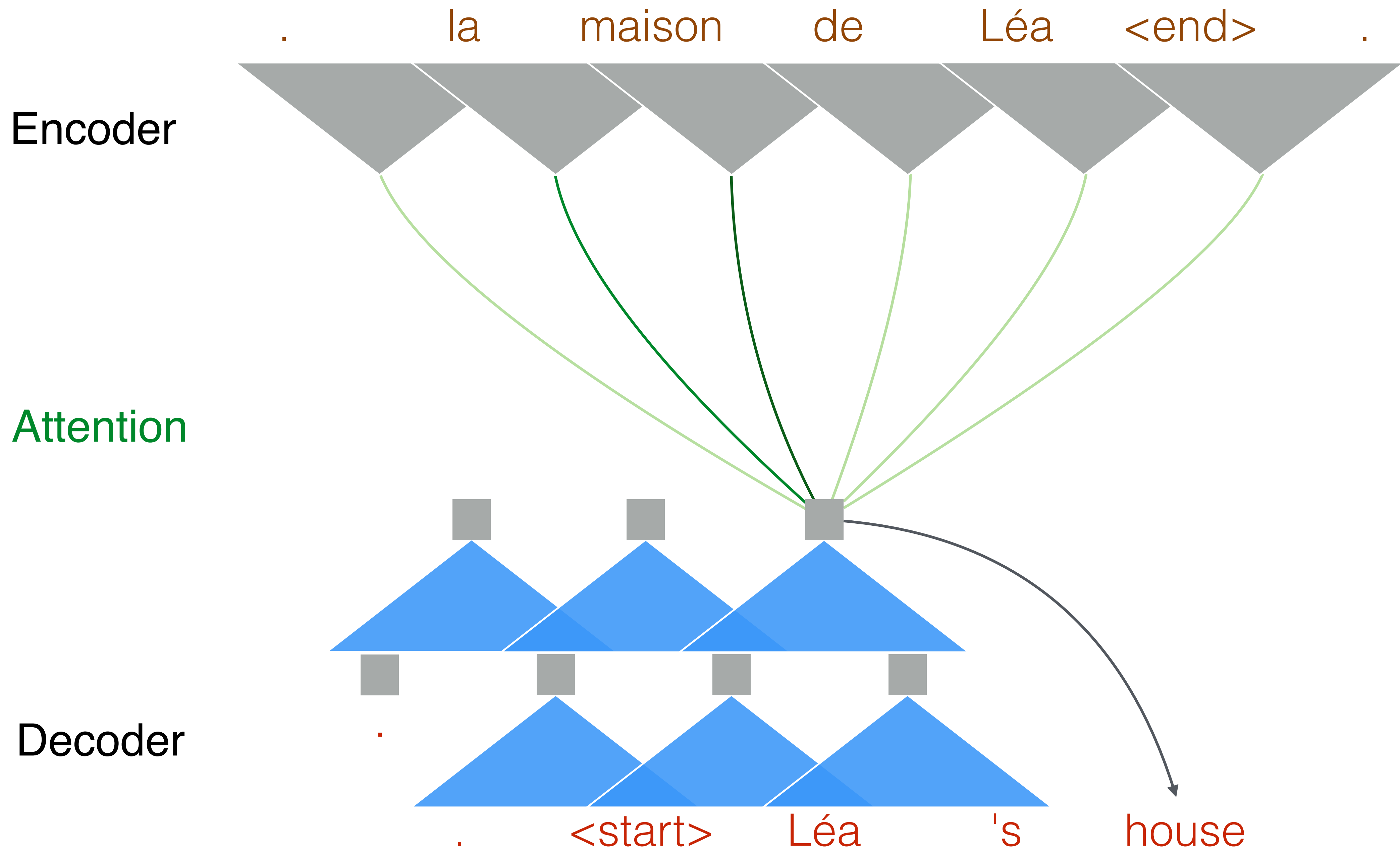
Attention



Decoder

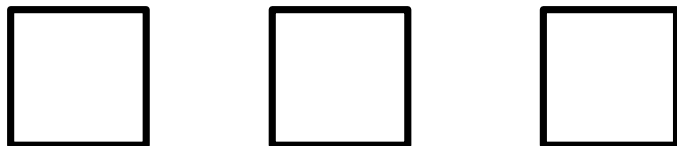






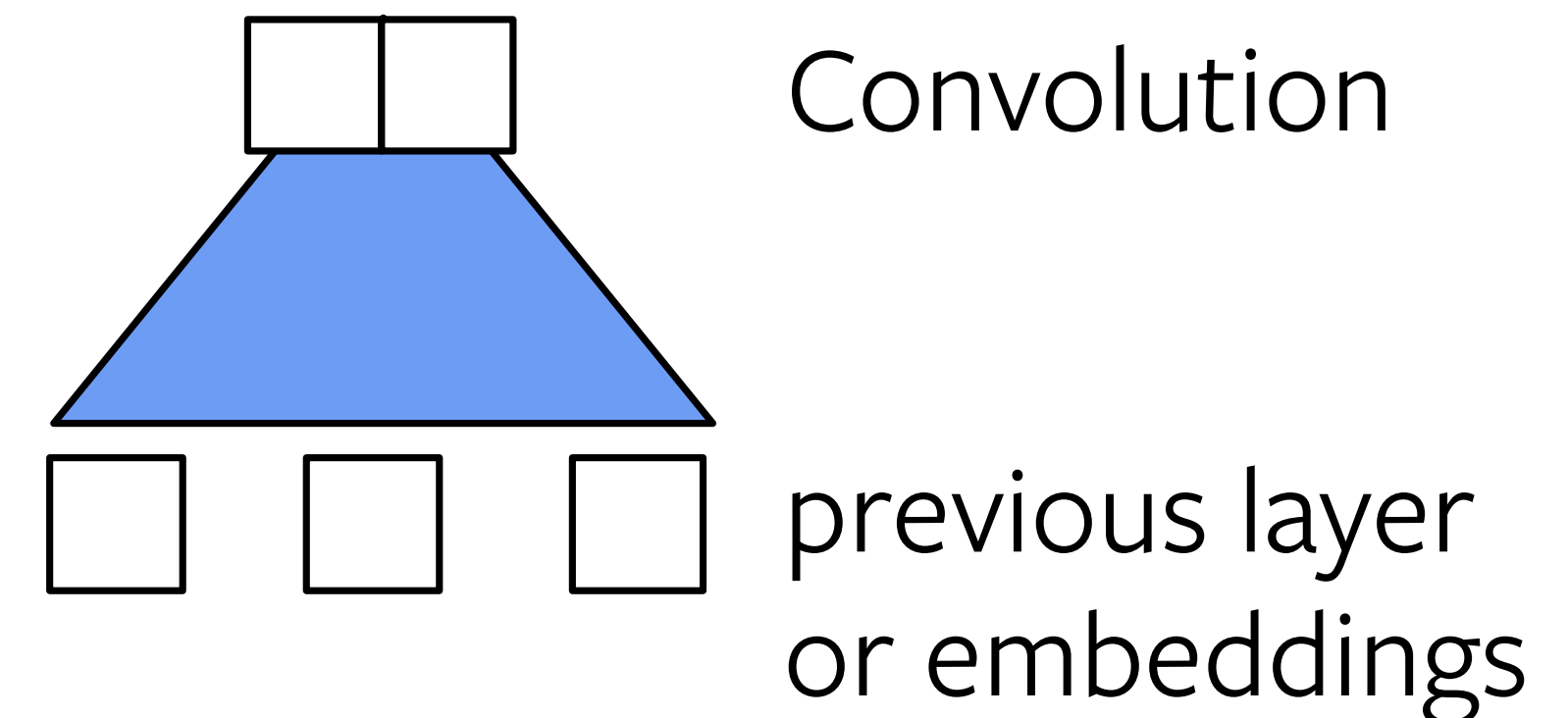
# Convolutional S2S: Encoder

- Similar to Dauphin et al. '17
- Input: word + position embeddings:  
1, 2, 3, ...
- Weight Normalization (Salimans & Kingma, 2016)
- No batch or layer norm:  
initialization (He et al. '15) and  
scale by  $\sqrt{1/2}$
- Repeat N times

 previous layer  
or embeddings

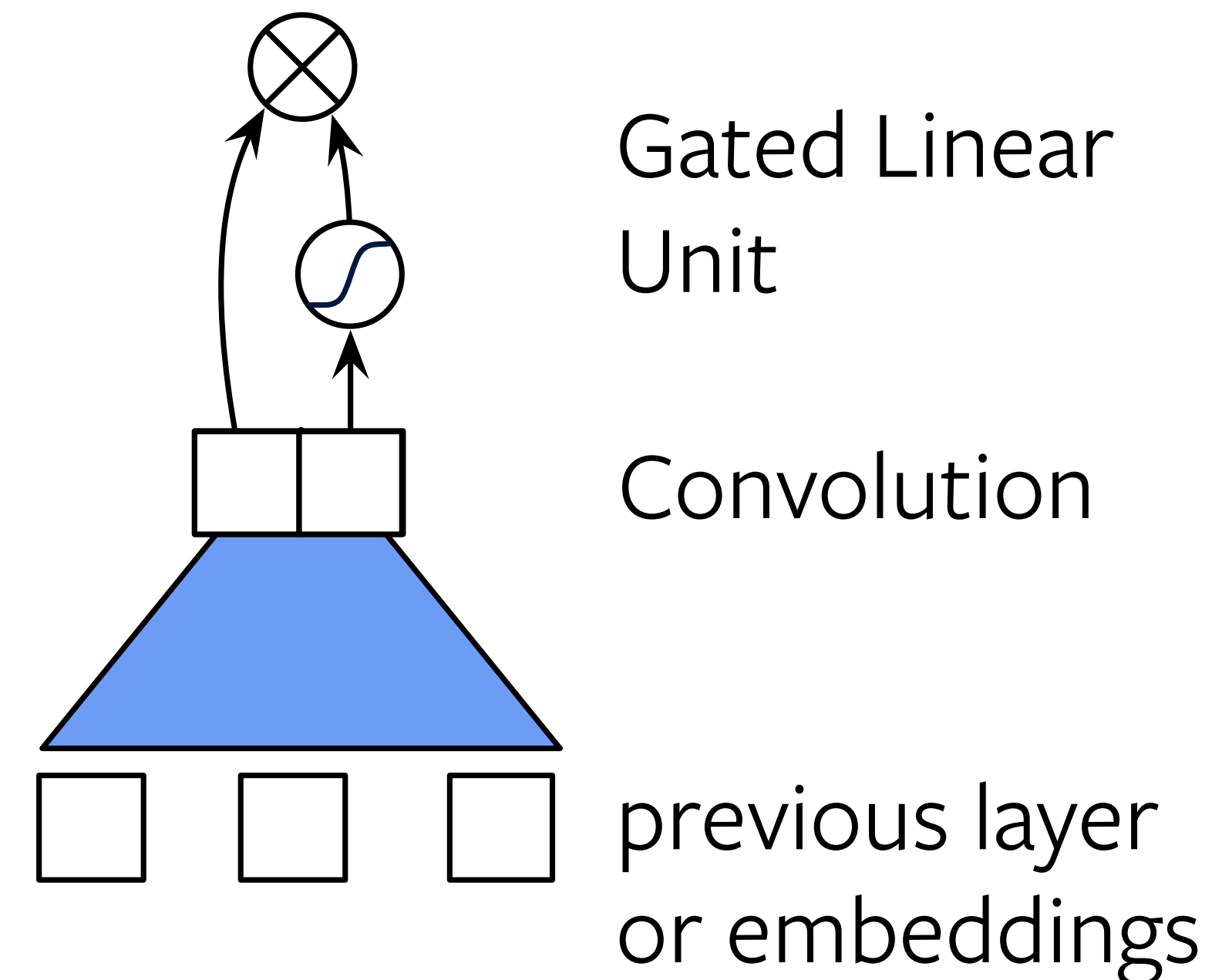
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- Repeat N times



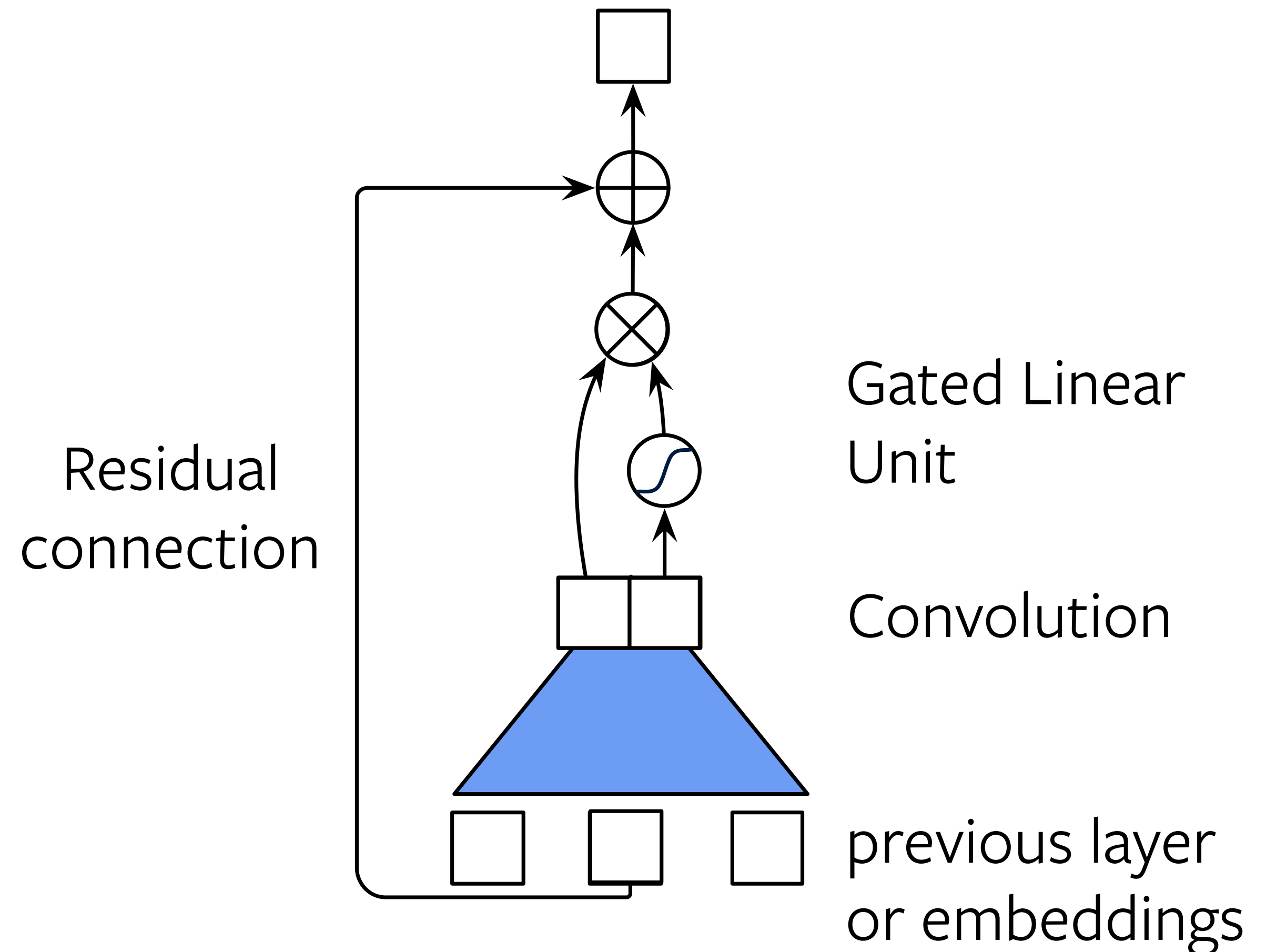
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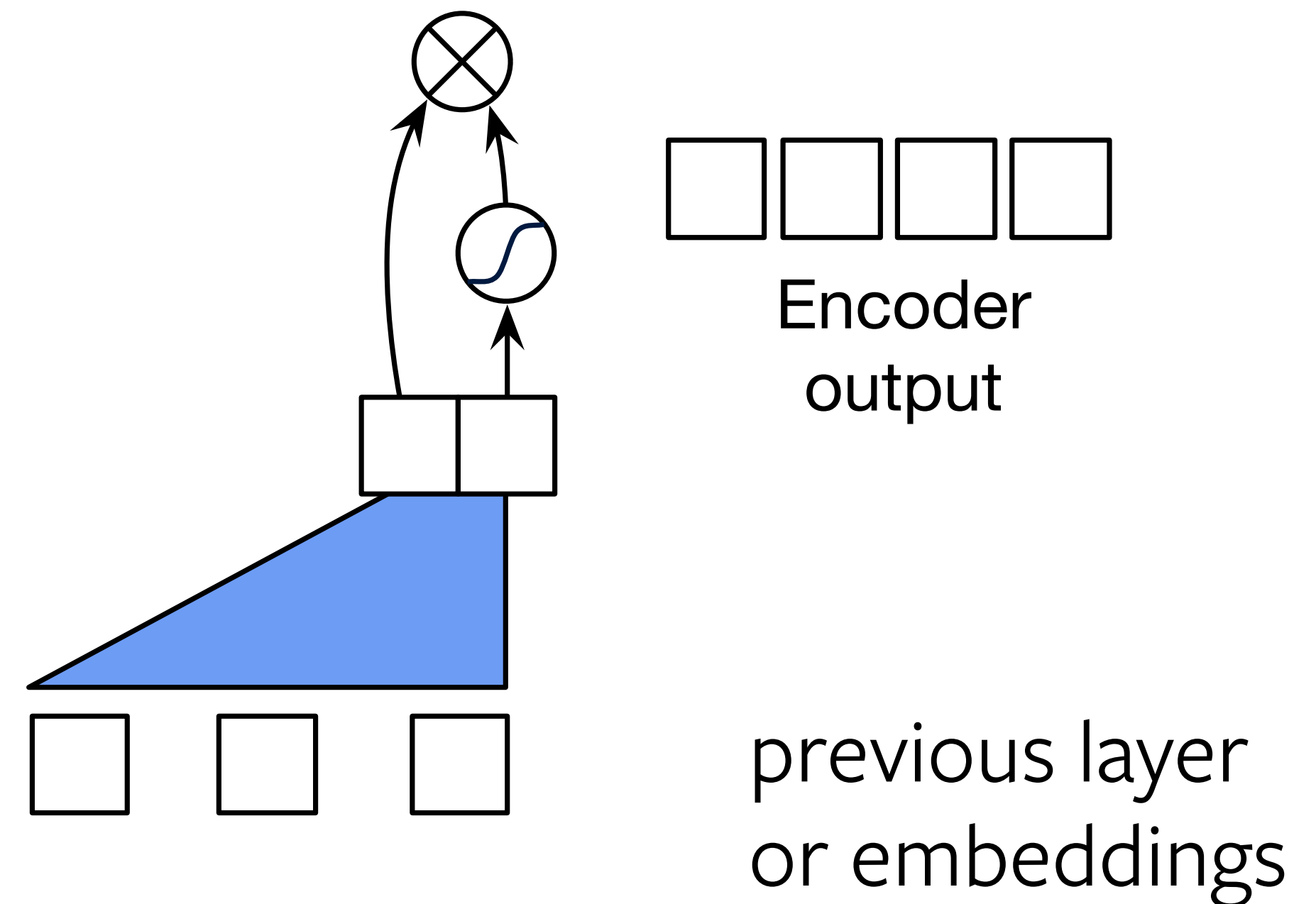
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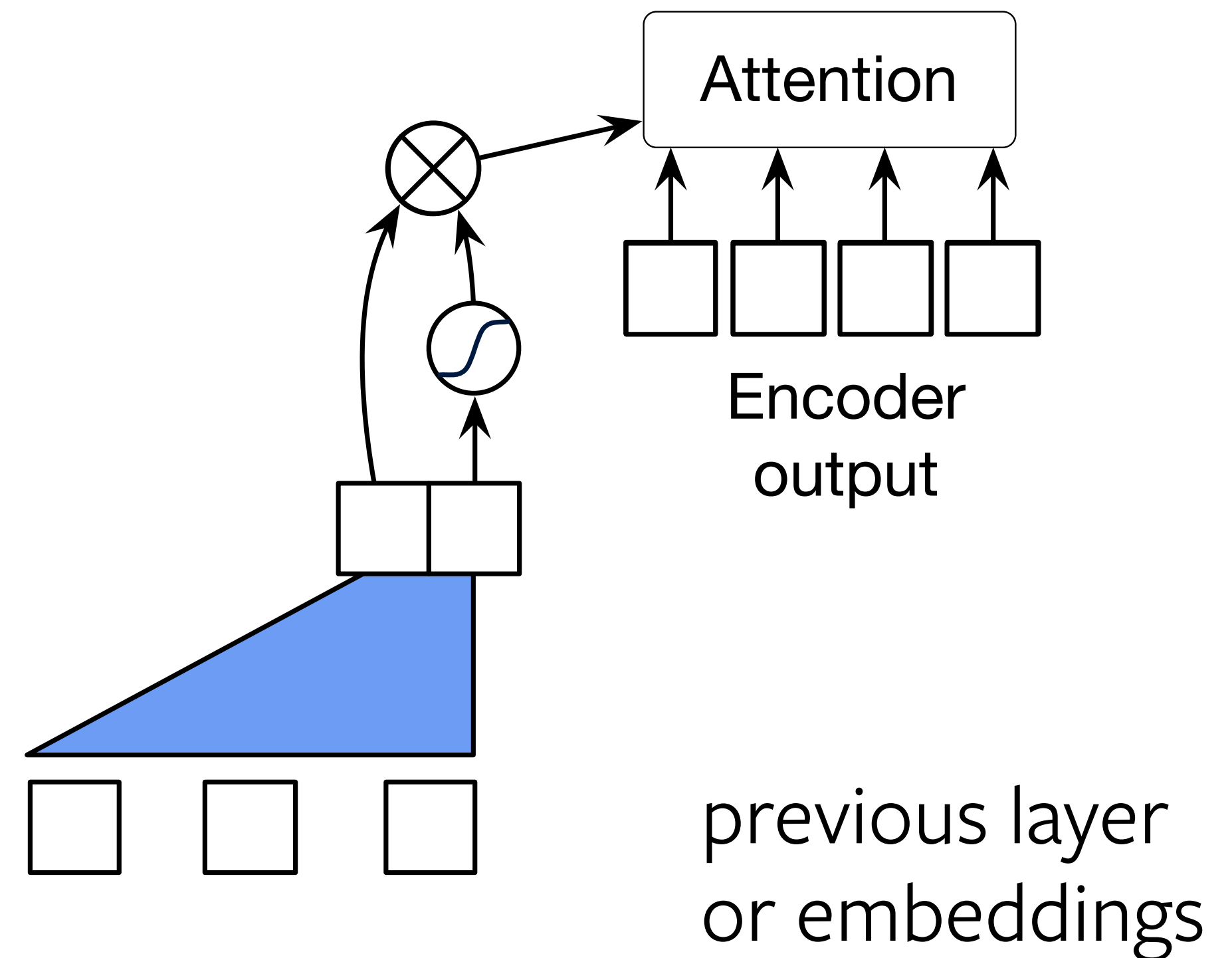
# Convolutional S2S: Decoder

- Input: word embeddings  
+ position embeddings: 1, 2, 3, ...
- *Causal* convolution over generated sequence so far
- Dot-product attention at every layer



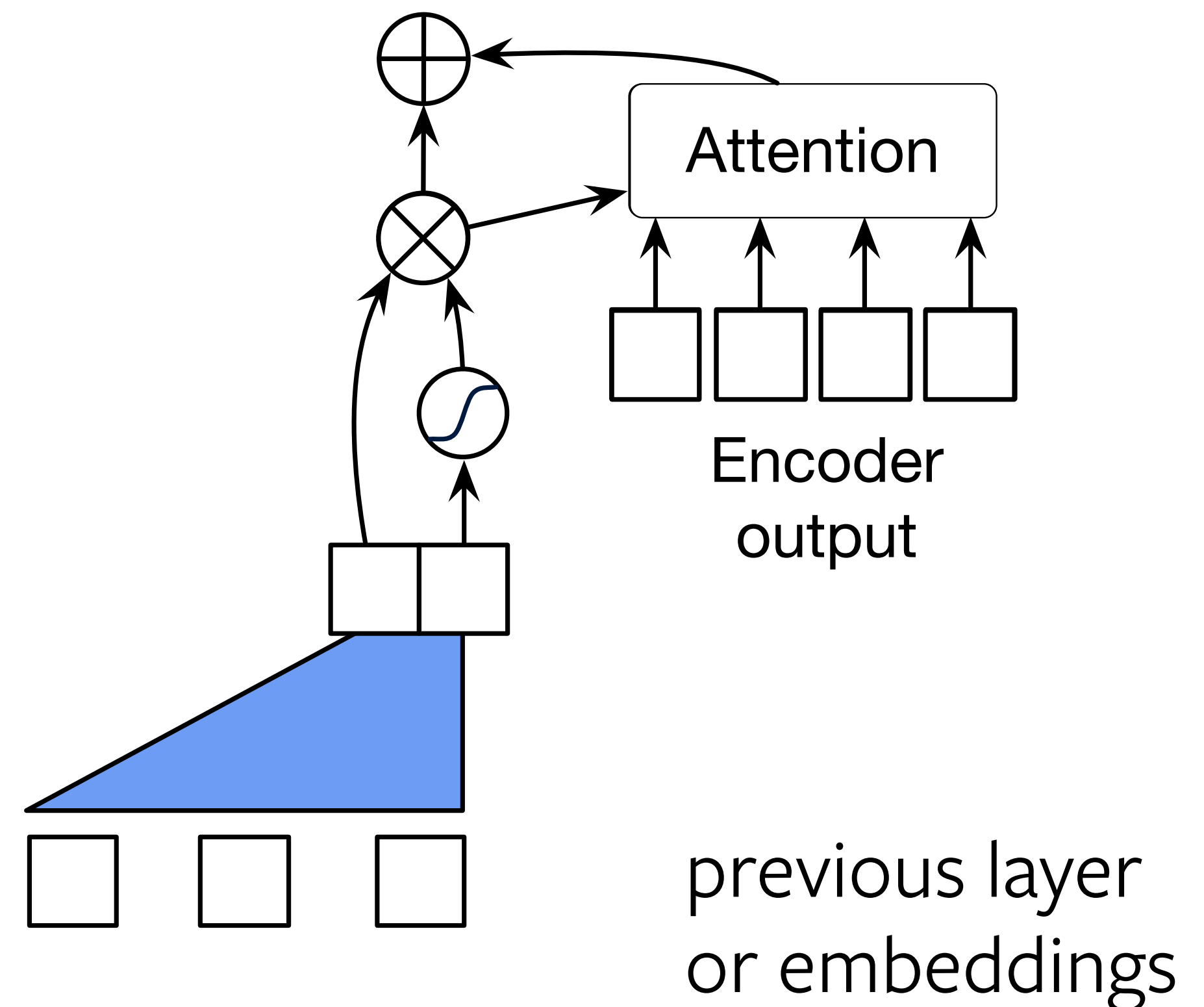
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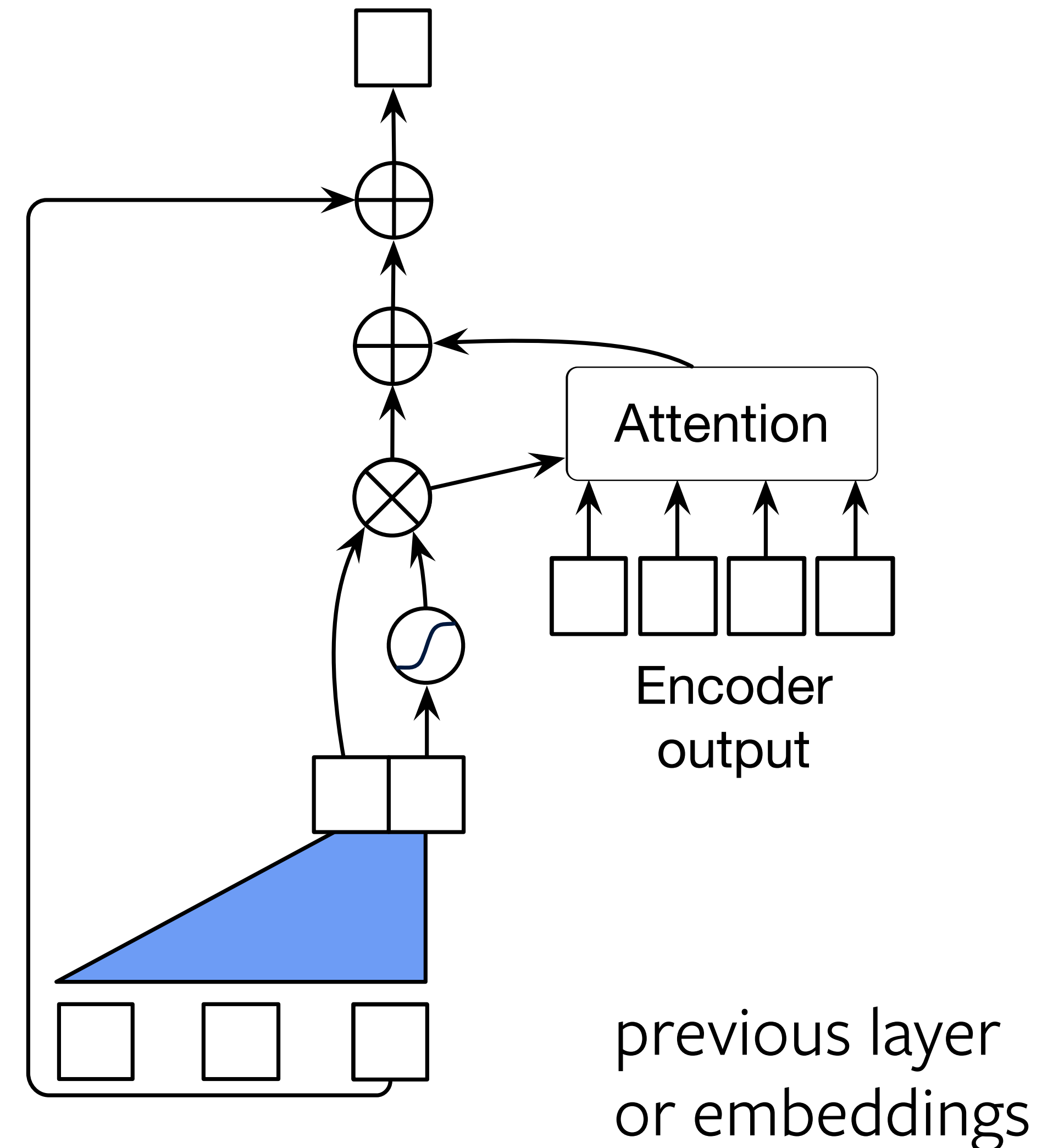
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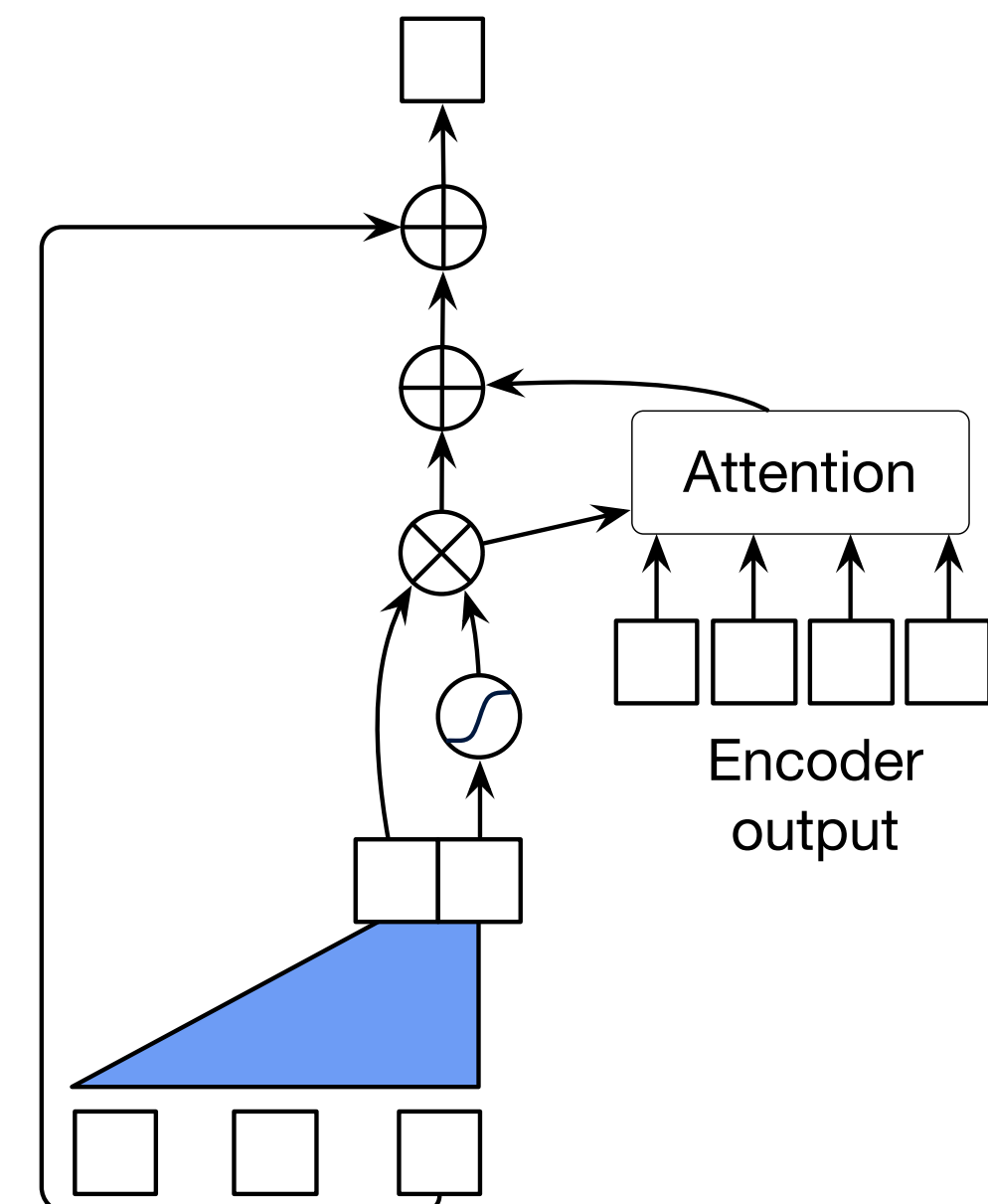
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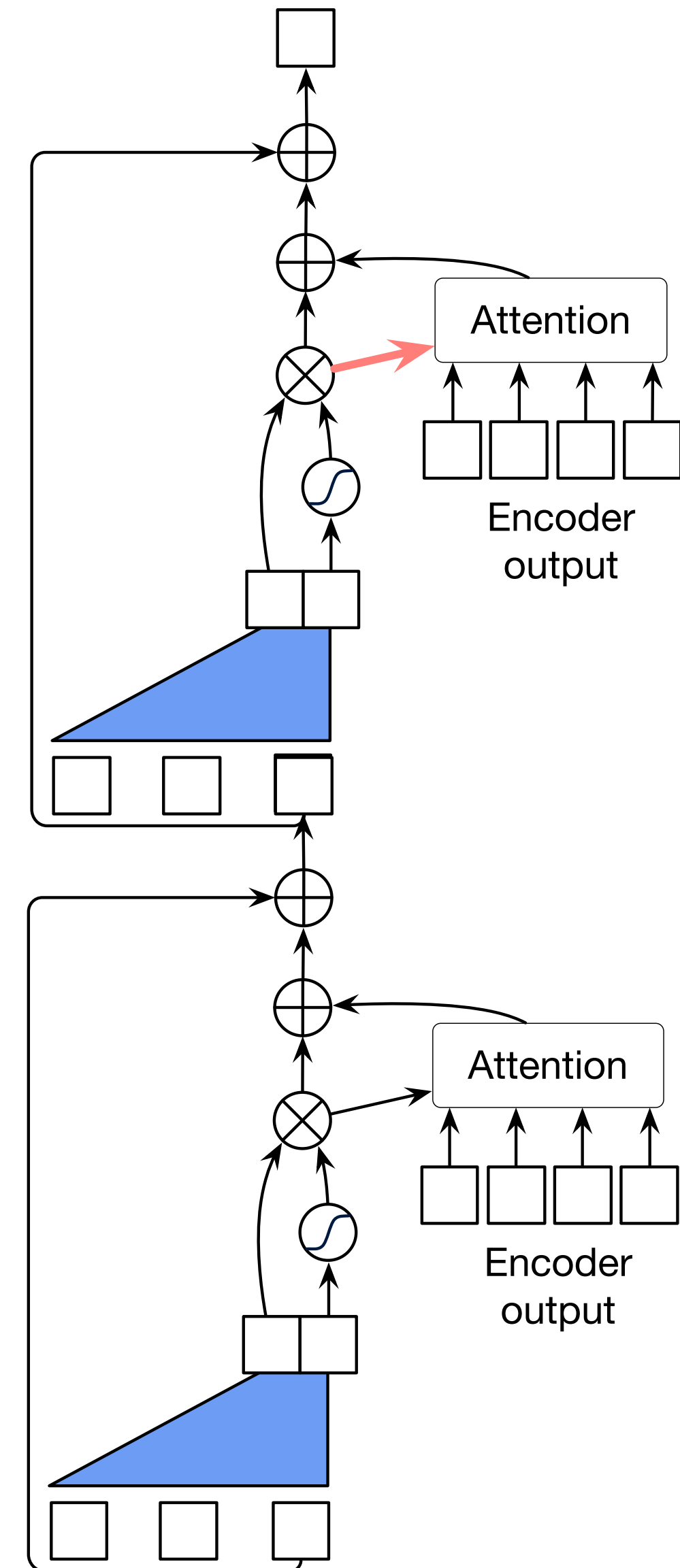
# Convolutional S2S: Multi-hop Attention

- Attention in every decoder layer
- Queries contain information about previous source contexts



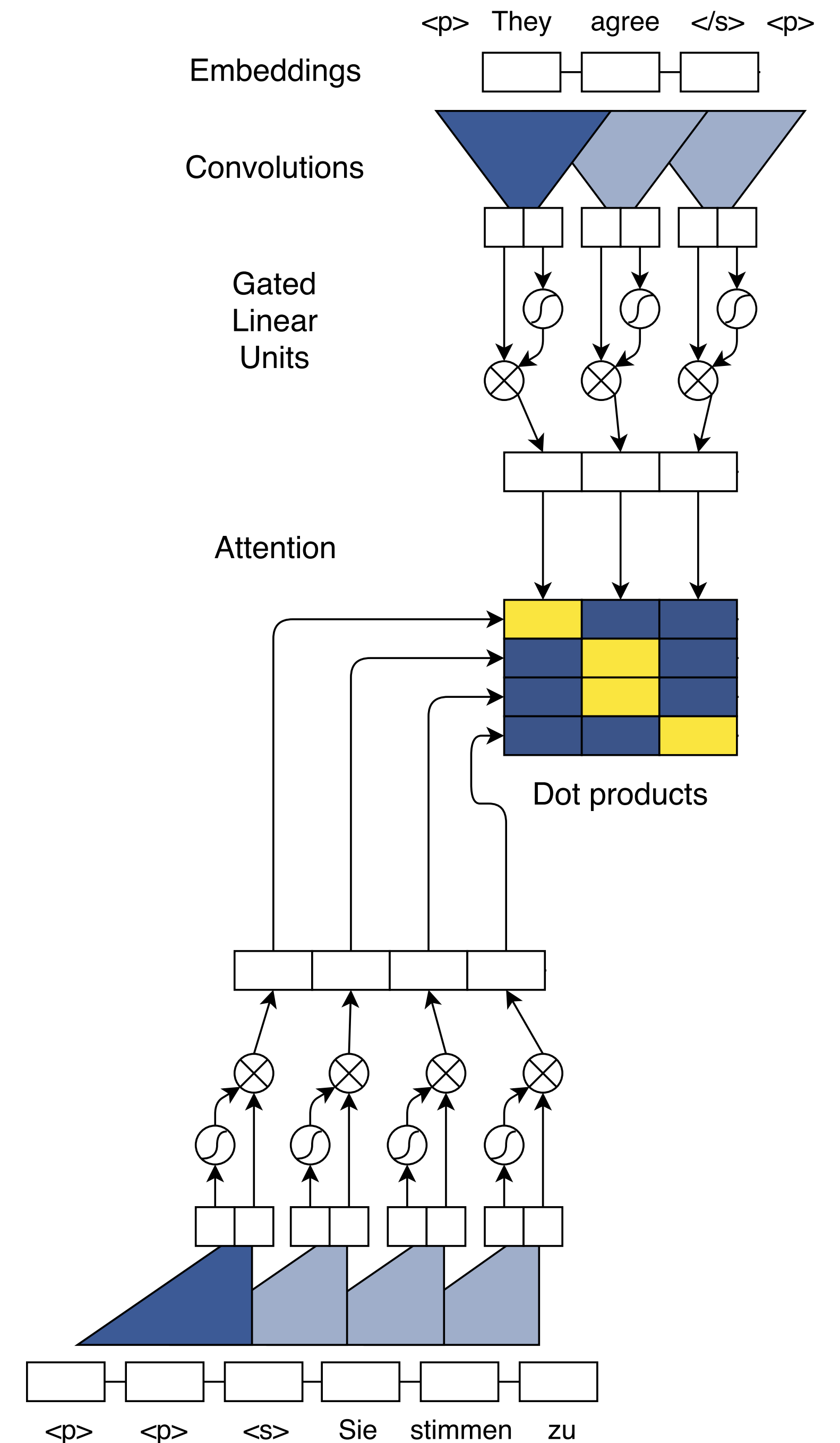
# Convolutional S2S: Multi-hop Attention

- Attention in every decoder layer
- Queries contain information about previous source contexts



# Convolutional S2S

- High training efficiency due to parallel computation in decoder
- Cross Entropy objective
- Very similar to ResNet models (Nesterov etc., He et al. '15)



# Experimental Methodology

- Translation & Summarization
- Large-scale tasks:
  - WMT'14 English-German (4.5M sentence pairs)
  - WMT'14 English-French (36M sentence pairs)
  - IWSLT'14 German-English (< 0.2M sentence pairs)

# WMT'14 English-German Translation

|                                         | Vocabulary  | BLEU  |
|-----------------------------------------|-------------|------------------------------------------------------------------------------------------|
| CNN ByteNet (Kalchbrenner et al., 2016) | Characters  | 23.75                                                                                    |
| RNN GNMT (Wu et al., 2016)              | Word 80k    | 23.12                                                                                    |
| RNN GNMT (Wu et al., 2016)              | Word pieces | 24.61                                                                                    |

# WMT'14 English-German Translation

|                                         | Vocabulary  | BLEU  |
|-----------------------------------------|-------------|------------------------------------------------------------------------------------------|
| CNN ByteNet (Kalchbrenner et al., 2016) | Characters  | 23.75                                                                                    |
| RNN GNMT (Wu et al., 2016)              | Word 80k    | 23.12                                                                                    |
| RNN GNMT (Wu et al., 2016)              | Word pieces | 24.61                                                                                    |
| ConvS2S                                 | BPE 40k     | 25.16                                                                                    |

ConvS2S: 15 layers in encoder/decoder (10x512 units, 3x768 units, 2x2048)  
Maximum context size: 27 words

# WMT'14 English-German Translation

|                                         | Vocabulary  | BLEU  |
|-----------------------------------------|-------------|------------------------------------------------------------------------------------------|
| CNN ByteNet (Kalchbrenner et al., 2016) | Characters  | 23.75                                                                                    |
| RNN GNMT (Wu et al., 2016)              | Word 80k    | 23.12                                                                                    |
| RNN GNMT (Wu et al., 2016)              | Word pieces | 24.61                                                                                    |
| ConvS2S                                 | BPE 40k     | 25.16                                                                                    |
| Transformer (Vaswani et al., 2017)      | Word pieces | 28.4                                                                                     |

More work on non-RNN models!

ConvS2S: 15 layers in encoder/decoder (10x512 units, 3x768 units, 2x2048)  
Maximum context size: 27 words

# WMT'14 English-French Translation

|                            | Vocabulary  | BLEU  |
|----------------------------|-------------|------------------------------------------------------------------------------------------|
| RNN GNMT (Wu et al., 2016) | Word 80k    | 37.90                                                                                    |
| RNN GNMT (Wu et al., 2016) | Word pieces | 38.95                                                                                    |

# WMT'14 English-French Translation

|                                 | Vocabulary  | BLEU  |
|---------------------------------|-------------|------------------------------------------------------------------------------------------|
| RNN GNMT (Wu et al., 2016)      | Word 80k    | 37.90                                                                                    |
| RNN GNMT (Wu et al., 2016)      | Word pieces | 38.95                                                                                    |
| RNN GNMT + RL (Wu et al., 2016) | Word pieces | 39.92                                                                                    |

# WMT'14 English-French Translation

|                                 | Vocabulary  | BLEU  |
|---------------------------------|-------------|------------------------------------------------------------------------------------------|
| RNN GNMT (Wu et al., 2016)      | Word 80k    | 37.90                                                                                    |
| RNN GNMT (Wu et al., 2016)      | Word pieces | 38.95                                                                                    |
| RNN GNMT + RL (Wu et al., 2016) | Word pieces | 39.92                                                                                    |
| ConvS2S                         | BPE 40k     | 40.51                                                                                    |

ConvS2S: 15 layers in encoder/decoder (5x512 units, 4x768 units, 3x2048, 2x4096)

# WMT'14 English-French Translation

|                                    | Vocabulary  | BLEU  |
|------------------------------------|-------------|------------------------------------------------------------------------------------------|
| RNN GNMT (Wu et al., 2016)         | Word 80k    | 37.90                                                                                    |
| RNN GNMT (Wu et al., 2016)         | Word pieces | 38.95                                                                                    |
| RNN GNMT + RL (Wu et al., 2016)    | Word pieces | 39.92                                                                                    |
| ConvS2S                            | BPE 40k     | 40.51                                                                                    |
| Transformer (Vaswani et al., 2017) | Word pieces | 41.0                                                                                     |

ConvS2S: 15 layers in encoder/decoder (5x512 units, 4x768 units, 3x2048, 2x4096)

# Inference Speed on WMT'14 En-Fr

|                            | Hardware       | BLEU  | Time (s) |
|----------------------------|----------------|-------|----------|
| RNN GNMT (Wu et al., 2016) | GPU (K80)      | 31.20 | 3028     |
| RNN GNMT (Wu et al., 2016) | CPU (88 cores) | 31.20 | 1322     |
| RNN GNMT (Wu et al., 2016) | TPU            | 31.21 | 384      |

# Inference Speed on WMT'14 En-Fr

|                            | Hardware       | BLEU  | Time (s) |
|----------------------------|----------------|-------|----------|
| RNN GNMT (Wu et al., 2016) | GPU (K80)      | 31.20 | 3028     |
| RNN GNMT (Wu et al., 2016) | CPU (88 cores) | 31.20 | 1322     |
| RNN GNMT (Wu et al., 2016) | TPU            | 31.21 | 384      |
| ConvS2S, beam=5            | GPU (K40)      | 34.10 | 587      |
| ConvS2S, beam=1            | GPU (K40)      | 33.45 | 327      |

# Inference Speed on WMT'14 En-Fr

|                            | Hardware         | BLEU  | Time (s) |
|----------------------------|------------------|-------|----------|
| RNN GNMT (Wu et al., 2016) | GPU (K80)        | 31.20 | 3028     |
| RNN GNMT (Wu et al., 2016) | CPU (88 cores)   | 31.20 | 1322     |
| RNN GNMT (Wu et al., 2016) | TPU              | 31.21 | 384      |
| ConvS2S, beam=5            | GPU (K40)        | 34.10 | 587      |
| ConvS2S, beam=1            | GPU (K40)        | 33.45 | 327      |
| ConvS2S, beam=1            | GPU (GTX-1080ti) | 33.45 | 142      |
| ConvS2S, beam=1            | CPU (48 cores)   | 33.45 | 142      |

ntst1213 (6003 sentences)

# Text Summarization

Compress first sentence of a news article into a headline (Rush et al. 2016)

|                                             | Rouge-1 | Rouge-2 | Rouge-L |
|---------------------------------------------|---------|---------|---------|
| RNN Likelihood optimized (Shen et al. 2016) | 32.7    | 15.2    | 30.6    |
| RNN Rouge-optimized (Shen et al. 2016)      | 36.5    | 16.6    | 33.4    |
| RNN repeated words (Suzuki & Nagata, 2017)  | 36.3    | 17.3    | 33.9    |
| ConvS2S                                     | 35.9    | 17.5    | 33.3    |

ConvS2S: 6 layers in encoder/decoder, nhid=256

# Summary

- Alternative architecture for sequence to sequence learning
- Higher accuracy than models of similar size, despite fixed size context
- Faster generation (9x faster on lesser hardware)

**Code & pre-trained models:**

**Lua Torch:** <http://github.com/facebookresearch/fairseq>

**PyTorch:** <http://github.com/facebookresearch/fairseq-py>

# Beam Search for Seq2Seq

# Generating from Seq2Seq

At test time, we want to **generate** from  $P(y|x)$  with  $y \in \{1, \dots, V\}^*$

- **sampling** is easy, decomposability allows left to right sampling

$$y \sim P(y|x) = \prod_t P(y_t | y_1^{t-1}, x)$$

- **MAP inference** is hard

$$\hat{y} = \operatorname{argmax}_y P(y|x) \text{ with } y \in \{1, \dots, V\}^*$$

- **Beam** approximates MAP inference

# Beam Search

3 prefixes

the little cat

the tiny feline

the small kitten

3 \* V expansions

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Top 3 expansions

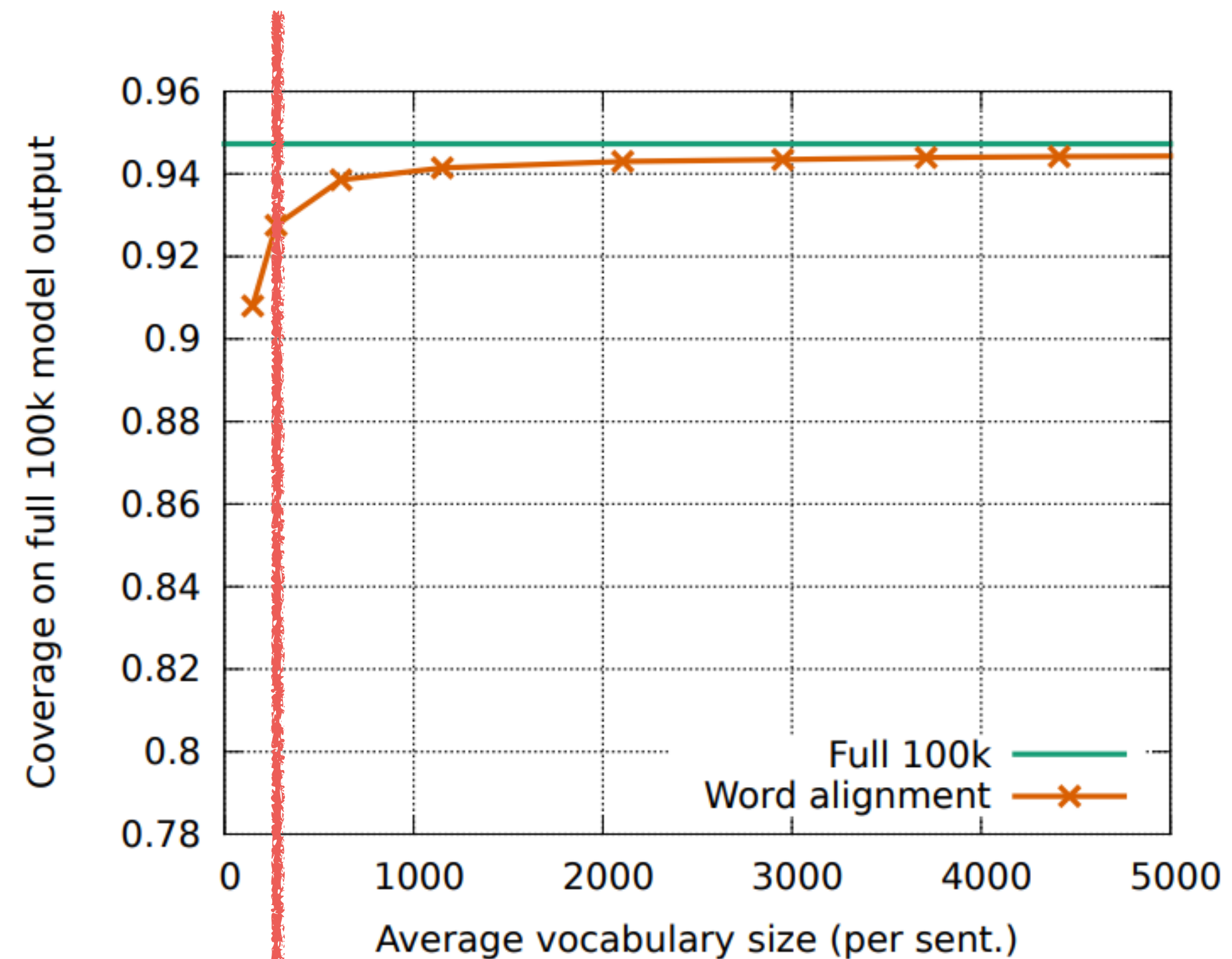
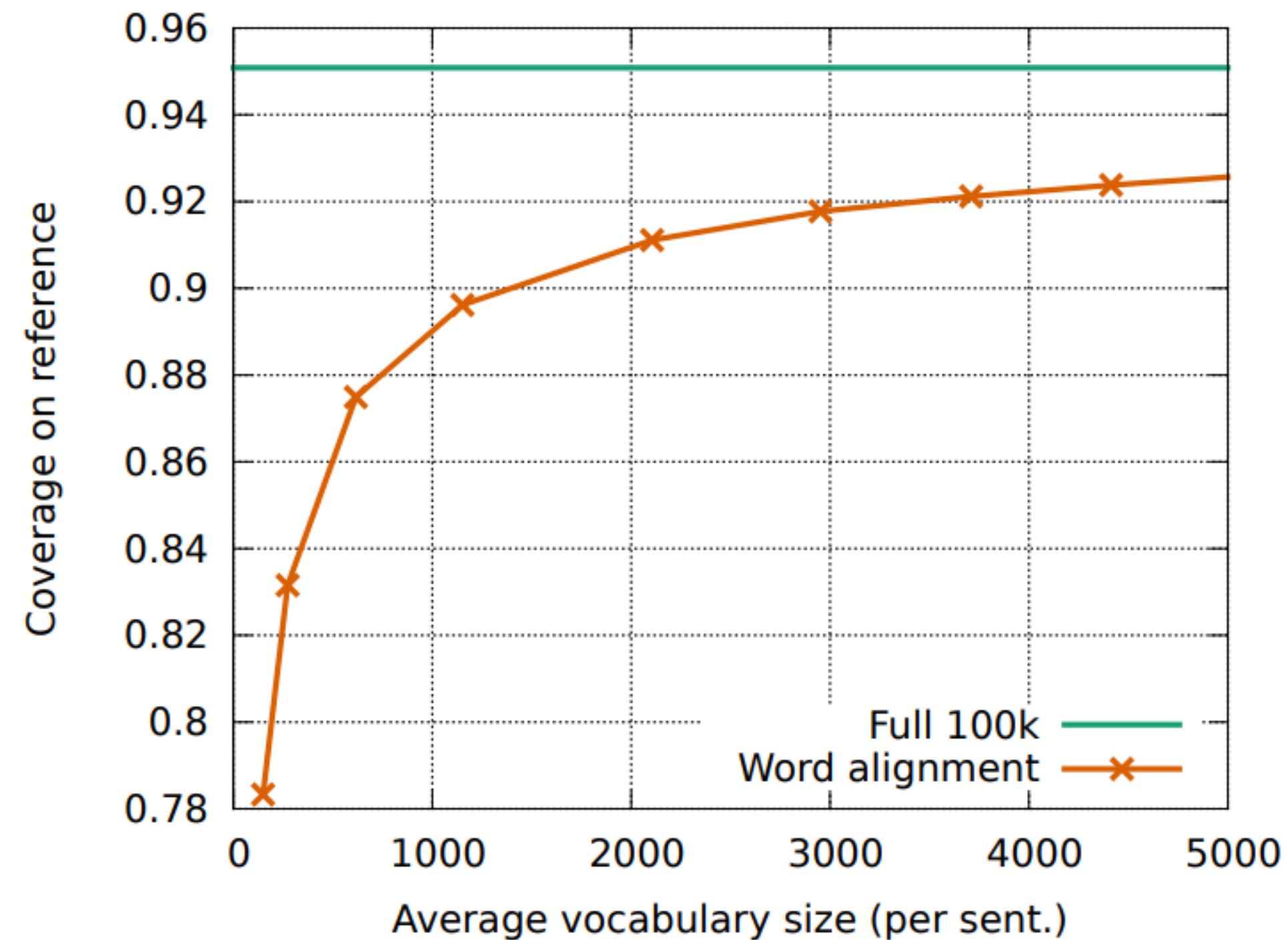
jumps

runs

jumps

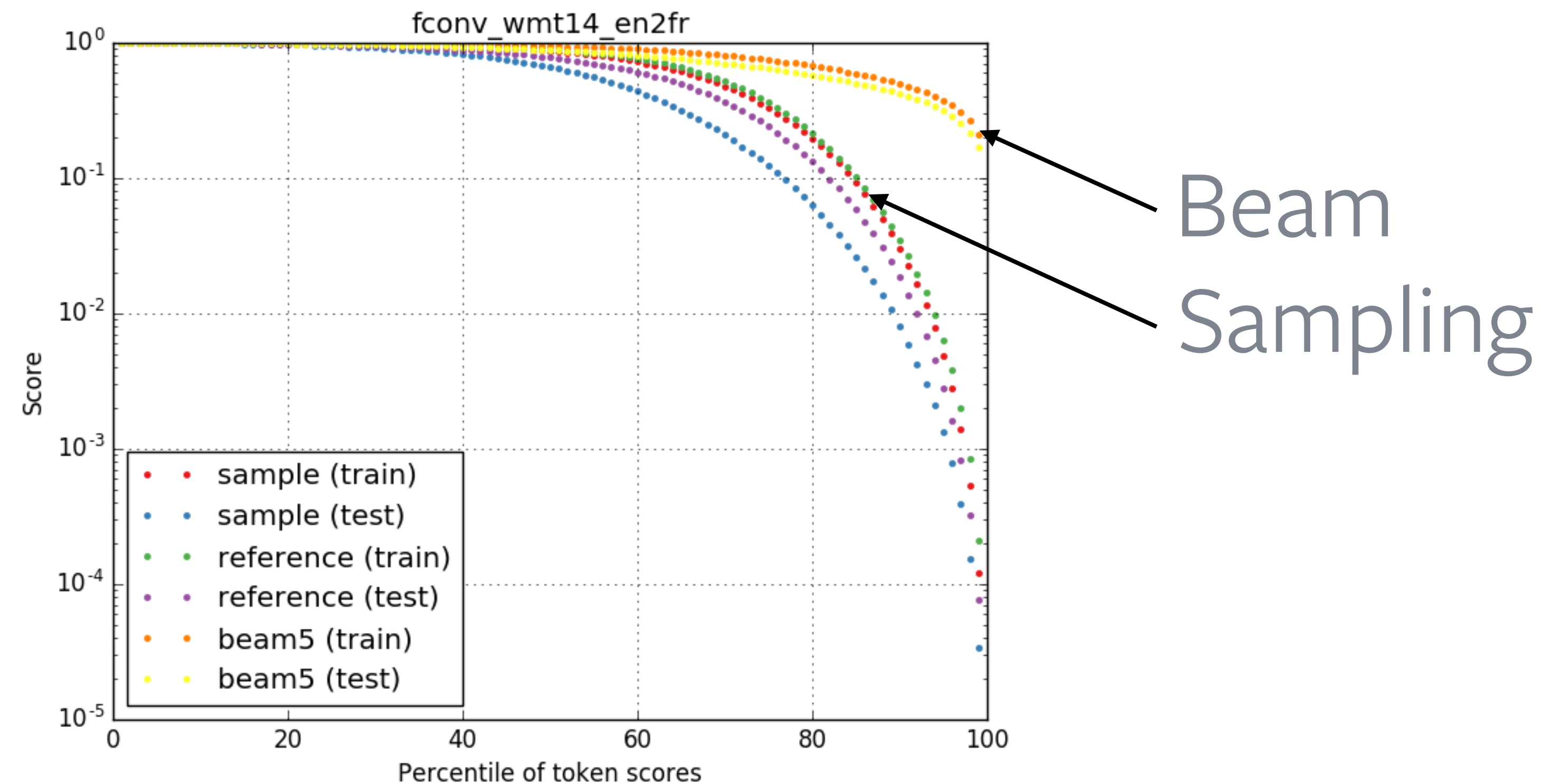
# On Search Space Size

- Effective vocabulary is rather small
- e.g. vocabulary selection with alignment method (WMT'14 en-de)



# On Search Space Size

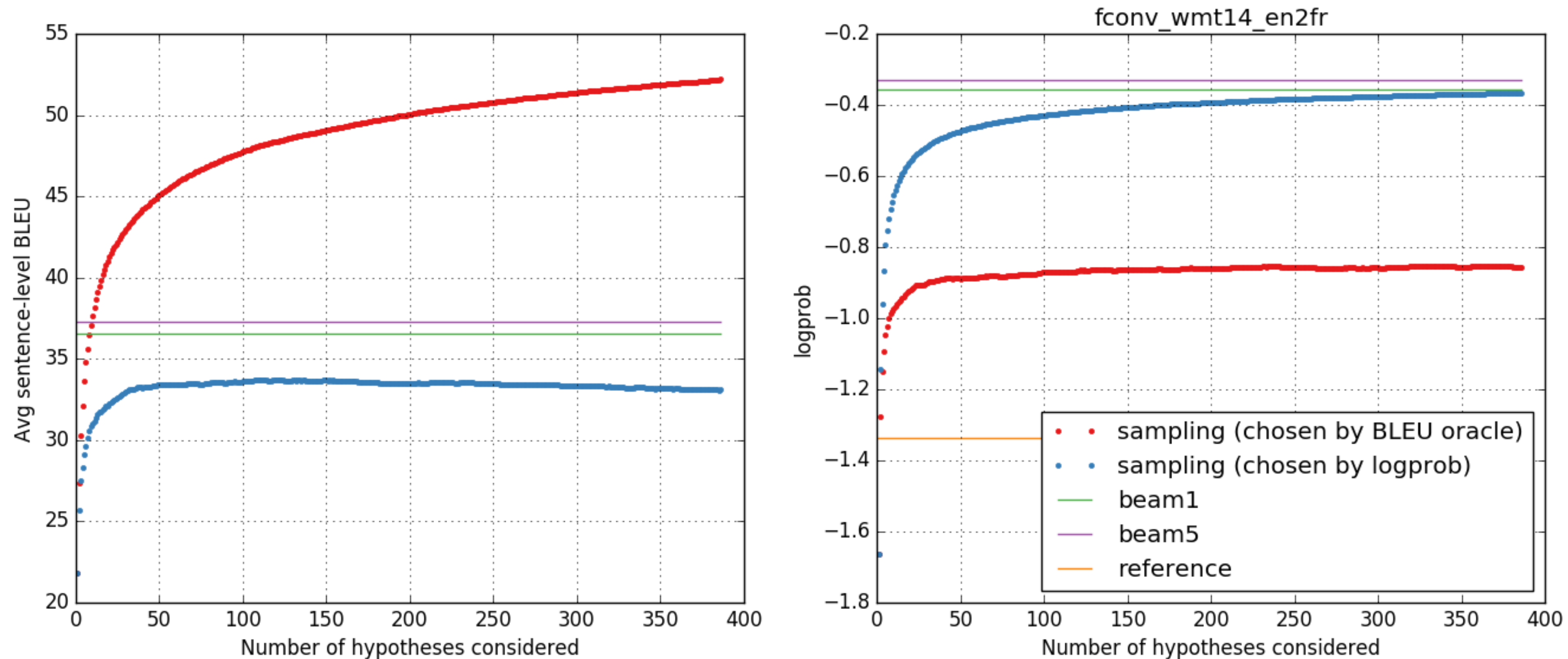
- beam results are prominent



- e.g. 20 time steps above 0.9 means more than  $0.9^{20} \approx \frac{1}{8}$

# Beam Search

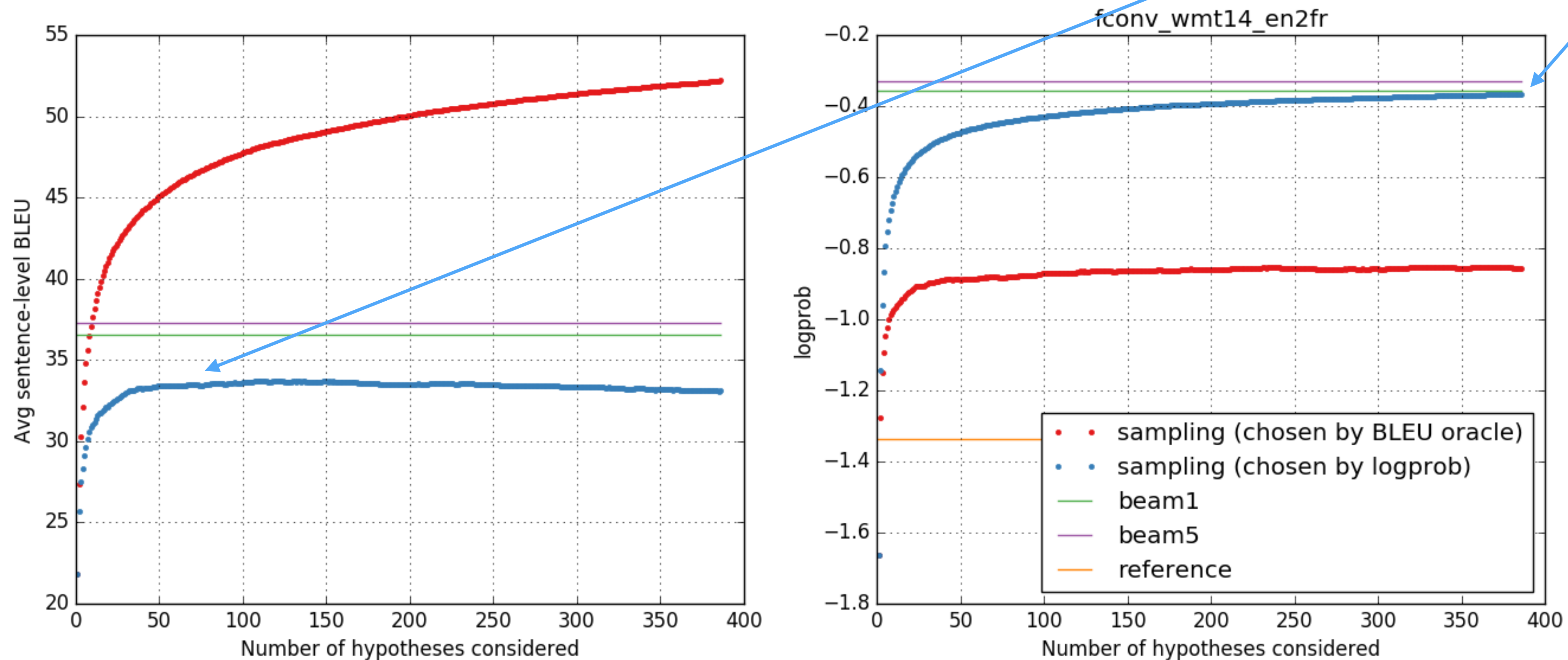
- Q: Does it work? good approximation of MAP?
- Q: Is it a good idea? Do we care about MAP?



# Beam Search

- Q: Does it work? good approximation of MAP?
- Q: Is it a good idea? Do we care about MAP?

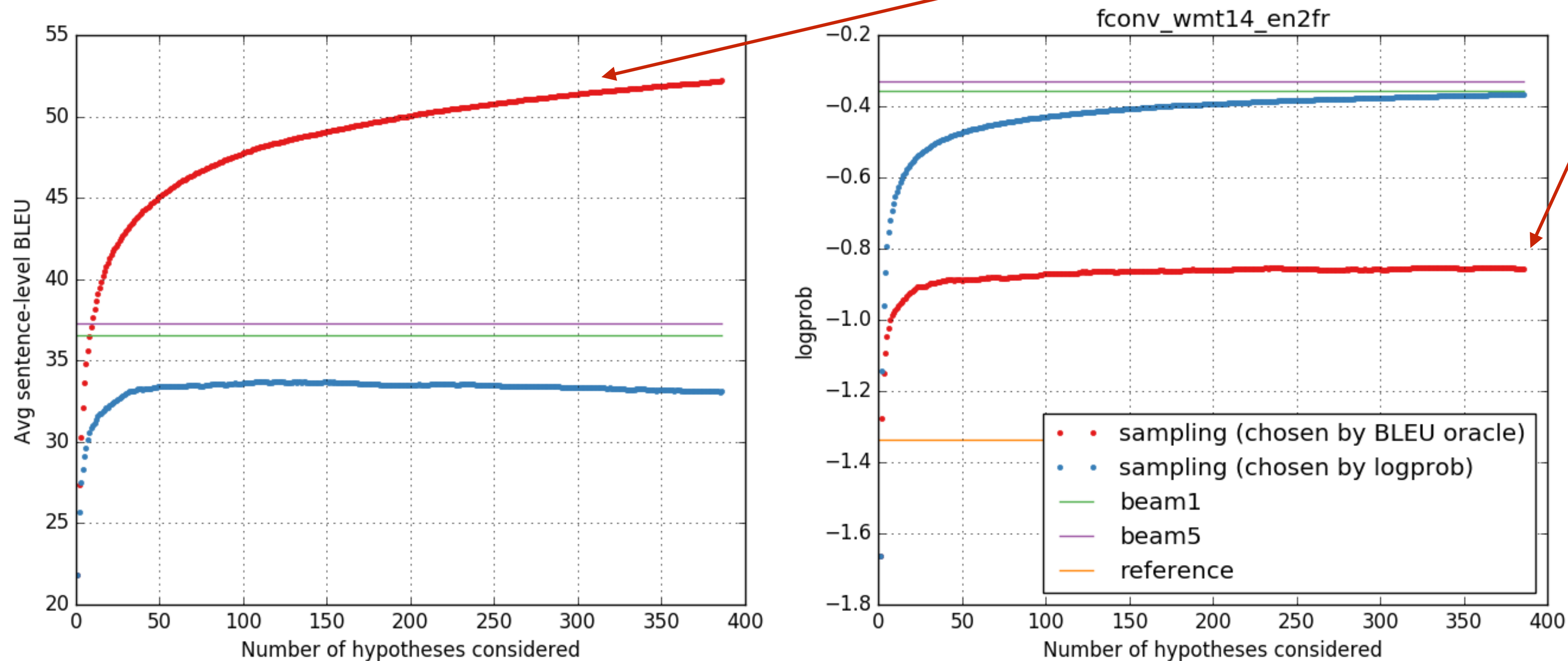
Sample k times &  
choose by logrpob



# Beam Search

- Q: Does it work? good approximation of MAP?
- Q: Is it a good idea? Do we care about MAP?

Sample k times &  
choose by BLEU



# Not a Search Problem?

## Analyzing Neural MT Search and Model Performance

arXiv Aug'17

**Jan Niehues, Eunah Cho, Thanh-Le Ha, Alex Waibel**

Institute for Anthropomatics and Robotics

Karlsruhe Institute of Technology, Germany

`{jan.niehues,eunah.cho,thanh-le.ha,alex.waibel}@kit.edu`

| $n$ -best<br>Model | Model  |          |        |
|--------------------|--------|----------|--------|
|                    | Single | Ensemble | Oracle |
| Single             | 31.96  | 32.37    | 41.81  |
| Ensemble           | 32.09  | 32.41    | 42.31  |
| Union              | 31.95  | 32.39    | 44.55  |

**+0.4**

Table 1: Baseline: TED German→English

Better model = better estimation not better search

# Sequence-Level Training

- Maximizing token likelihood does not consider BLEU

## **Sequence Level Training with Recurrent Neural Networks**

Marc-Aurelio Ranzato, Sumit Chopra, Michael Auli, Wojciech Zaremba – Nov 2015

[Reinforce, Williams 92][expected BLEU]

## **Sequence-to-Sequence Learning as Beam-Search Optimization**

Sam Wiseman, Alexander M. Rush – June 2016

[learning as search optimization (LaSO), Daume and Marcu 2005][search BLEU]

## **Google's Neural Machine Translation System**

Wu et al – Oct 2016

[close to Ranzato'15 + new reward][expected GLEU]

## **An Actor-Critic Algorithm for Sequence Prediction**

Dzmitry Bahdanau, Philemon Brakel, Kelvin Xu, Anirudh Goyal, Ryan Lowe, J. Pineau, A.

Courville, Y. Bengio – July '16

[actor-critic, Sutton'84][expected BLEU]

# Sequence-Level Training

## IWSLT '14 de-en

|          | LL          | Seq   |
|----------|-------------|-------|
| Ranzato  | 20.3        | 21.9  |
| Bahdanau | 21.5        | 22.6  |
| Wiseman  | 24.0        | 26.4  |
| Conv     | <b>30.2</b> | ----- |

small gains compared to

- architecture search
- ensembling

## WMT '14 en-fr

|      | LL   | LL-E        | Seq   | Seq-E |
|------|------|-------------|-------|-------|
| GNMT | 39.0 | 40.3        | 39.9  | 41.2  |
| Conv | 40.5 | <b>41.6</b> | ----- | ----- |
| Attn | 41.0 | -----       | ----- | ----- |

## WMT '14 en-de

|      | LL          | LL-E  | Seq   | Seq-E |
|------|-------------|-------|-------|-------|
| GNMT | 24.7        | 26.2  | 24.6  | 26.3  |
| Conv | 25.2        | 26.4  | ----- | ----- |
| Attn | <b>28.4</b> | ----- | ----- | ----- |

# Sequence-Level Training

## Pros

- optimize end loss

## Cons

- slower, need joint loss and/or init
- optimize expectation, not MAP (except Wiseman+Rush)
- smaller gains than architecture search or ensembling

# Conclusions

## **Gated Convolutional Architecture**

- Gating allows for linear model initially
- Fast at train, inference
- Accurate for LM, MT

## **Training Seq2Seq for Machine Translation**

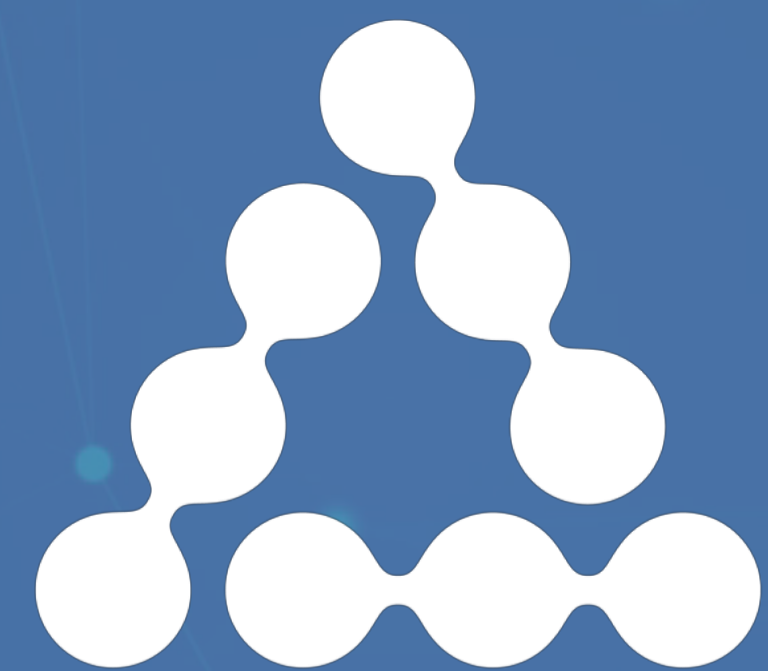
- Most progress attributed to architecture & ensembling
- Less progress due to BLEU optimization

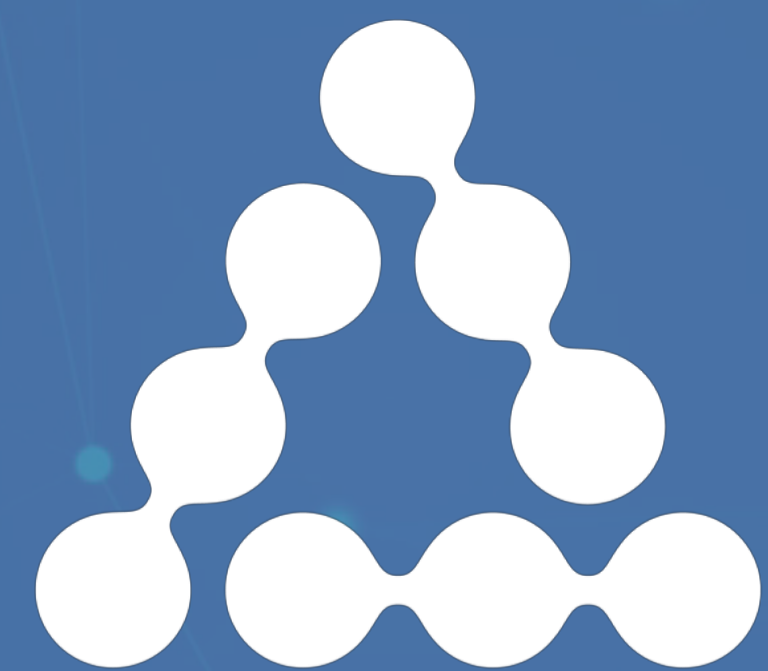
# Future Work

- Domain adaptation
- Leverage monolingual data
  - e.g. study back-translation at scale
- Understand ensemble
- Model diversity, uncertainty

# Questions?







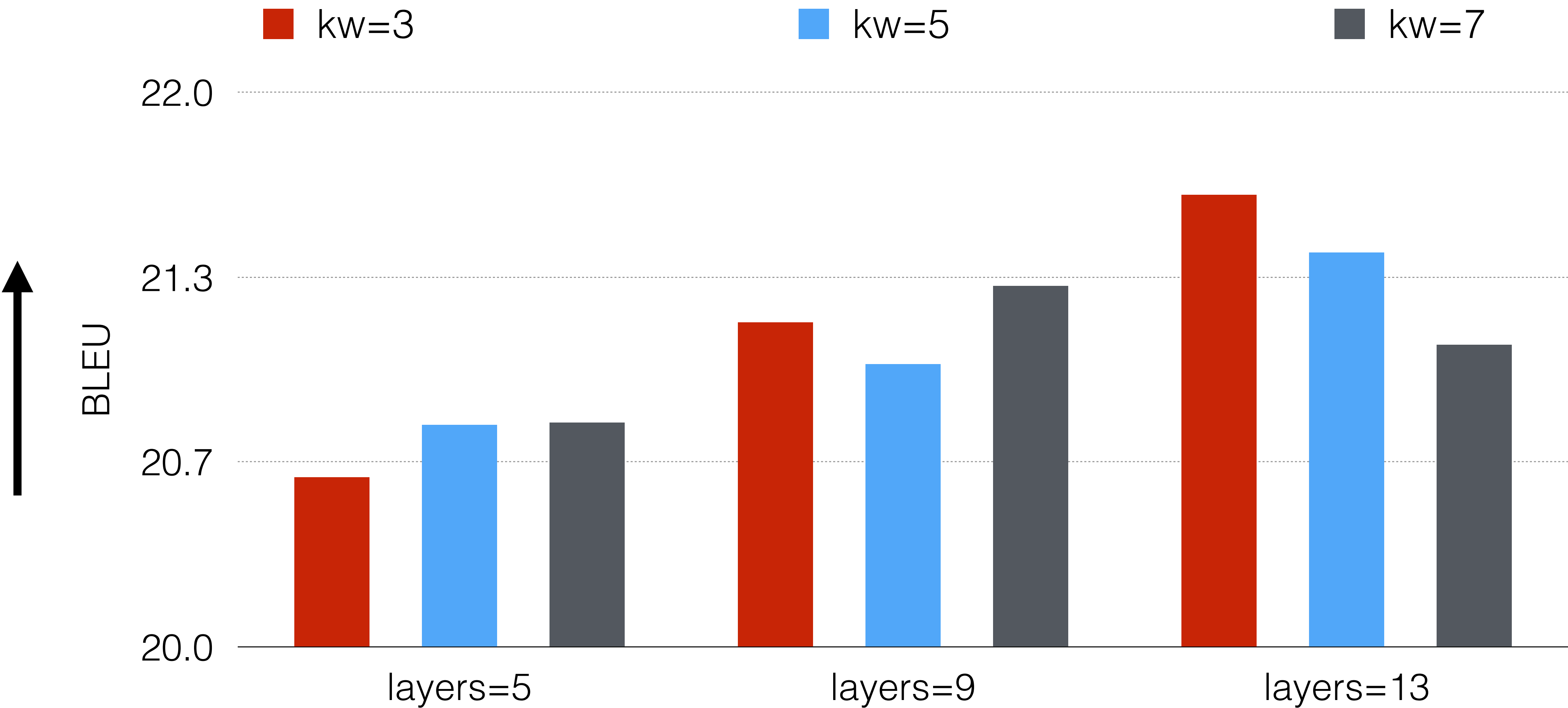
# Results Multi-hop Attention

| Attn Layers | PPL  | BLEU  |
|-------------|------|-------|
| 1,2,3,4,5   | 6.65 | 21.63 |
| 1,2,3,4     | 6.70 | 21.54 |
| 1,2,3       | 6.95 | 21.36 |
| 1,2         | 6.92 | 21.47 |
| 1,3,5       | 6.97 | 21.10 |
| 1           | 7.15 | 21.26 |
| 2           | 7.09 | 21.30 |
| 3           | 7.11 | 21.19 |
| 4           | 7.19 | 21.31 |
| 5           | 7.66 | 20.24 |

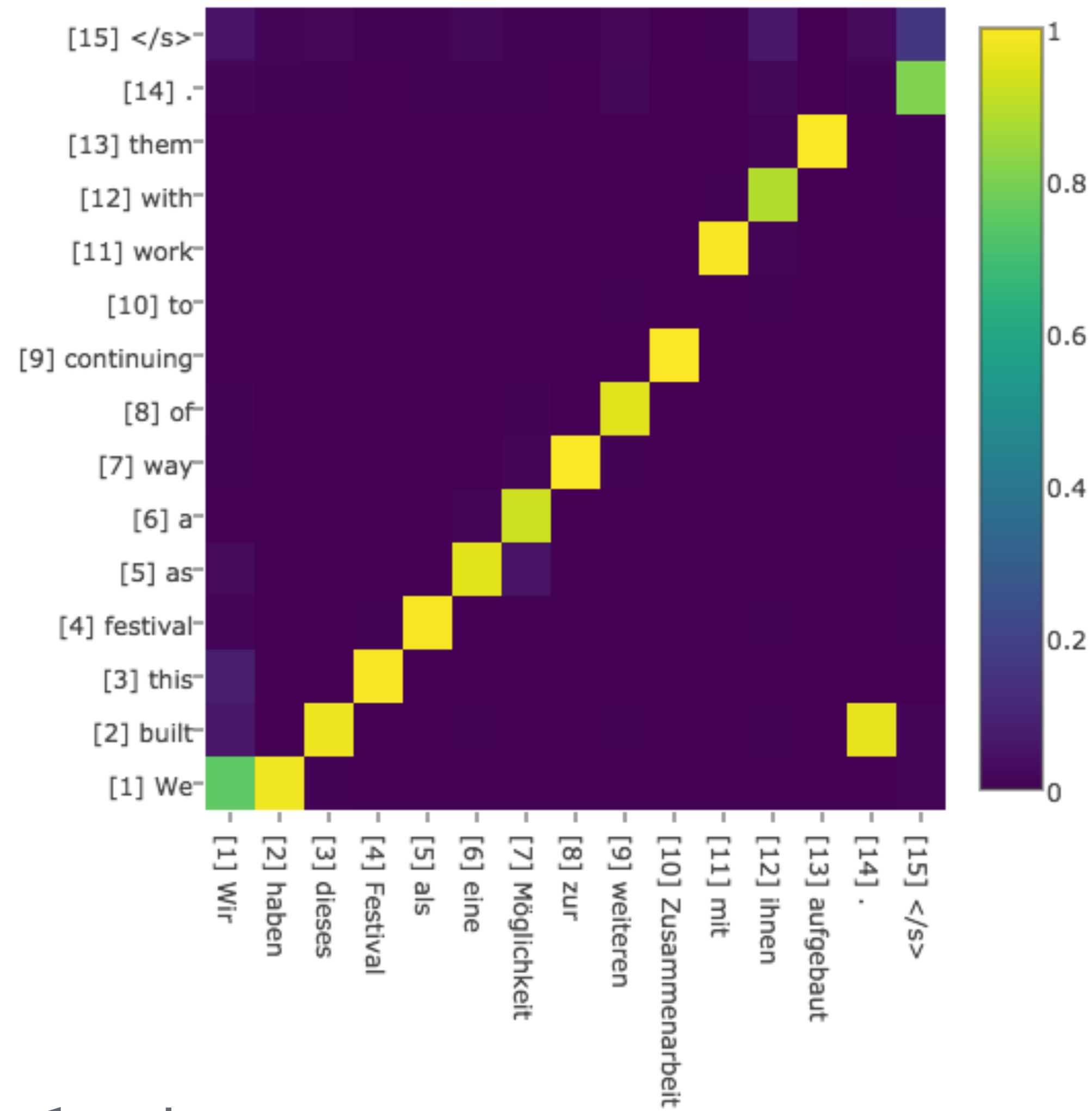
# Ensemble En-Fr

| <b>WMT'14 English-French</b> | <b>BLEU</b> |
|------------------------------|-------------|
| Zhou et al. (2016)           | 40.4        |
| Wu et al. (2016) GNMT        | 40.35       |
| Wu et al. (2016) GNMT + RL   | 41.16       |
| ConvS2S                      | 41.44       |
| ConvS2S (10 models)          | 41.62       |

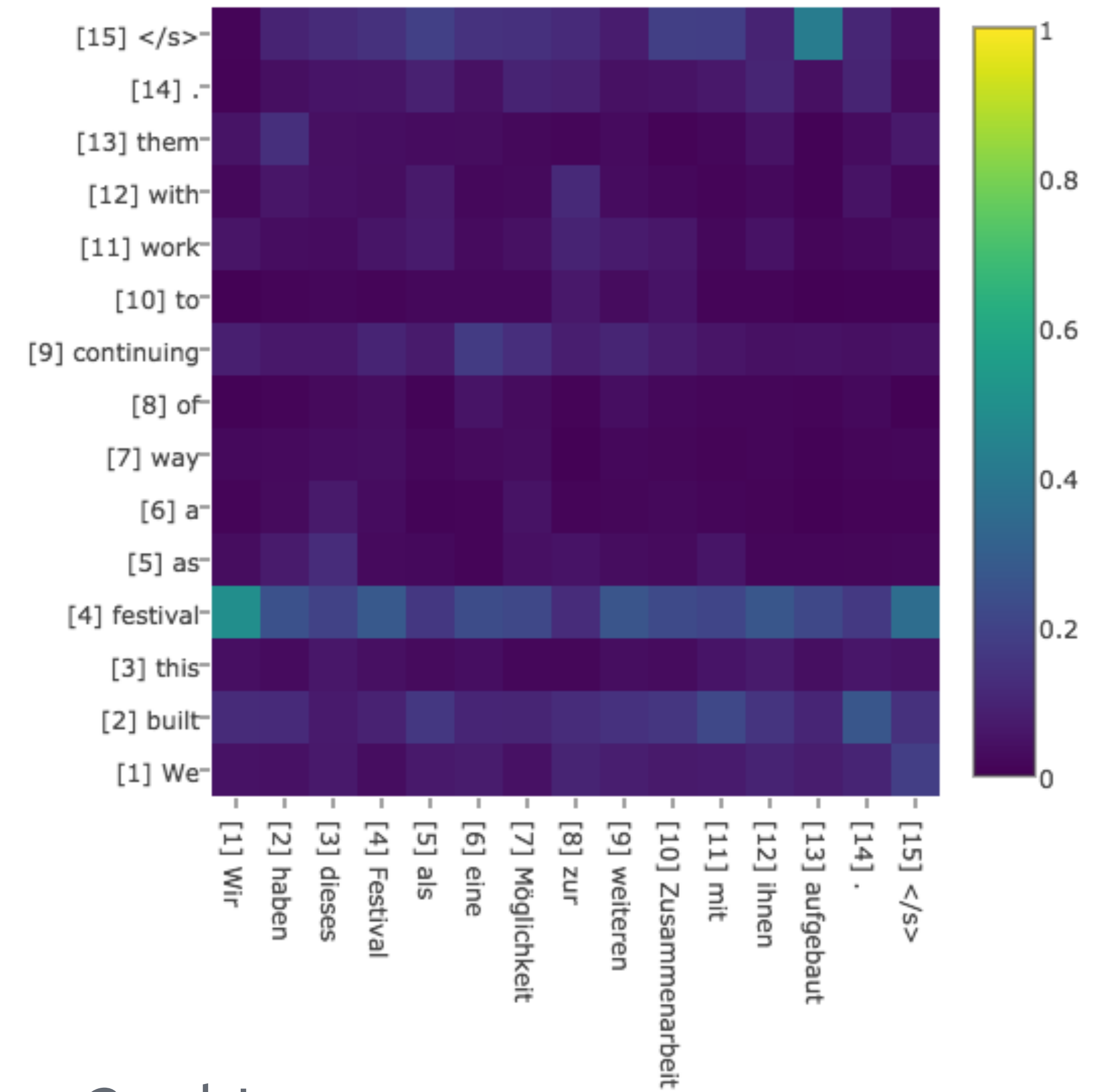
# Network Depth



# Convolutional S2S: Multi-Hop Attention

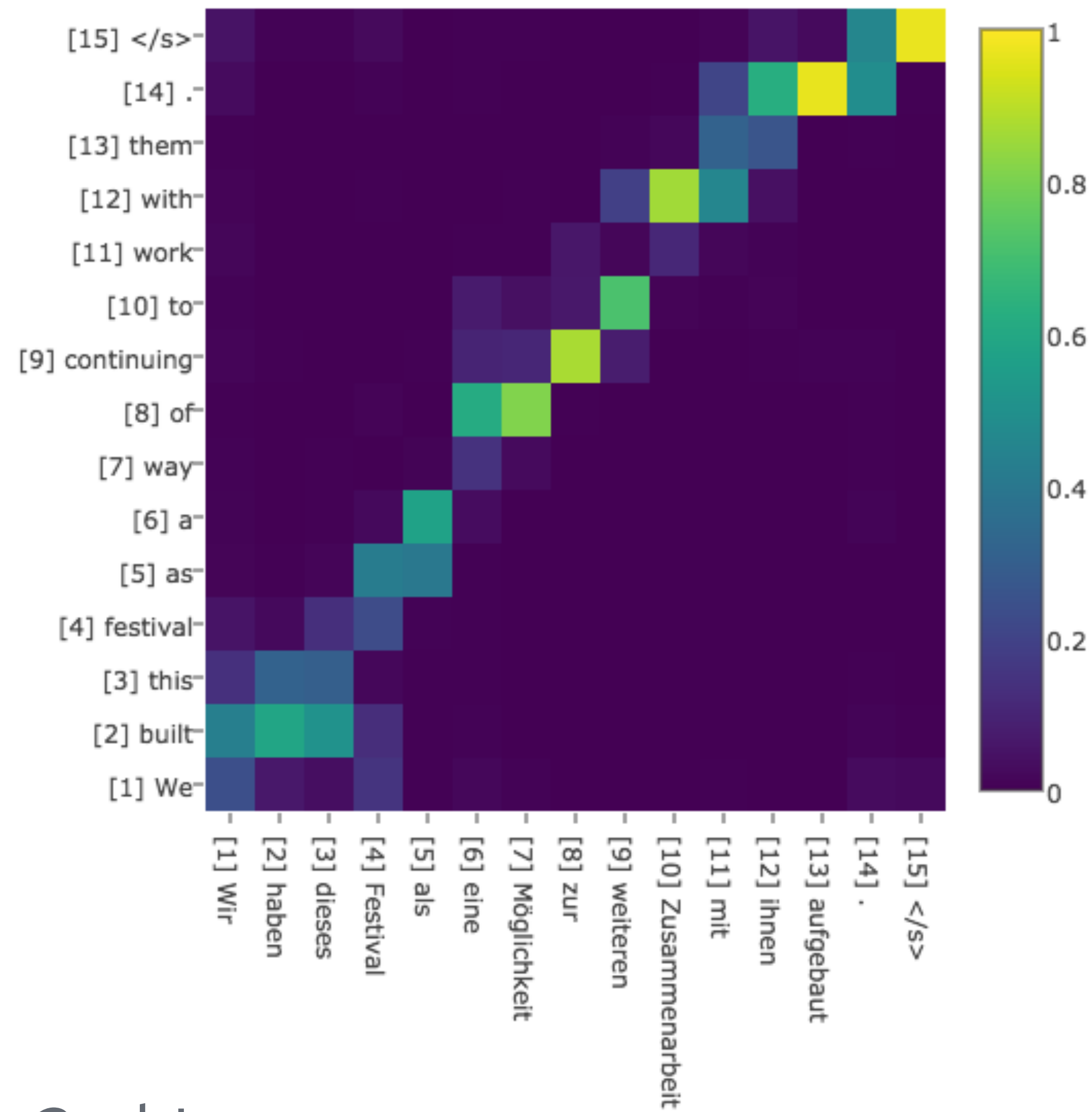


1st Layer

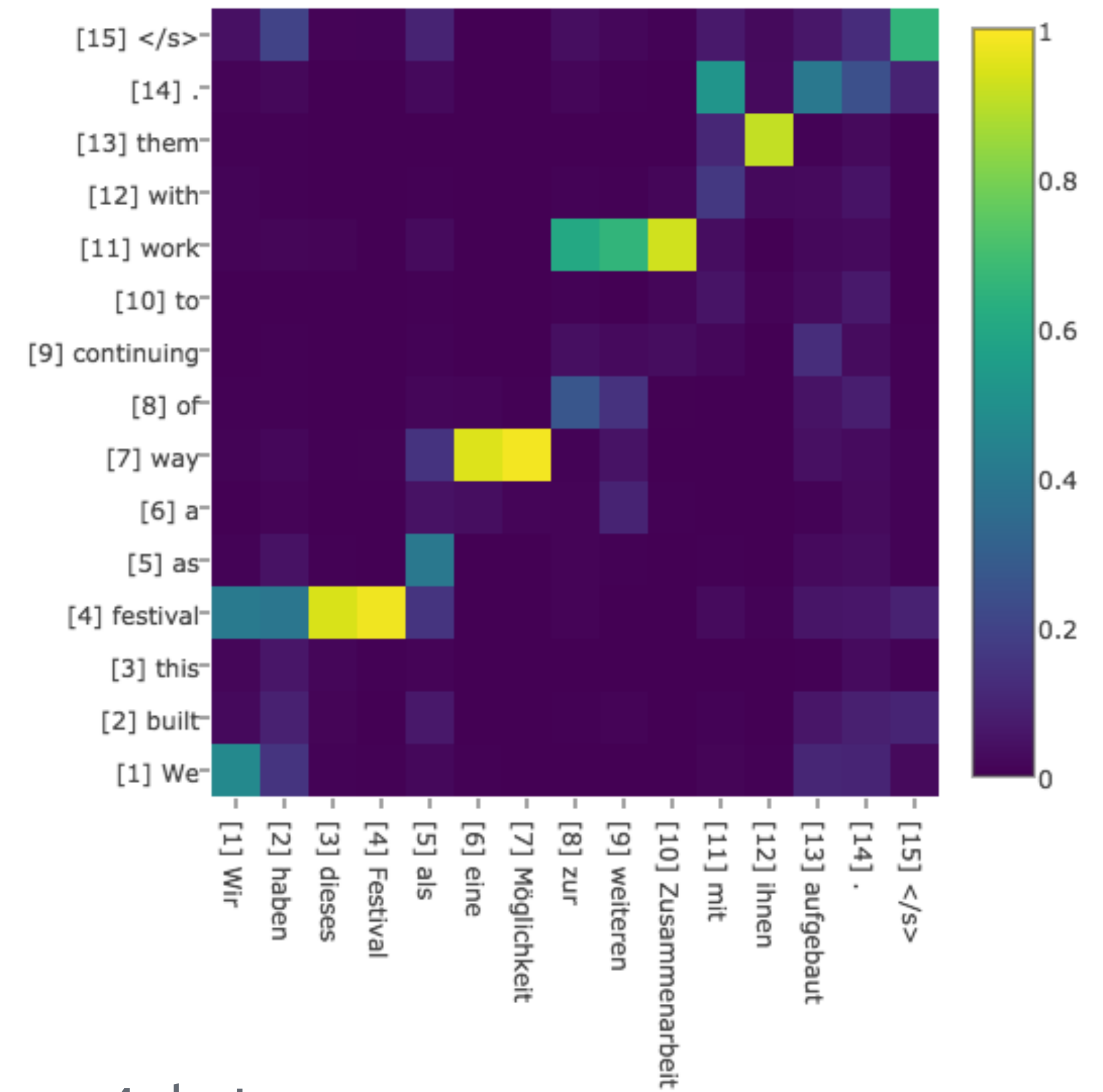


2nd Layer

# Convolutional S2S: Multi-Hop Attention

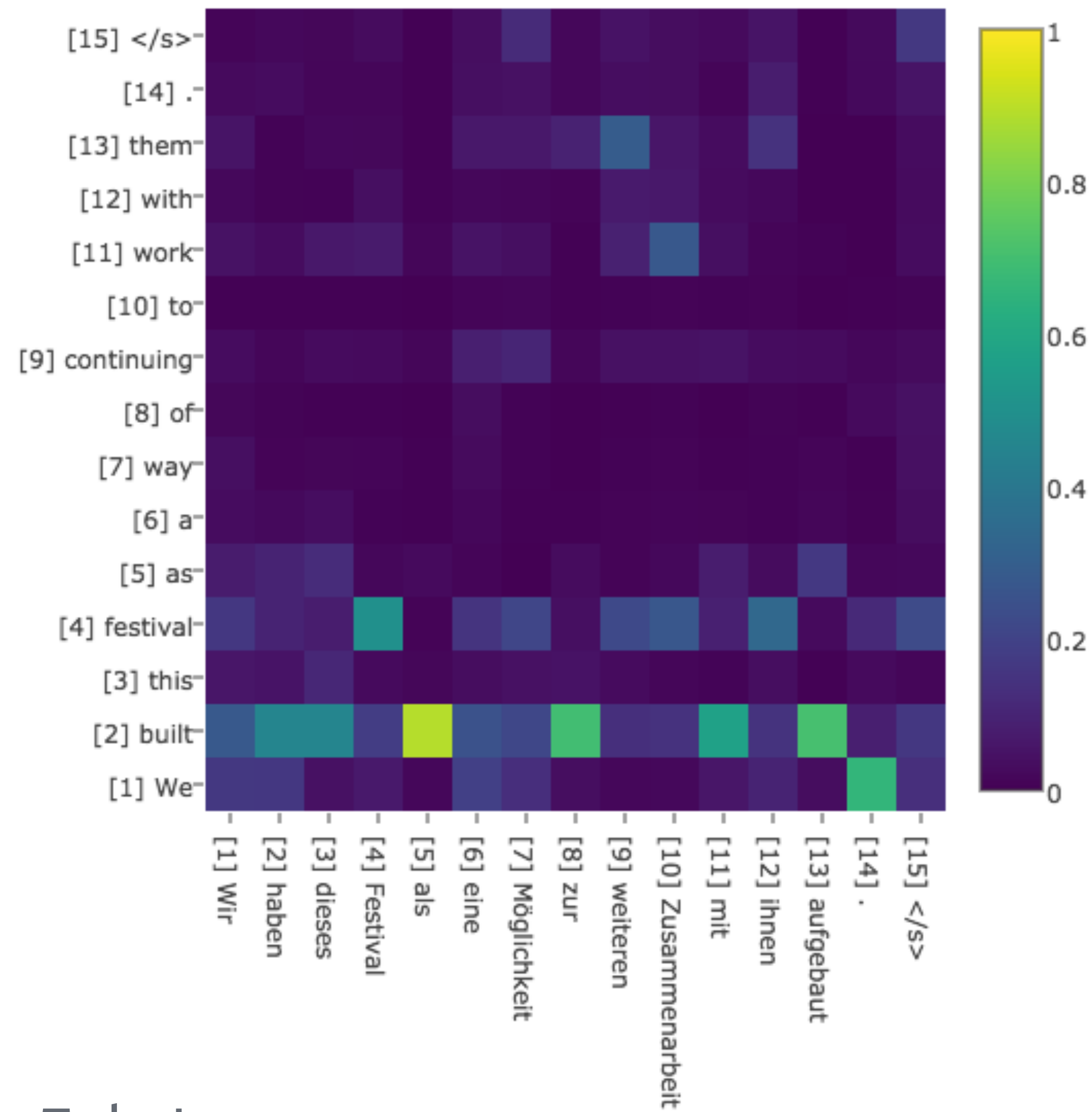


3rd Layer

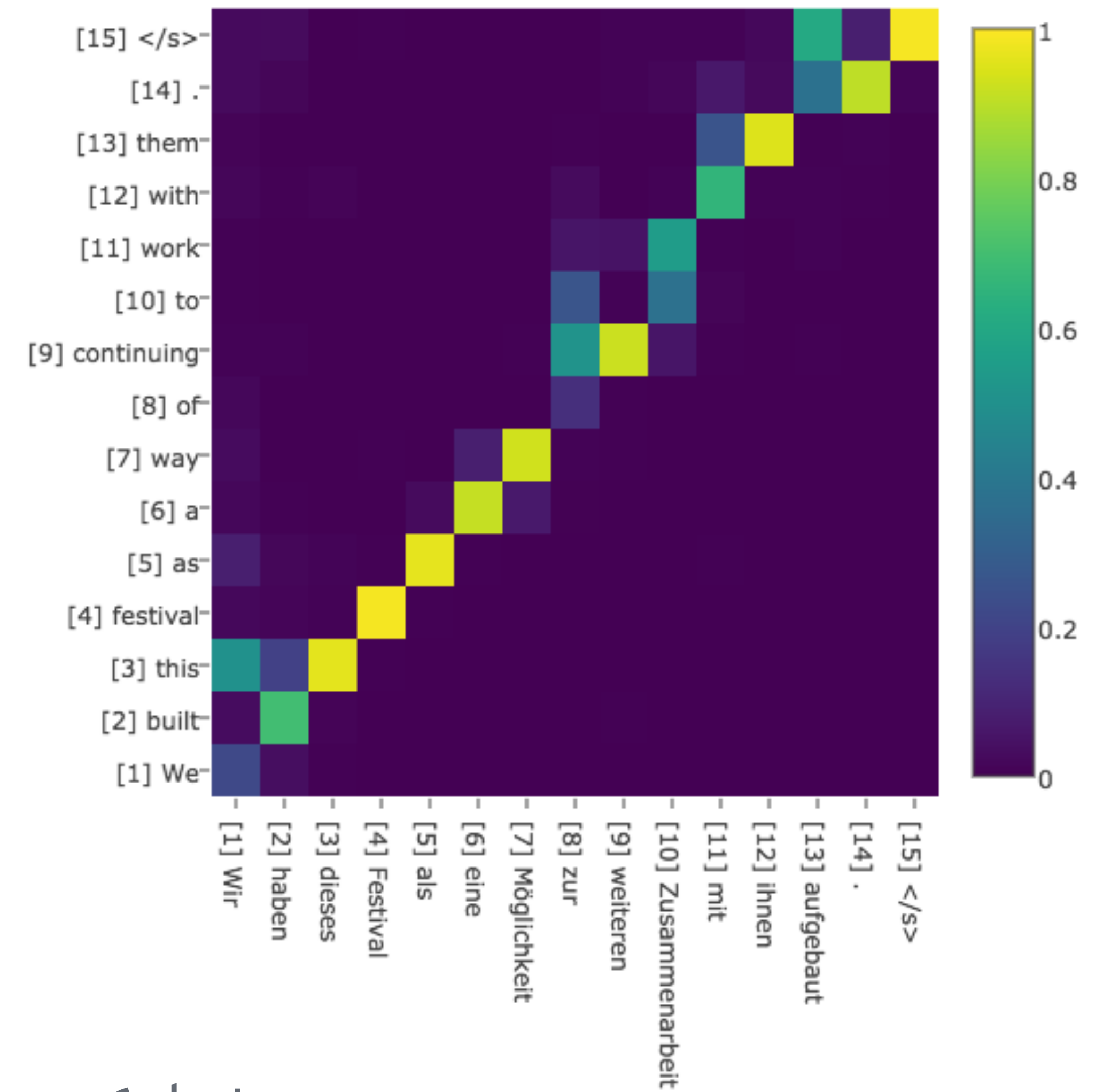


4th Layer

# Convolutional S2S: Multi-Hop Attention

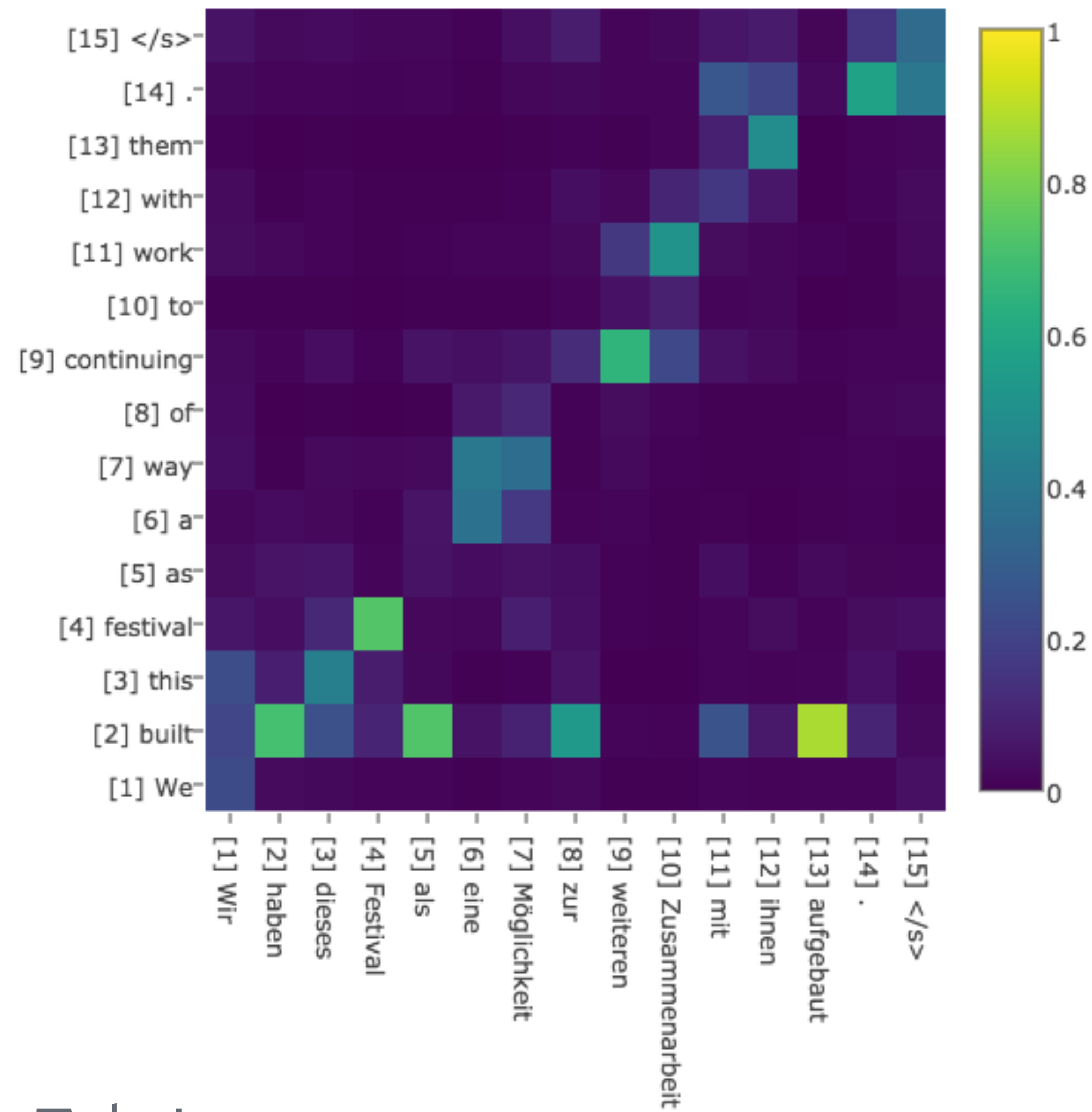


5th Layer

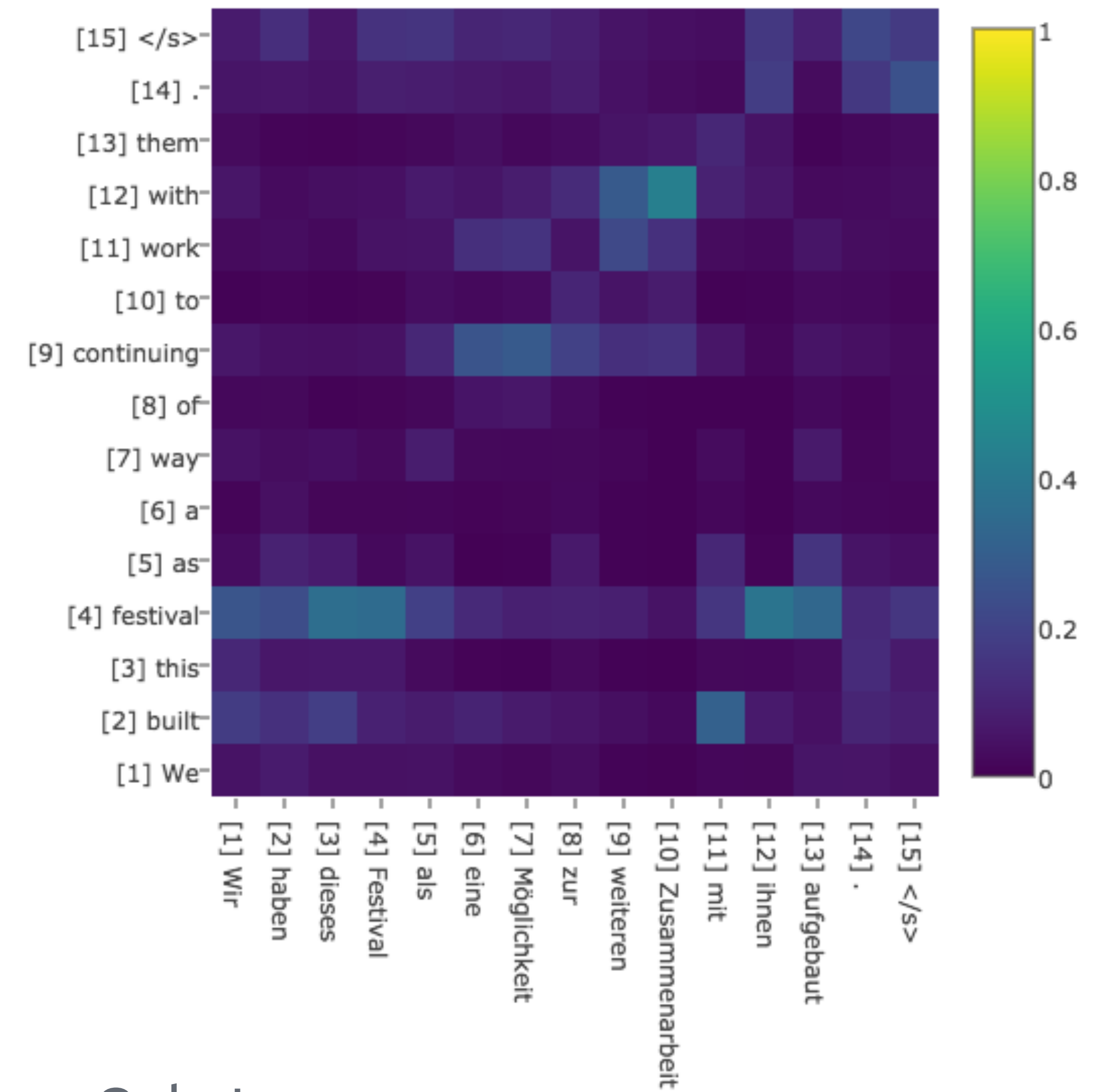


6th Layer

# Convolutional S2S: Multi-Hop Attention



7th Layer



8th Layer